# "TNOs Are Cool": A survey of the transneptunian region. XII. The albedo-color diversity of transneptunian objects.

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#### ABSTRACT

We analyze albedo data obtained using the Herschel Space Observatory that reveal the existence of two distinct types of surface among midsized transneptunian objects. A color-albedo diagram shows two large clusters of objects, one redder and higher albedo and another darker and more neutrally colored. Crucially, all objects located in dynamically stable orbits within the classical Kuiper belt region and beyond are confined to the brighter and redder of the two groups, implying a compositional link. Those objects are believed to have formed further from the Sun than the dark-neutral bodies. This color-albedo separation is evidence for a compositional discontinuity in the young solar system.

Subject headings: Kuiper belt: general

## 1. Introduction

In the current paradigm, developed largely to explain the orbits of transneptunian objects (TNOs), the dynamical architecture of the solar system is thought to have evolved considerably since formation, particularly in the first billion years (Tsiganis et al. 2005). A violent planetary instability involving Jupiter and Saturn is hypothesized to have caused Uranus and Neptune to migrate from their formation region (within 15 AU) to their current orbits. This event led to the stochastic dispersal of the planetesimal disk and resulted in bodies formed at various distances from the Sun being stored together in the transneptunian space (Levison et al. 2008). This dynamical restructuring may explain the broad diversity seen in the properties of TNOs, but could also obscure links with birth location that carry information about the properties of the protoplanetary disk. One exception is the Cold Classical population (Figure 1), which stands out as possessing a number of properties that suggest a unique origin and evolution. Cold Classicals have low inclination orbits in the region known as the classical Kuiper belt, a donut-shaped structure located between the 3:2 and the 2:1 Neptunian mean-motion resonances (MMRs) at 39 and 47 AU. Dynamically, these objects show no signs of past interactions with Neptune: they are decoupled from the ice giant and are stable on Gyr timescales (Batygin et al. 2011). Physically, when compared to other TNOs, Cold Classicals possess redder surfaces (Tegler & Romanishin 2000), smaller sizes (Levison & Stern 2001), and a much larger abundance of binaries (Stephens & Noll 2006), including very weakly bound pairs that would have been disrupted by encounters with planets (Parker & Kavelaars 2010). Attempts to explain the origin of this population nearer the Sun and their transport out to the classical Kuiper belt following the planetary instability have been unsuccessful (Levison & Morbidelli 2003; Levison et al. 2008). Consequently, Cold Classicals are most simply understood as survivors of an original population that formed in-situ (Levison & Stern 2001; Batygin et al. 2011) and, as such, are representative of the properties of bodies that originally formed beyond Neptune. In this Letter, we analyze albedo data for 109 TNOs and Centaurs obtained using the Herschel Space Observatory and find that Cold Classical TNOs are not as unique in terms of their surface properties as previously believed. In fact, all TNOs in dynamical classes thought to have formed and remained beyond Neptune display similar color/albedo properties.

## 2. Herschel "TNOs Are Cool" Survey and Sample

Herschel was the first large aperture (3.5 meter) space telescope to operate in the farinfrared and submillimeter and it offered a unique chance to measure the thermal radiation
from the cool TNOs (equilibrium temperatures ~40 K). Starting in 2009, we conducted the
"TNOs Are Cool" survey of the outer solar system using Herschel to measure albedos and
sizes for 130 TNOs and Centaurs (Müller et al. 2009), tripling the size of the existing sample.
Thermal observations obtained earlier using Spitzer Space Telescope (Stansberry et al. 2008)
were used to complement the Herschel data, and a handful of objects in our sample have
independent, highly accurate diameter (and albedo) estimates from stellar occultations. The
thermal data were combined with existing optical data to derive albedos and diameters using
the radiometric method or more detailed thermophysical models when justified. Details of
the survey and modeling of albedos and diameters have been published in the "TNOs Are
Cool" series of papers (Müller et al. 2010; Lellouch et al. 2010; Lim et al. 2010; Santos-Sanz
et al. 2012; Mommert et al. 2012; Vilenius et al. 2012; Pál et al. 2012; Fornasier et al. 2013;
Lellouch et al. 2013; Kiss et al. 2013a; Vilenius et al. 2014; Duffard et al. 2014, and Kiss et
al. in prep.) and other publications by team members (Kiss et al. 2013b).

Our TNO sample covers the different dynamical classes to enable comparative studies. We adopt a widely accepted dynamical classification scheme (Gladman et al. 2008) that groups TNOs into Resonant, Scattered, Detached, Classical (Figure 1; Table 1). Here we split the Classicals into Hot and Cold at orbital inclination i = 5 deg, and the Resonants into Inner (objects in the 3:2 MMR and in resonances nearer the Sun), Middle (located between the 3:2 MMR and the 2:1 MMR), and Outer (2:1 MMR and beyond). In our data, the Inner Resonants are represented by 21 Plutinos (3:2 MMR), the Middle Resonants consist of a single TNO (5:3 MMR), and the Outer Resonants include 4 TNOs in the 2:1 MMR, 1 in the 9:4, 4 in the 5:2, 1 in the 8:3 and 1 in the 11:2. Our sample also includes 4 Inner Classicals, which lie just sunward of the 3:2 MMR, and 22 Centaurs. The latter represent an intermediate dynamical stage between TNOs and the Jupiter family comet (JFC) population.

## 3. Results

The Herschel albedos (geometric, V-band) are shown in Figure 2, plotted against visible color quantified by the spectral slope, S', in units of %/(1000 Å) (Luu & Jewitt 1990). The spectral slopes are measured directly from optical spectra when available (Fornasier et al. 2009, and references therein) or derived from broadband BR photometry (Jewitt 2002) taken from the literature (Hainaut et al. 2012; Peixinho et al. 2012; Perna et al. 2013). Redder objects have steeper slopes S' while objects bluer than the Sun have negative slope.

In the remaining text, we refer to the spectral slopes simply as "color". We plot only those 109 objects for which both albedo and color are known. The TNOs are broadly split into two clusters: a Dark Neutral clump of objects with low albedos and shallow spectral slopes ( $p_V \sim 0.05$ ,  $S' \sim 10\%$ ), and a Bright Red agglomeration with higher albedos and significantly redder slopes ( $p_V \sim 0.15$ ,  $S' \sim 35\%$ ). These two surface types encompass >90% of all midsized TNOs in our sample. A smaller cluster of bright neutral objects includes the dwarf planets Eris, Pluto and Makemake which have characteristic ultra-high albedos, plus Haumea and another 4 objects with Haumea-type surfaces. Also shown are separate histograms of color and albedo that demonstrate that the two dominant clusters are not apparent in either property taken separately and require the 2D information added by the Herschel survey data.

The orbital distribution of Bright Red and Dark Neutral objects is shown in Figure 1 and shows that TNOs with both surface types exist scattered throughout the entire transneptunian region with no obvious trend in mean heliocentric distance or closest approach to the Sun. We take this to indicate that the surface types are unlikely to be set by current conditions (temperature, irradiation) that depend on the object's distance to the Sun and are probably primitive. Figure 3 shows how TNOs in different dynamical classes are distributed in the color-albedo diagram. Interestingly, while some dynamical families have objects in both surface-type clusters, others are exclusively composed of Bright Red objects. The latter include the Cold Classicals, the Middle and Outer Resonants, and the Detached TNOs.

The two main surface-type clusters in Figure 2 were identified automatically using the Mathematica~9 procedure FindClusters[] $^1$ , which we set to implement an agglomerative algorithm, using the Euclidean distance function. Eris, Pluto, Makemake and objects with Haumea-type surfaces were excluded leaving a set of N=101 TNOs. As seen in Figure 2, the bulk of the TNOs falls consistently in one of the two groups, with only a few objects near the gap between clusters having ambiguous classification due to their large uncertainties. To assess the significance of the two clusters we employed three methods. Firstly, we randomly generated 1000 sets of points in the unit square, each equal in size to the original data. We found 2 clusters in 1.3% of the random sets, 3 clusters in 0.1% and 4 clusters in 0.1% of the cases, with the remaining 98.5% of random sets being deemed unclustered. Secondly, we used the gap statistic (Tibshirani et al. 2001) to quantify how often is a reference distribution that better represents the data (generated along the principal components of the data as opposed to randomly in a square) as strongly clustered as the original. The technique selected 2 clusters as the optimal number in our data and found that the same was true for 14 out of

<sup>&</sup>lt;sup>1</sup>http://reference.wolfram.com/mathematica/tutorial/PartitioningDataIntoClusters.html

1000 (1.4%) replications of the data drawn from the optimized reference distribution; the remaining 987 cases were considered unclustered. Finally, we employed the bootstrapping technique described in Efron & Tibshirani (1994). Here, smooth null distributions from which bootstrap replications can be drawn are generated by convolving the normalized data with a 2D Gaussian of width w. The number of maxima of the convolved distribution corresponds to the number of clusters in that particular bootstrap replication. The value w is selected to be the smallest that produces a unimodal null distribution from the data. In 1000 bootstrap replications (each with N=101) drawn from the null distribution, the fraction found to have 2 or more clusters (two or more maxima when convolved with a 2D Gaussian of width w) was p=0.008. Thus, all three methods find a low probability ( $\sim 1\%$ ) that the clustering seen in the data is random.

#### 4. Discussion

We find that the surfaces of most TNOs fall into one of two main types: Bright Red, or Dark Neutral (Figure 2). Furthermore, while some dynamical classes have both types of objects, others have only Bright Red TNOs. The dynamical classes composed uniquely of Bright Red objects are those that probably originated far from the Sun. Consequently, their characteristic surfaces would be representative of outer solar system planetesimals. As discussed above, Cold Classicals likely formed in-situ and have remained unperturbed dynamically (Batygin et al. 2011). Detached TNOs are currently decoupled from Neptune, and some have been claimed to be part of the inner Oort Cloud (Trujillo & Sheppard 2014). While the origin of these objects is unknown, most scenarios suggest that they formed beyond Neptune (Gladman et al. 2002). Middle and Outer Resonants may have been swept from nearer the Sun during Neptune's migration. In the classic resonance sweeping scenario (Malhotra 1995), TNOs currently in the p:q MMR originated in the region  $a_N(p/q)^{2/3}$  $a < 30(p/q)^{2/3}$ , where  $a_N$  is the starting point of the Neptune's migration in AU. Assuming that Neptune began its outward migration at  $\sim 20$  AU (Malhotra 1995), the 2:1 MMR, the innermost of the Outer Resonances, includes objects captured at 32 AU and beyond. The alternative mechanism to populate the MMRs invokes chaotic resonant capture during the circularisation of Neptune's orbit following the planetary instability phase (Levison et al. 2008). An initially eccentric Neptune would produce a chaotic sea of very wide, overlapping MMRs in the transneptunian region. As the planet's orbit circularized, the MMRs narrowed and retained in-situ planetesimals that happened to be at resonant locations. Whichever way the Middle and Outer Resonants were populated, they are likely to be dominated by objects formed beyond  $\sim 30$  AU.

In contrast, Plutinos, Scattered TNOs, Inner and Hot Classicals, and Centaurs, which contain remnant planetesimals formed over a wider range of heliocentric distances, from about 20 AU out to the current classical Kuiper belt (Petit et al. 2011), include mixture of Bright Red and Dark Neutral objects. This is consistent with previous findings that different, dynamically excited TNO populations are composed of two main types of surfaces (Bauer et al. 2013; Fraser et al. 2014). In the classic sweeping resonance scenario, and assuming that Neptune started its final migration at 20 AU, the Plutinos were captured into resonance in the region from 26 to 39 AU. Note that this scenario implies a surface-type transition occurring in the region  $\sim 26-32$  AU to explain why Plutinos exist with both surface types but 2:1 MMR TNOs are all Bright Red. However, these distances are uncertain because the starting point of Neptune's migration is not known exactly, and other models propose that some Plutinos may have formed closer to the Sun (Gomes 2003). The Scattered Disc includes a large fraction of objects that originated at 20-30 AU from the Sun following the planetary instability event and Neptune's migration through the disk (Morbidelli et al. 2004) and the same is probably true for Hot Classicals (Gomes 2003). The origin of the Inner Classicals is very uncertain, but they may be an extension of the Hot Classical component (Kavelaars et al. 2009), while the Centaurs probably originate in one of the populations above (Volk & Malhotra 2008) and are expected to be physically similar.

Previous attempts to find trends in the surface properties of TNOs have relied mostly on broadband colors. Three key findings emerged from the analysis of colors: that TNOs have the most diverse surfaces of all small bodies in the solar system (Luu & Jewitt 1996), that low eccentricity and low inclination objects in the classical Kuiper belt possess significantly redder surfaces (Tegler & Romanishin 2000) – these objects are now recognized as the Cold Classical population – and that Centaurs are a mixture of neutrally colored and very red objects, with no intermediate colors (Peixinho et al. 2003). More recently, the color bifurcation first apparent in the Centaurs has been found to extend to all small, excited TNOs (Fraser & Brown 2012; Peixinho et al. 2012; Fraser et al. 2014). The mixture of neutral and red objects in these populations is taken to mean that they include planetesimals formed at two different locations in the disk, as opposed to the uniformly red Cold Classicals, which all formed in the distant solar system. A hypothesis to explain the color bifurcation of objects formed at different heliocentric distances relies on the heliocentric-distance-dependent fractionation of surface volatiles with different sublimation temperatures (Brown et al. 2011). The Herschel albedo data lends independent support to the trends found in colors alone (Fraser & Brown 2012; Fraser et al. 2014). Furthermore, we find that the dynamical classes believed to originate beyond  $\sim 30 \text{ AU}$  appear uniquely linked by a special combination of red color and high albedo. This link is strong evidence that planetesimals formed beyond  $\sim 30$ AU have different surfaces from those formed nearer the Sun.

The discovery of the two surface types reported here promises to shed light on some open questions. For instance, attempts to separate Classical TNOs into Hot and Cold based on inclination and color thresholds have produced inconsistent results (Peixinho et al. 2008). Clearly, the two components are mixed, and this prevents us from estimating the inclination distribution of the locally formed Cold population. Careful analysis of the orbits of Bright Red Hot Classicals may help separate the two populations in a more robust fashion. Another example has to do with the question whether the Scattered Disk is still being replenished from other excited dynamical classes, or if the population as a whole is a fossil from the planetary instability epoch (Duncan & Levison 1997). We find that the distribution of Scattered TNOs in color-albedo space is unlike that of Plutinos or Hot Classicals (significance level 98%, 2D Kolmogorov-Smirnov test) which naively suggests that the Scattered Disc is not being replenished from those populations. A somewhat related problem is the origin of the Centaurs. The 2D Kolmogorov-Smirnov test shows that Centaurs are incompatible at larger than 99.9% significance with the Cold Classical, Detached and Outer Resonant populations, at 95.7% significance with the Plutinos and at 93% significance with Hot Classicals, leaving the Scattered TNOs (49% significance) as the most compatible in terms of surface properties. This is not unexpected from dynamical arguments, but highlights the power of the surface type trends reported here. A detailed analysis of these and related questions is beyond the scope of this Letter and will be the subject of a future paper.

No dynamical family in the outer solar system is composed solely of Dark Neutral objects. By contrast, small body populations nearer the Sun are composed entirely of dark, neutrally colored objects (Figure 4). JFCs, which are an end-state of the Centaur population, and Oort cloud comets all have Dark Neutral surfaces. The same is true for inactive objects in cometary orbits, which are believed to be dead or dormant comets, and for Jupiter Trojans (Fornasier et al. 2007). The satellites of the giant planets have neutrally colored surfaces, but some of the largest moons have larger albedos. The lack of Bright Red surfaces among inner and intermediate solar system objects could be explained in two ways. Either these populations contain no bodies formed in the outer solar system, or the Bright Red material found on some TNOs is destroyed as they approach the Sun (Jewitt 2002). The fact that Bright Red surfaces are seen in some Centaurs but not in JFCs provides strong evidence in favor of the latter, and indicates that the Bright Red material has a lifetime upon entering the inner solar system comparable to the dynamical lifetime of Centaurs (a few 10 Myr).

We report here on evidence from albedo and color data that TNOs native to the distant solar system (≥30 AU) possess unique Bright Red surfaces, while dynamical classes that include objects formed closer in have a mixture of Bright Red and Dark Neutral surfaces. This suggests that a compositional discontinuity was in place at the time the dynamical mixing of the solar system occurred. Our data are unable to decide whether the entire bulk

composition of planetesimals accreted at different locations varied as lower condensation temperature volatiles became available, or only the surface chemical makeup was modulated by the different sublimation temperature of various volatiles. For that, we may need in-situ chemical sampling of comet nuclei to come from missions such as Rosetta.

#### 5. Conclusions

We have analyzed the surface albedos and colors for a sample of 109 transneptunian objects. The albedo data were obtained as part of the Herschel "TNOs Are Cool" survey of the outer solar system. Our main findings are as follows.

- The surfaces of most transneptunian objects fall into one of two types: Dark Neutral surfaces with albedos  $\sim 0.05$  and spectral slopes  $S \sim 10\%$ , and Bright Red surfaces with higher albedos  $\sim 0.15$  and significantly steeper spectral slopes  $S \sim 35\%$ . This clustering of surfaces lends support to the previously reported bifurcation in the colors of small, excited Kuiper belt objects and Centaurs and highlights the importance of albedo data for the understanding of the surface properties of small solar system bodies.
- Importantly, we find that all transneptunian objects thought to have formed and remained in the outer solar system possess Bright Red surfaces. These include the Cold Classical Kuiper belt objects, the Detached objects, and Resonant transneptunian objects in the 2:1 mean-motion resonance with Neptune and beyond.

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Facilities: Herschel

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Table 1. TNO/Centaur Sample Properties

Dynamical class	N	Albedo		Color
		Median	$ ext{CI}_{68\%}$	
Scattered Disc	9	0.05	(0.04, 0.09)	$16.3 \pm 12.6$
Centaurs	22	0.06	(0.04, 0.13)	$21.5 \pm 16.5$
Hot Classicals	25	0.08	(0.04, 0.13)	$22.8 \pm 15.6$
Plutinos	20	0.09	(0.05, 0.16)	$20.1 \pm 15.4$
Inner Classicals	4	0.09	(0.06, 0.18)	$22.4 \pm 12.8$
Middle Resonants	1	0.12	(0.08, 0.17)	28.2
Outer Resonants	12	0.13	(0.08, 0.22)	$31.6 \pm 12.8$
Cold Classicals	8	0.15	(0.09, 0.23)	$33.2 \pm 10.3$
Detached TNOs	8	0.17	(0.08, 0.37)	$33.2 \pm 14.6$

Note. — Columns are 1) Dynamical class, 2) number of TNOs, 3) median albedo and 68% confidence interval, 4) mean spectral slope in %/(1000~Å) and standard deviation. Statistics includes measurement uncertainties by bootstrap resampling and excludes dwarf planets and Haumea-type TNOs.

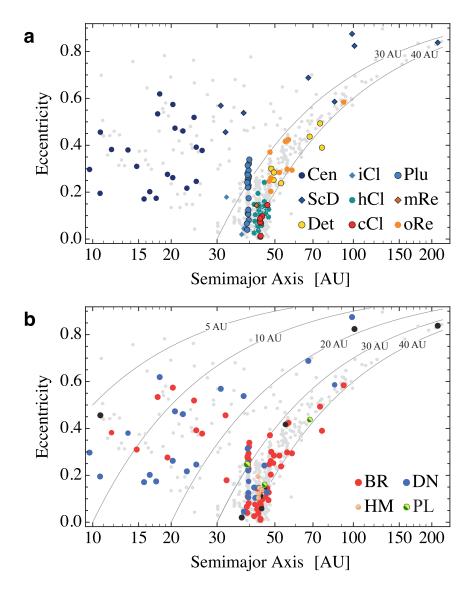


Fig. 1.— a) Orbital distribution (semimajor axis vs. eccentricity) of our sample (Cen=Centaurs, iCl=Inner Classicals, Plu=Plutinos, ScD=Scattered Disc, hCl=Hot Classicals, mRe=Middle Resonants, Det=Detached, cCl=Cold Classicals, oRe=Outer Resonants). Light gray points mark TNOs not observed by Herschel. Curves of constant perihelion are plotted in solid gray and labelled. b) Same as a) but color-coded by surface type (BR=Bright Red, DN=Dark Neutral, HM=Haumea-type, PL=Dwarf Planets, black points have large uncertainties and ambiguous surface type.)

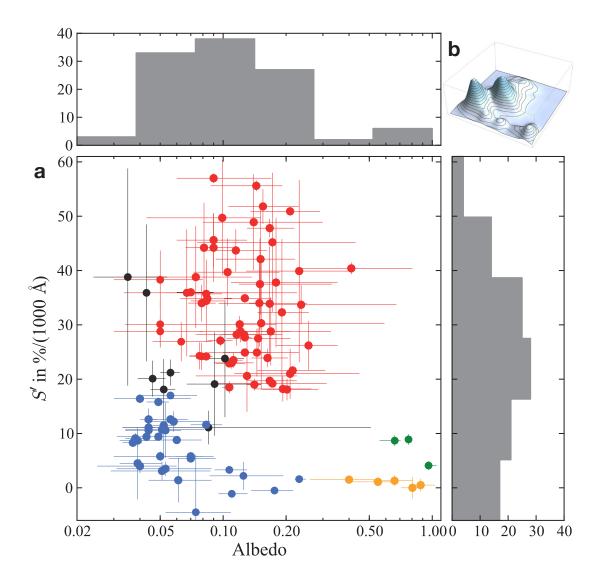


Fig. 2.— a) Color-albedo diagram for 109 TNOs showing two main clusters, one composed of Dark Neutral objects (blue points, albedos  $\sim 0.05$  and  $S' \sim 10\%$ ), and another of Bright Red objects (red points, albedo  $\sim 0.15$  and  $S' \sim 30\%$ ). Black points have large uncertainties and ambiguous surface type. Large TNOs (green) and objects with Haumea-type surfaces (orange) occupy a third group (bottom right corner). Albedo and color histograms are shown in grey. b) Smooth 2D histogram (Gaussian kernel with width 3% of full ranges, weighted by error bars) of the color-albedo distribution shown in a).

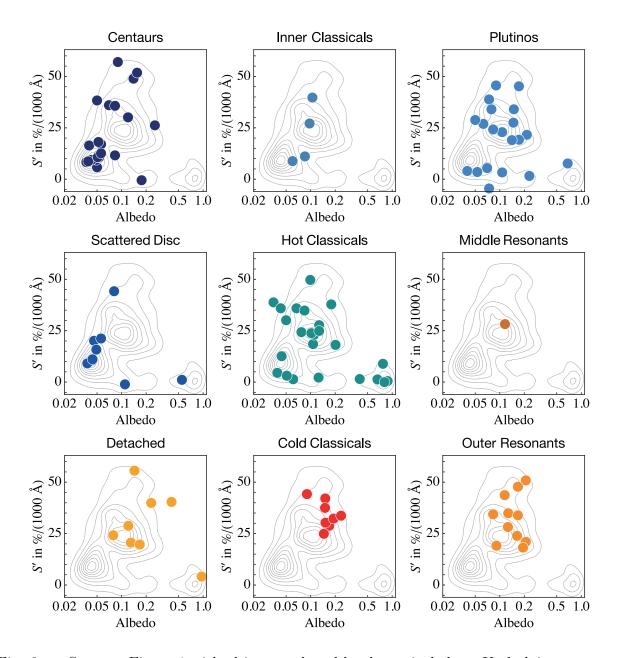


Fig. 3.— Same as Figure 1 with objects gathered by dynamical class. Underlying contours are a density map of all objects obtained by bootstrap-sampling each object 100 times assuming its albedo (color) follows a lognormal (normal) distribution set by the observing uncertainties.

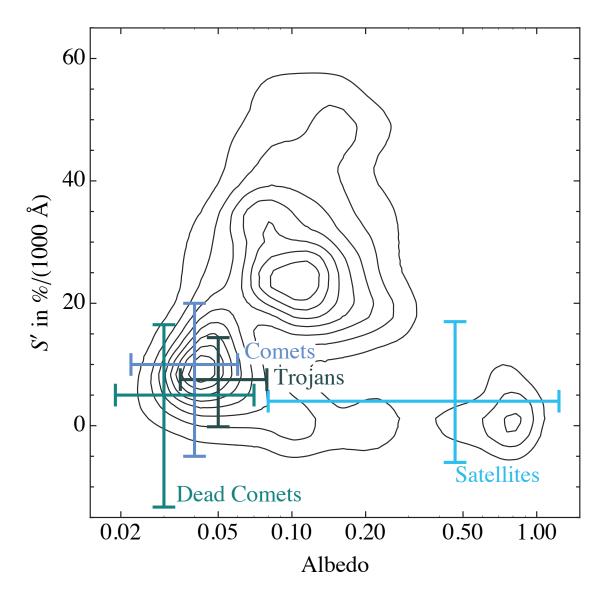


Fig. 4.— Albedo and color ranges (central 95%) for small body populations in the inner/intermediate solar system superimposed on TNO data contours (Figure 3). Comet data include Jupiter family and Oort cloud comets. Jovian L4 and L5 Trojans are included, and satellites of the giant planets.