# Reconstruction of solar spectral irradiance since the Maunder <sup>2</sup> minimum

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3 Abstract. Solar irradiance is the main external driver of the Earth's climate. Whereas the

 $_{\rm 4}$   $\,$  total solar irradiance is the main source of energy input into the climate system, solar UV ir-

<sup>5</sup> radiance exerts control over chemical and physical processes in the Earth's upper atmosphere.

<sup>6</sup> The time series of accurate irradiance measurements are, however, relatively short and limit

7 the assessment of the solar contribution to the climate change. Here we reconstruct solar to-8 tal and spectral irradiance in the range 115–160 000 nm since 1610. The evolution of the so-

tal and spectral irradiance in the range 115–160 000 nm since 1610. The evolution of the solar photospheric magnetic flux, which is a central input to the model, is appraised from the

historical record of the sunspot number using a simple, but consistent physical model. The model

 $^{11}$  predicts an increase of 1.25 W/m<sup>2</sup>, or about 0.09%, in the 11-yr averaged solar total irradi-

ance since the Maunder minimum. Also, irradiance in individual spectral intervals has gener-

ally increased during the last 4 centuries, the magnitude of the trend being higher towards shorter

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wavelengths. In particular, the 11-yr averaged Ly- $\alpha$  irradiance has increased by almost 50%.

An exception is the spectral interval between about 1500 and 2500 nm, where irradiance has

 $_{16}$  slightly decreased (by about 0.02%).

# 1. Introduction

Various observations suggest that the Earth's climate has 17 always being changing. Both internal sources and exter<sub>51</sub> 18 nal drivers contribute to this variability. The most recent<sub>2</sub> 19 strong increase of the global surface temperature appears te 20 be rather unusual, however [Solomon et al., 2007]. Although4 21 human activity has being widely recognised to be a majors 22 contributor, the relative roles of different drivers are still not 23 well understood and need more accurate evaluations. 24

The solar radiative output is the main external drivers 25 of the Earth's coupled atmospheric and oceanic systems 26 [Hansen, 2000; Haigh, 2001,  $\overline{2007}$ ]. A prime solar quan<sub>60</sub> 27 tity for the Earth's climate is solar irradiance, which is the 28 total solar energy flux at the top of the Earth's atmosphere<sub>62</sub> 29 With the advent of coupled chemistry and general circus 30 31 lation models (GCM), the variability of solar spectral ir<sub>64</sub> radiance (SSI) is increasingly coming into the focus of at<sub>65</sub> 32 tention of climate research due to its importance for the 33 chemistry and dynamics of the Earth's atmosphere [Haighs7 34 1994, 2001, 2007; Langematz et al., 2005]. Whereas the toss 35 tal solar irradiance (i.e. the irradiance integrated over the 36 whole spectrum, TSI) changes by about 0.1% between soro 37 lar activity minimum and maximum [Fröhlich, 2006], the 38 UV emission changes by a few percent at 200–300 nm to up2 39 40 to 100% around the Ly-alpha emission line near 121.6 nm<sup>3</sup> [Floyd et al., 2003; Krivova et al., 2006]. The variability in4 41 the IR is comparable to or lower than the TSI variations<sup>75</sup> 42 43 In the range between about 1500 and 2500 nm, i.e. in the vicinity of the atmospheric water vapour absorption bands 44 the variation over the solar cycle is even reversed with re78 45 spect to the TSI cycle [Harder et al., 2009; Krivova et al.z 46 2010].47

<sup>1</sup>Max-Planck-Institut für Sonnensystemforschung, D-37191 Katlenburg-Lindau, Germany Unfortunately, the time series of accurate measurements of solar and geophysical parameters prior to the increase of man-made greenhouse gases are relatively short, which limits the assessment of the Sun's role in present-day climate change relative to contributions of humanity and to other natural drivers. Reconstructions of these parameters prior to the satellite era are therefore needed in order to obtain further insight into the nature of solar influence on the Earth's climate on longer time scales.

Recent century-scale reconstructions of the total solar irradiance [Foster, 2004; Lockwood, 2005; Wang et al., 2005; Balmaceda et al., 2007; Krivova et al., 2007; Crouch et al., 2008; Steinhilber et al., 2009] suggest that the magnitude of the secular increase in the total irradiance since the Maunder minimum, which was a period of extremely low solar activity observed prior to 1700 [Eddy, 1976], is comparable to the solar cycle variation. In most earlier reconstructions, the secular trend was not derived consistently but was assumed based on solar-stellar comparisons. Such an approach was later criticised and the derived values, between 2 and 8 W/m<sup>2</sup>, were found to be significantly overestimated [for a discussion, see Krivova et al., 2007].

Reconstructions of solar UV irradiance since the Maunder minimum have earlier been presented by Fligge and Solanki [2000] and by Lean [2000]. Of these, the first one was based on LTE (Local Thermodynamic Equilibrium) calculations of the solar spectrum, whereas the latter was scaled using UARS/SOLSTICE measurements. The LTE approximation gives inaccurate results below approximately 200 nm and in some spectral lines, whereas the long-term uncertainty of SOLSTICE (indeed, of all instruments that measured solar UV irradiance before SORCE) exceeded the solar cycle variation above approximately 250 nm, thus leading to incorrect estimates of the UV irradiance variability at longer wavelengths [see Lean et al., 2005; Krivova et al., 2006]. Furthermore, both reconstructions assumed a higher value of the secular trend than currently accepted, as discussed in the previous paragraph.

In this paper, we present a new reconstruction of solar total and spectral irradiance back to the Maunder minimum. It is based on the SATIRE-T (Spectral And Total Irradiance REconstructions for the Telescope era) model developed by *Krivova et al.* [2007], which is modified and updated here to take into account the latest observational data and theoretical results. These include: the new model of the evolution

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of solar total and open magnetic flux by Vieira and Solanki 93

[2010], the updated reconstruction of the heliospheric mag<sub>52</sub> 94

netic flux by Lockwood et al. [2009], the reconstructed solars 95 UV irradiance since 1947 [Krivova et al., 2009a, 2010] and4

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the facular contribution to the TSI variations since 19745 97 [Wenzler, 2005]. Spectral irradiance below 270 nm is cal<sup>56</sup>

98 culated following Krivova et al. [2006] and Krivova et ak7 99

[2009a]. 158 100

The model is described in Sect. 2. The model is validated 101 by computing its output with observed or reconstructed dat<sup>1</sup>80 102 in Sect. 3. The reconstruction of solar total and spectrad 103 irradiance since 1610 is presented in Sect. 4. Section 5 the 104 summarises the results. 105 164

# 2. Model

#### 2.1. SATIRE-T

The current model is a development of the SATIRE-TE9 106 model presented by Krivova et al. [2007]. The SATIRE 107 models [Solanki et al., 2005; Krivova et al., 2010] start from 108 the fundamental assumption that all irradiance variations 109 on time scales longer than a day are caused by the evolution 110 of the solar photospheric magnetic field. This assumption4 111 is well supported by the excellent agreement  $(r_c^2 > 0.9)$  be<sup>z5</sup> 112 tween the calculated irradiance variations and satellite  $mea^{26}$ 113 surements [Krivova et al., 2003; Wenzler et al., 2006]. 'Vis-114 ible' manifestations of the magnetic field in the solar pho-115 tosphere are dark sunspots, bright faculae and the bright 116 network, and they modulate solar brightness. Thus solar 117 irradiance,  $F(\lambda, t)$ , i.e. the solar radiative flux, at the wave-118 length  $\lambda$  and the point t in time can be calculated as follows: 119

$$F(\lambda, t) = \alpha_{q}(t)F_{q}(\lambda) + \alpha_{u}(t)F_{u}(\lambda) + \alpha_{p}(t)F_{p}(\lambda) + [\alpha_{f}(t) + \alpha_{n}(t)]F_{f}(\lambda).$$
(1)

Here indices q, u, p, f, n denote different components of the 120 solar photosphere, namely, the quiet Sun (i.e. solar surface 121 essentially free of magnetic field), sunspot umbra, penumbra, 122 as well as faculae and the network,  $F_i(\lambda)$  (i = q, u, p, f,  $\vec{\eta}_a$ ) 123 is the time-independent flux of each component at a given  $n_{n}$ 124 wavelength and  $\alpha_{i}(t)$  is the corresponding filling factor at  $\alpha_{i}(t)$ 125 given time. The spectrum of each component,  $F_i(\lambda)$ , i.e. the 126 flux one would obtain if the whole solar surface were cov-127 ered by component i, was calculated by Unruh et al. [1999] 128 129 using the ATLAS9 code of Kurucz [1993, 2005] from semiempirical model atmospheres. The same model atmosphere 130 is used here to describe both faculae and the network, i.e, 131  $F_{\rm f} = F_{\rm n}$ . 132

Solar irradiance varies with time because the amount and 133 the distribution of different brightness features (sunspot $\frac{1}{296}$ 134 faculae and the network) are steadily changing. This is  $rep_{87}$ 135 resented by the so-called filling factors in the model,  $\alpha_i(t)_{38}$ 136 137 They describe which fraction of the solar surface is covered by each of the photospheric components at a given times 138 Their assessment is relatively straightforward for the peg-139 riod, when direct measurements of the solar magnetic fields 140 (magnetograms) are available. Data of sufficient quality gos 141 back to 1974 only [see Wenzler et al., 2006]. At earlier times4 142 no or only lower quality data are available, and the fillings 143 factors need to be estimated in a different way. In partice 144 ular, information on the spatial distribution of the photo<sup>27</sup> 145 spheric structures is typically not available for the earlief 146 times. Therefore Eq. (1) assumes their homogeneous spa29 147 200 148 tial distribution.

#### 2.2. Evolution of the photospheric magnetic flux 202

Krivova et al. [2007] have used the coarse physical model 149 of the evolution of the solar photospheric magnetic flux bass 150

Solanki et al. [2000, 2002] to compute the filling factors. In this model, all magnetic features on the solar surface are subdivided into active (AR; large bipolar regions emerging in the activity belts and living for up to several weeks) and ephemeral (ER; smaller, short-lived structures emerging at all latitudes) regions. The flux emergence rate in AR and ER is estimated from the historical record of the sunspot number,  $R_a$ , as discussed below. Part of the magnetic flux emerging in AR and ER is dragged away from the Sun by the solar wind plasma and reaches far into the heliosphere. This open magnetic flux can survive for several years on the solar surface, since it is often located in large regions with a dominant magnetic polarity. However, some of the flux stays 'open' for a much shorter time, one to several solar rotations [Ikhsanov and Ivanov, 1999; Cranmer, 2002]. These are possibly smaller, short-lived coronal holes usually associated with a decaying active region. This rapidly decaying open flux component was not taken into account in the original model by Solanki et al. [2000, 2002]. Vieira and Solanki [2010] have shown, however, that its inclusion significantly improves the agreement between the modelled open flux and its reconstruction based on the aa-index.

Thus, 4 coupled ordinary differential equations describe the evolution of the AR ( $\phi_{act}$ ), ER ( $\phi_{eph}$ ) and of the slow  $(\phi_{\text{open}}^{\text{s}})$  and rapidly  $(\phi_{\text{open}}^{\text{r}})$  decaying open flux components [for details, see Vieira and Solanki, 2010] with time, t:

$$\frac{d\phi_{\rm act}}{dt} = \varepsilon_{\rm act}(t) - \frac{\phi_{\rm act}}{\tau_{\rm act}} - \frac{\phi_{\rm act}}{\tau_{\rm act}^{\rm s}} - \frac{\phi_{\rm act}}{\tau_{\rm act}^{\rm r}},\tag{2}$$

$$\frac{d\phi_{\rm eph}}{dt} = \varepsilon_{\rm eph}(t) - \frac{\phi_{\rm eph}}{\tau_{\rm eph}} - \frac{\phi_{\rm eph}}{\tau_{\rm eph}^{\rm s}},\tag{3}$$

$$\frac{d\phi_{\text{open}}^{\text{s}}}{dt} = \frac{\phi_{\text{act}}}{\tau_{\text{act}}^{\text{s}}} + \frac{\phi_{\text{eph}}}{\tau_{\text{oph}}^{\text{s}}} - \frac{\phi_{\text{open}}^{\text{s}}}{\tau_{\text{open}}^{\text{s}}},\tag{4}$$

$$\frac{d\phi_{\text{open}}^{r}}{dt} = \frac{\phi_{\text{act}}}{\tau_{\text{act}}^{r}} - \frac{\phi_{\text{open}}^{r}}{\tau_{\text{open}}^{r}}.$$
 (5)

Note, that in the earlier version of the model [Solanki et al., 2002; Krivova et al., 2007] only 3 equations were considered, without distinguishing between the slow and rapid components of the open flux. The sum of all magnetic field components represents the total photospheric magnetic flux,  $\phi_{tot}$ :

$$\phi_{\text{tot}} = \phi_{\text{act}} + \phi_{\text{eph}} + \phi_{\text{open}}^{\text{s}} + \phi_{\text{open}}^{\text{r}}.$$
 (6)

In Eqs. (2–5),  $\tau_{\rm act}$ ,  $\tau_{\rm eph}$ ,  $\tau_{\rm open}^{\rm s}$  and  $\tau_{\rm open}^{\rm r}$  are the decay time scales for AR, ER, slow and rapid components of the open flux, respectively, whereas  $\tau_{act}^{s}$ ,  $\tau_{eph}^{s}$  and  $\tau_{act}^{r}$  are the flux transfer times from active and ephemeral regions to the slow and rapid open magnetic flux. Of these 7 parameters,  $\tau_{\rm eph}$  is fixed to 14h (or 0.0016 yr) according to observations by Hagenaar [2001]. All other are left free within the limits provided by appropriate observations, as discussed by Krivova et al. [2007] and Vieira and Solanki [2010] (see also Table 1).

The flux emergence rates of AR,  $\varepsilon_{act}$ , and ER,  $\varepsilon_{eph}$ , which are the main inputs to the model, are calculated from the historical group sunspot number,  $R_g$  [Hoyt and Schatten, 1993]. The emergence rate in active regions,  $\varepsilon_{\rm act}$ , is taken to be linearly proportional to the sunspot number and is scaled according to the observations of Schrijver and Harvey [1994] for cycle 21. ER cycle is extended with respect to the AR cycle [see, e.g., *Harvey*, 1992, 1993, 1994], and its length and amplitude are assumed to be related to the properties of the corresponding sunspot cycle. The latter is justified if ER are produced by the same dynamo mechanism as the AR. This introduces 2 additional free parameters into the model: the scaling factor X between the emergence rates of ER,  $\varepsilon_{eph}$ , and AR,  $\varepsilon_{act}$ , and the ER cycle length extension parameter, 255

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 $c_x$  (see Krivova et al. [2007] and Vieira and Solanki [2010] for further details). 254

2.3. Filling factors

<sup>208</sup> After the magnetic flux is calculated as described above, <sup>209</sup> the filling factors  $\alpha_i$  needed to calculate solar irradiance (see <sup>210</sup> Eq. 1) can be derived.

The filling factors for sunspots are calculated directly  $^{260}_{\rm V}$ 211 from the sunspot areas since  $1\bar{8}74$  [Balmaceda et al., 2009]<sup>461</sup> 212 Before 1874 a correlation analysis between sunspot areas and<sup>262</sup> 213 numbers is first carried out in order to compute sunspot  $af^{\underline{63}}$ 214 eas for that earlier period. Following Krivova et al. [2007], 215 we employ a fixed ratio between umbral and penumbral  $a\hat{f}^{\underline{65}}$ 216 eas,  $\alpha_{\rm u}/(\alpha_{\rm u} + \alpha_{\rm p}) = 0.2$  [Brandt et al., 1990; Solanki, 2003; 217 Wenzler, 2005]. 218

The filling factors of faculae and the network are calcu<sup>68</sup> 219 lated from the corresponding modelled magnetic fluxes. The 220 sum of the ER and open magnetic fluxes represents the ev@<sup>20</sup> 221 lution of the network:  $\phi_n = \phi_{eph} + \phi_{open}$ . Facular magnetic<sup>1</sup> 222 flux,  $\phi_{\rm f}$ , is derived from the AR magnetic flux after subtrac<sup>22</sup> 223 tion of the magnetic flux of sunspots:  $\phi_{\rm f} = \phi_{\rm act} - \phi_{\rm s}$ . The 224 latter,  $\phi_s$ , is the product of sunspot area and the mean mag<sub>74</sub> 225 netic field strength in sunspots [see Krivova et al., 2007]. Ins 226 order to convert magnetic fluxes into filling factors we apro 227 ply the same scheme as in all SATIRE models [e.g., Krivovar 228 et al., 2003; Wenzler et al., 2006; Krivova et al., 2007]: these 229 filling factors  $\alpha_{\rm f}$  and  $\alpha_{\rm n}$  are proportional to the correspond<sub>79</sub> 230 ing magnetic fluxes,  $\phi_{\rm f}$  and  $\phi_{\rm n}$ , until a saturation limit,  $\phi_{\rm sat260}$ 231 and  $\phi_{\text{sat,n}}$ , is reached. Above the corresponding saturation 232 limits  $\alpha_{\rm f} = 1$  and  $\alpha_{\rm n} = 1$ . The value of  $\phi_{\rm sat,n}$  is fixed  $t_{22}$ 233 800 G, in agreement with the results obtained for the model. 234 based on magnetograms [Krivova et al., 2007]. Note that 235 these 800 G correspond to the value of 500 G employed by 236 Krivova et al. [2007] for the newer calibration of the  $MD_{46}$ 237 magnetograms [Tran et al., 2005] (Krivova et al. still em<sub>87</sub> 238 ployed the older calibration). The saturation limit for  $facu_{ss}$ 239 lae,  $\phi_{\text{sat,f}}$ , is left free. 240

Finally, the area not covered by photospheric magnetic structures (sunspots, faculae and network elements) is considered to be the quiet Sun:  $\alpha_{\rm q} = 1 - \alpha_{\rm u} - \alpha_{\rm p} - \alpha_{\rm f} - \alpha_{\rm n}$ .

#### 2.4. Parameters and optimisation

Our model thus has 9 free parameters, summarised in 244 Table 1, i.e. one more than in the magnetic flux models 245 by Vieira and Solanki [2010]. The additional parameters 246  $\phi_{\text{sat,f}}$ , is the only one, which is directly related to the irra-247 diance reconstructions (as in all SATIRE models), i.e. to 248 the conversion of the magnetic flux into irradiance. In order 249 to constrain the free parameters as tightly as possible, we compare the model results with different sets of available  $ob^{29}$ 250 251 servational data or with other models, i.e. we require that 252

# Table 1. [

]Parameters of the model providing the best fit to the 5 considered data sets and their allowed ranges. Times are given in years.

Parameter	Notation	Value	Min	Max
AR decay time	$ au_{ m act}$	0.30	0.2	0.8
ER decay time	$ au_{ m eph}$	0.0016	fixed	
Slow OF decay time	$\tau_{\rm open}^{\rm s}$	2.97	0.0016	6.0
Rapid OF decay time	$\tau_{\rm open}^{\rm r}$	0.16	0.08	0.36
AR to slow OF transfer time	$ au_{ m act}^{ m s}$	71.2	10	90
AR to rapid OF transfer time	$\tau_{\rm act}^{\rm r}$	2.1	0.0016	3.0
ER to slow OF transfer time	$ au_{\mathrm{eph}}^{\mathrm{s}}$	17.8	10	90
ER cycle amplitude factor	X	78	70	150
ER cycle extension	$c_x$	5.01	5	9
Saturation flux in faculae, G	$\phi_{\rm sat,f}$	156.1	50	850
Saturation flux in network, G	$\phi_{ m sat,n}$	800	fixed	

the modelled time series simultaneously match as well as possible 5 distinct related independent records.

Following Vieira and Solanki [2010], the modelled total magnetic flux is confronted with the measurements carried out at the Mt. Wilson Solar Observatory (MWO), National Solar Observatory Kitt Peak (KP NSO) and Wilcox Solar Observatory (WSO) over cycles 20–23 [Arge et al., 2002; Wang et al., 2005]. The calculated open magnetic flux is compared to the reconstruction by Lockwood et al. [2009] since 1904. Following Krivova et al. [2007], we also require the computed TSI variations to match the PMOD composite of space-based measurements since 1978 [Fröhlich, 2005, 2008, version d41\_62\_0906].

Here we have also added 2 new records to constrain the model further. These are (i) the facular contribution to the TSI variations over 1978–2003, computed by Wenzler [2005] with the SATIRE-S model from KP NSO magnetograms and continuum images, and (ii) the solar irradiance flux integrated over wavelengths 220-240 nm over the period 1947-2006 as reconstructed by Krivova et al. [2009a] and Krivova et al. [2010] using solar F10.7 cm radio flux (before 1974) and KP NSO as well as MDI magnetograms and continuum images (after 1974). The two new sets serve, firstly, to provide further constraints on the model and the values of the free parameters. Secondly, they ensure that not only the total (integrated over all wavelengths) irradiance is reproduced correctly but also its spectral distribution. The contribution of the UV wavelengths to the total irradiance is relatively weak [less than 8% for all wavelengths below 400 nm Krivova et al., 2006], and thus errors in its calculation are not necessarily evident in the TSI. Also, since faculae dominate irradiance variations in the UV [e.g., Unruh et al., 2008], it is crucial that their evolution is modelled properly. Thus although we now have one free parameter more than in the model by Vieira and Solanki [2010], the model is required to reproduce 3 additional independent records and is therefore better constrained.

Following Krivova et al. [2007] and Vieira and Solanki [2010], we utilise the PIKAIA optimisation routine [Charbonneau, 1995, http://www.hao.ucar.edu] in order to minimise the mean of the  $\chi^2$  values (weighted by the degrees of freedom) between the 5 modelled and the corresponding measured (or independently reconstructed) time series. Further details are given in previous papers [Krivova et al., 2007; Vieira and Solanki, 2010].

# 3. Validation of the model

Here we first consider how well our model agrees with the 5 independent times series used to constrain the model parameters, as outlined in Sect. 2. The best estimates of the

### Table 2.

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] Parameters quantifying the quality of fits between the modelled and corresponding independent time series. Listed are:

quantity that has been compared, time scale, on which the comparison was performed, the correlation coefficient,  $R_c$ , the slope of the linear regression,  $\chi^2$  between the time series under examination,  $\chi^2$  obtained by *Vieira and Solanki* [2010] if available ( $\chi^2$ -VS10).

Quantity	t scale	$R_c$	Slope	$\chi^2$	$\chi^2$ –VS10
Total magnetic flux	$1 \text{ CR}^*$	0.93	$1.06 {\pm} 0.01$	0.069	0.065
Open magnetic flux	1 yr	0.86	$0.84{\pm}0.05$	0.248	0.222
TSI	1 day	0.81	$0.76 {\pm} 0.01$	0.233	_
Fac. contr. to TSI var.	3 months	0.94	$0.94{\pm}0.004$	0.064	_
UV flux (220–240 nm)	3  months	0.94	$0.99{\pm}0.003$	0.072	-

 $^{*}CR = Carrington rotation$ 

free parameters are listed in Table 1. Figure 1a shows the tor-301 302 tal magnetic flux between 1967 and 2007 (solid line). The tore tal flux displayed there is calculated as  $\phi_{\rm act} + 0.3\phi_{\rm eph} + \phi_{\rm open^{23}}$ 303 The factor 0.3 for the ER component takes into account the 304 finding of Krivova and Solanki [2004] that more than half of 305 the ER magnetic flux remains undetected in the harnessed 306 synoptic charts due to insufficient spatial resolution. Alsor 307 plotted are the measurements by KP NSO (squares), MW<sub>0</sub> 308 (diamonds) and WSO (triangles). Each data point is any 309 integral over a synoptic chart for one Carrington rotations 310 Note that for the optimisation only the period between 19741 311 and 2002 is used, when all 3 observatories performed observatories 312 vations. The model is plotted against the measurements in 313 Fig. 1b. The solid line in this panel represents the linear 314 regression fit to the data, with a slope of 1.06, whereas these 315 dashed line depicts the ideal fit (with a slope of 1). The com 316 relation coefficient between the model and the observations 317 is  $R_c = 0.93$ . 318 388

The results for the open magnetic flux are displayed in Fig. 2: panel a shows the time series of the modelled open flux since 1900 and of the independent reconstruction by *Lockwood et al.* [2009] from the geomagnetic aa-index whereas panel b confronts one with the other directly. The correlation coefficient between the two is 0.86. 394

Another test of the calculated open flux is offered by its 325 comparison with the cosmogenic isotope data. Their pro-326 duction rate depends on the galactic cosmic ray flux, which 327 is modulated by the solar open magnetic flux. Usoskin et also 328 [2006] have, in particular, demonstrated that being indepense 329 dent of terrestrial processes, the activity of cosmogenic isom 330 tope <sup>44</sup>Ti in meteorites represents a good proxy of secular 331 variations of solar open magnetic flux. The activity of the cosmogenic isotope <sup>44</sup>Ti calculated from our reconstructed 332 333 open flux (Usoskin 2010, priv. comm.) is found to be in 404 334 good agreement with the measurements. 335 405

Figure 3 displays changes in the TSI over cycles 21-2306 336 The model is represented by the grey dotted line, the PMOD<sub>7</sub> 337 composite of measurements [Fröhlich, 2005, 2006, 2008] base 338 the black solid line. The correlation coefficient between the 339 daily time series is 0.81, which is slightly higher than in the 340 previous version of the model [0.79, Krivova et al., 2007]. As 341 discussed by Vieira and Solanki [2010], due to the extended 342 length of the ER cycle, around activity minima both these 343 preceding and following cycles contribute to the magnetica 344 flux (and thus irradiance). Since the features of the nexts 345 cycle (24) are not yet known and we wanted to avoid any 346 speculations, we neglected this cycle and did not take the 347 declining phase of cycle 23 into account in the optimisation18 348 The missing cycle 24 leads to obviously too low values of 349 TSI for the current minimum. Thus irradiance values after 350 around 2005 are unreliable. For this reason also, the current 351 352 model cannot be used to test the claim of Fröhlich [2009] that the lower level of the TSI during the current minimuma 353 compared to the previous one is of non-magnetic origin. This4 354 question will be addressed separately in a forthcoming page 355 per (Vieira et al., in prep.), where the unknown strengthe 356 and length of cycle 24 are introduced into the model as ad27 357 ditional free parameters, leading to a good agreement also 358 with TSI values of the current minimum. 429 359

Another feature of the model is that the true shape of the 360 cycle cannot be reproduced with high precision. The reason 361 is the lack of detailed information on the emergence rate of 362 the magnetic flux in bright magnetic features (faculae and 363 the network) responsible for the Sun's brightening during acid 364 tivity maxima. In the model they are assumed to be related 365 to the evolution of sunspots, which is a reasonable assumption 366 tion on time scales of multiple months and longer, but does 367 not necessarily hold on time scales of days to months (see 368 paper by Preminger and Walton [2005] showing that spots 369 and faculae are offset in time relative to each other). Thus 370

the evolution of the facular and network components cannot be recovered on a daily basis. Note that the dips in the irradiance, which are caused by sunspots, are still well replicated since they are described by real sunspot area observations. Thus caution should be exercised when using this model for analysis of irradiance trends on time scales of several weeks to about a year or two [cf. Krivova et al., 2009b]. This peculiarity is also seen in panel b of Fig. 3, where the difference between the model and the PMOD composite of measurements is plotted. For the reasons mentioned above, we do not plot the period after 2005. Although when averaged over the whole period this difference is clustered around 0 with no evident long-term trend, the difference shows some systematic trends during a cycle. Thus both the rise and the decline in the modelled irradiance are typically slightly delayed compared to the observations, i.e. the cycles are more symmetric in the model than in reality. This systematic difference in the cycle shape also leads to the relatively low value of the linear regression slope between the modelled and observed TSI (Table 2).

Since the main goal of this work is a reconstruction of the solar spectral irradiance over the last 4 centuries, it is important to validate the model against data, which are particularly sensitive to the correct representation of the solar spectral energy distribution, in particular in the UV. We found 2 such sets: the facular contribution to the TSI variations deduced by Wenzler [2005] from the KP NSO magnetograms and continuum images and solar irradiance integrated over the wavelength range 220–240 nm calculated by Krivova et al. [2009a, 2010] from the solar F10.7 cm radio flux (before 1974, proxy model) and NSO KP and MDI magnetograms and continuum images (after 1974, SATIRE-S). For the period since 1996 the values computed by Krivova et al. [2009a, 2010] are in excellent agreement with SUSIM measurements. Hence the quantities we are comparing to are finally anchored in measurements.

The modelled facular contribution to the TSI variability and the 220–240 nm radiative flux are shown and compared to the corresponding independent series in Figs. 4 and 5, respectively. As discussed above, our model is not expected to give accurate results for facular and network evolution (and thus also UV irradiance) on time scales shorter than a few months. Therefore the comparison (as well as the optimisation) was performed for these two records after smoothing over 3 months.

Figures 4a and 5a show the time series, both modelled here (solid lines) and deduced previously by independent means (dashed lines). Figures 4b and 5b compare each of the sets with the appropriate independent record. The correlation coefficients are 0.94 in both cases.

Table 2 summarises the main quantities reflecting the agreement between the modelled time series and the corresponding measurements or independent reconstructions. Listed are the shortest time scales, on which the data were compared (the longest time scale corresponds to the length of the observed data set), the correlation coefficients, slopes of the linear regressions and  $\chi^2$  values. For the total and open magnetic flux, also the  $\chi^2$  values obtained for the model by *Vieira and Solanki* [2010] are indicated. They are slightly lower than the values obtained here, which is not surprising. As mentioned by Vieira and Solanki [2010], the set of parameters obtained by them is not unique and similarly good fits can be reached with somewhat different values. This is partly because some of the parameters are not absolutely independent and have similar effects on the results. Since here we required the model to fit 3 additional data sets, this constrains the free parameters further, and thus it is not unexpected that fits to the individual data sets can be somewhat worse. In fact, it is rather encouraging that we still obtain fits of essentially the same quality

<sup>441</sup>  $(\chi^2 = 0.069 \text{ and } 0.248 \text{ compared to } 0.065 \text{ and } 0.222 \text{ from}_{42}$ <sup>442</sup> Vieira and Solanki 2010 for the total and open flux, respector <sup>443</sup> tively; Table 2). Further discussion on the magnetic fluxe <sup>444</sup> evolution, including contributions of different components <sup>445</sup> (AR, ER and open flux) can be found in the paper by Vieirgo <sup>446</sup> and Solanki [2010]. 511

Yet another test of the quality of the model is offered by a comparison of the reconstructed solar irradiance in Ly- $\frac{1}{913}$ line with available measurements and a proxy model. Since this quantity was not taken into account in the optimisa tion and a comparison was carried out a posteriori, this is discussed in the next section.

# 4. Irradiance reconstruction

#### 4.1. Total Solar Irradiance

Figure 6 shows the reconstructed TSI since 1610. Thing 453 solid line represents daily values and the thick line the values 454 after 11-yr smoothing. Also shown are the measurements  $\underline{s}_{4}$ 455 available since 1978 (grey dots). Between the end of the 456 17th century (i.e. the end of the Maunder minimum) and 457 the end of the 20th centuries (represented as an average over, 458 1975–2005), the TSI has increased by  $1.25 \text{ W/m}^2$ , or about, 459 0.09%. This is in a good agreement with the earlier esti<sub>59</sub> 460 mate by Balmaceda et al. [2007] and Krivova et al. [2007]  $_{3p}$ 461 who obtained a value of  $1.3 \text{ W/m}^2$ . This good agreement  $\tilde{q}_1$ 462 the new version of the model presented here, which involves 463 a more accurate representation of the open magnetic flux 464 evolution and uses 2 additional data sets (facular contribu-465 tion to the TSI variation and irradiance at 220-240 nm) to 466 constrain model's free parameters, is an encouraging result. 467 This suggests that the model is rather tolerant to some 468 unavoidable assumptions and uncertainty in the values  $\delta \mathbf{F}$ 469 the free parameters (see also discussion of errors in *Vieira* 470 and Solanki [2010]). Even for two extreme assumptions? 471 time-independent ER flux and ER cycles being in antiphase<sup>0</sup> 472 with AR cycles, *Krivova et al.* [2007] obtained values  $\delta f^1$  about 1.5 W/m<sup>2</sup> and 0.9 W/m<sup>2</sup> for the increase since the 473 474 Maunder minimum, respectively. All these values thus lies 475 within a rather tightly confined range, also consistent with 476 the results obtained by other methods [e.g., Foster, 200445 477 Lockwood, 2005; Wang et al., 2005; Crouch et al., 200846 478 Steinhilber et al., 2009. 479 547

#### 4.2. Solar Spectral Irradiance

By design, SATIRE models allow reconstruction of both 480 total and spectral solar irradiance (see Sect. 2.1 and 481 Eq. (1)). However, since the LTE (Local Thermodynamic<sup>55</sup>) 482 Equilibrium) approximation is involved in calculations  $\delta f^{s}$ 483 brightness spectra of different surface features (Sect. 2.1) 484 from the appropriate model atmospheres [see also Unruh 485 et al., 1999], which is expected to fail in the UV, the irrad<sup>556</sup></sup> 486 ance below about 200 nm and in some stronger lines above? 487 200 nm is not reliable. 488

Krivova et al. [2006, 2009a] have found that, despite the 489 LTE approximation, SATIRE models work well in the specie 490 tral range 220 to 240 nm, as well as at the wavelengths above 491 approximately 270 nm. In order to extend the model tso 492 other wavelengths below 270 nm, which are of special interes 493 est for climate studies, they worked out a technique, which 494 makes use of the available measurements of solar irradiance 495 in the UV by the UARS/SUSIM instrument. Empirical re-496 lationships have been constructed between the irradiance in 497 the range 220–240 nm and irradiances at other wavelengths 498 between 115 and 270 nm. Thus whenever irradiance at 220<sup>565</sup> 499 240 is available, it is also possible to reconstruct irradiance 500 over the whole range 115-270 nm. We have here applied this? 501 technique in order to also calculate the spectral irradiances 502 over the range 115–270 nm. 503

The quality of this reconstruction can be judged from a comparison of the modelled irradiance in Ly- $\alpha$  line with available measurements by UARS/SUSIM between 1991 and 2005 and a composite time series compiled by Woods et al. [2000]. The composite comprises the measurements from the Atmospheric Explorer E (AE-E, 1977–1980), the Solar Mesosphere Explorer (SME, 1981–1989), UARS SOL-STICE (1991–2001), and the Solar EUV Experiment (SEE) on TIMED (Thermosphere, Ionosphere, Mesosphere Energetics and Dynamic Mission launched in 2001). The gaps are filled in using proxy models based on Mg core-to-wing and F10.7 indices, and the F10.7 model is also used to extrapolate the data set back in time. All 3 series are plotted in Fig. 7, with panels a and b showing daily and 3-month smoothed data, respectively. The model is represented by the red line, SUSIM data by green, and the composite record by the blue line. As in the case of the TSI, due to the missing ephemeral regions from cycle 24, the model gives too low Ly- $\alpha$  irradiance values from roughly 2005 onwards, so that we stop comparing its output with the data around then. By the model design, the magnitude of the solar cycle variation agrees better with the SUSIM data than with the composite [see Krivova et al., 2009a]. The correlation coefficients are 0.85 between the daily-sampled model and the SUSIM data and 0.89 between the model and the composite record. For the 3-month smoothed records, the correlation between the model and the composite by Woods et al. [2000] is 0.95. Note, however, that as discussed in Sect. 3, the shape of the cycles cannot be reproduced very accurately by the model design, so that times of activity minima and maxima may differ from the real ones by about a year or two. A complete Ly- $\alpha$  time series since 1610 is displayed in Fig. 8. Averaged over 11 years, Ly- $\alpha$  irradiance has increased by almost 50% since the end of the Maunder minimum.

Figure 9 shows the reconstructed irradiance integrated over some spectral ranges of particular interest for climate studies: Schumann-Runge oxygen continuum, 130-175 nm (a), Schumann-Runge oxygen bands, 175–200 nm (b), Herzberg oxygen continuum, 200-242 nm (c), Hartley-Huggins bands, 200-350 nm (d) and 2 IR intervals containing water vapour absorption bands, 800–1500 nm (e) and 1500–2500 nm (f). The variability is significantly stronger at shorter wavelengths, as previously found for solar cycle time scales [Floyd et al., 2003; Krivova et al., 2009a, 2010], and in the range between around 1500–2500 nm it is reversed compared to other wavelengths. The inverse solar cycle variability in this range has previously been noticed by Harder et al. [2009] based on SORCE/SIM observations in cycle 23 and by Krivova et al. [2010] based on the SATIRE-S model results. This is explained by the low or even negative contrast of faculae at these wavelengths [Unruh et al., 2008], so that their brightening (if any) no longer compensates the darkening due to sunspots. The increased amount of the facular and ER surface coverage since the Maunder minimum (as a result of the increase in the corresponding magnetic fluxes — see Vieira and Solanki [2010]), thus also leads to an overall increase (of the order of 0.02%) in the irradiance at 1500-2500 nm.

The complete time series of the reconstructed spectral and total irradiance are available from http://www.mps.mpg.de/-projects/sun-climate/data.html.

# 5. Summary

Solar irradiance has long been recognised as an important climate driver [*Hansen*, 2000; *Haigh*, 2001, 2007]. Nonetheless the main processes through which the Sun affects global climate remain uncertain. Whereas the total solar irradiance is the main external source of energy entering the Earth's climate system, solar UV irradiance governs chemical and physical processes in the Earth's upper atmosphere.

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Accurate assessment of the solar forcing on the Earthia 572 573 climate is partly hampered by a shortage of reliable and suf43 ficiently long irradiance records. Although significant atten<sup>644</sup> 574 tion has been paid in recent years to reconstructions of sola<sup>45</sup> 575 total irradiance, long-term reconstructions of solar spectra<sup>46</sup> 576 irradiance [Fligge and Solanki, 2000; Lean, 2000] suffered 577 from the fact that they estimated the magnitude of the long-578 term trend from stellar data that have in the meantime been 579 refuted. The SATIRE set of models [Solanki et al., 2005; 580 Krivova et al., 2010] provides a tool to reconstruct solar to-581 tal and spectral irradiance. However, since the LTE approxi-582 mation underlies the computations of the brightness spectree 583 584 of different photospheric components, the original version of the model fails in the UV. Although it contributes little to 585 the total irradiance (such that the modelled TSI is  $nevef^{52}$ 586 theless quite accurate), this wavelegth range on its own  $\frac{153}{15}$ 587 of special interest for climate research due to its important 588 influence on the chemistry and dynamics of the Earth's  $a_{456}$ 589 mosphere [Haigh, 1994, 2007; Langematz et al., 2005]. 590

The most recent empirical extension of the SATIRE modes 591 els to shorter wavelengths [Krivova et al., 2006, 2009a] 592 makes it possible to reconstruct solar spectral irrad<sup>60</sup> 593 ance over a broad spectral range between 115 nm and 594 160  $\mu$ m. Here we combined this empirical technique with the 595 SATIRE-T model previously used by Balmaceda et al. [200] 596 and Krivova et al. 2007 to reconstruct solar total irradiance 597 since the Maunder minimum. In the SATIRE-T model, the 598 sunspot number and, whenever available, sunspot areas and 599 used in order to reconstruct the evolution of the solar surfaces 600 magnetic field following Solanki et al. [2000, 2002], which 601 is then converted into irradiance. Recently, the physical 602 model of the solar photospheric magnetic field was recon<sup>71</sup> 603 sidered and updated by Vieira and Solanki [2010], so that 604 it now provides an even better agreement with the independence  $n_{\overline{1}}^{\prime\prime}$ 605 dent open flux reconstruction from the geomagnetic aa-index 606 [Lockwood et al., 2009]. 607 676

We have used this improvement to firstly update the re-608 construction of the TSI since 1610. The new reconstructions 609 shows a slightly better agreement with the PMOD compos<sup>29</sup> 610 ite of TSI measurements (with a linear correlation coefficiented 611 of 0.81 compared to 0.79) than the earlier version, although 1612 the two versions are still consistent with each other.  $W_{683}^{82}$ 613 now find a value of about 1.25 W/m<sup>2</sup> as our best estimates 614 for the 11-yr averaged increase in the TSI between the ends 615 of the Maunder minimum and the end of the 20th century 616 compared to 1.3  $W/m^2$  derived by Balmaceda et al. [2007] 617 and Krivova et al. [2007]. 618 688

We have then combined the SATIRE-T model with the 619 empirical extension of the model to shorter wavelengths an<sup>60</sup> 620 621 calculated solar spectral irradiance for the last 400 years over the spectral range 115 nm to 160  $\mu$ m. We required the model 622 to fit 2 additional independent time series, namely the facuto  $f_{\overline{14}}$ 623 lar contribution to the TSI variation and the solar UV flux<sub>5</sub> 624 over the range 220–240 nm as derived with the SATIRE-56 625 model based on KP NSO and MDI magnetograms and con97 626 tinuum images [Wenzler, 2005; Wenzler et al., 2006; Krivove 627 et al., 2009a, 2010]. This allowed better constraints to be set 628 on the model's free parameter and put a special emphasis 629 on the correct replication of the spectral distribution of  $th_{e}^{701}$ 630 irradiance. 631 703

<sup>632</sup> Thus the main result of this work is a reconstruc<sup>504</sup> <sup>633</sup> tion of solar total and spectral irradiance over a broads <sup>634</sup> range between 115 nm and 160  $\mu$ m since 1610. Thise <sup>635</sup> fully covers the range of interest for the state-of-the-arter <sup>636</sup> climate models. The data set is available online from<sup>389</sup> <sup>637</sup> http://www.mps.mpg.de/projects/sun-climate/data.html.<sup>709</sup> <sup>710</sup>

**Acknowledgments.** The composite Lyman  $\alpha$  time series was retrieved from the LASP ftp server (laspftp.colorado.edu)<sup>12</sup> This work was supported by the Deutsche Forschungsgemein<sup>43</sup> schaft, DFG project number SO 711/1-2 and by the WCU grant4 No. R31-10016 funded by the Korean Ministry of Education, Science and Technology. We thank the International Space Science Institute (Bern) for hosting the meetings of the international team on "Interpretation and modelling of SSI measurements". L.E.A.V. acknowledges support by the European Commission's Seventh Framework Programme (FP7/2007-2013; grant number 218816).

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Figure 1. a: Measured (symbols) and modelled (solid line) total magnetic flux since 1967. Each data point is an integral over a synoptic chart of one Carrington rotation. Different symbols are used for different data sets: KP NSO (squares), MWO (diamonds) and WSO (triangles). For the modelled flux, the value  $\phi_{act} + 0.3\phi_{eph} + \phi_{open}$  is given. **b**: Measured total magnetic flux vs. modelled. The solid line represents the linear regression fit  $(R_c = 0.93, \text{ slope is } 1.06)$ , the dashed line the expectation values, i.e. an ideal fit (with a slope of 1).

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Figure 2. a: Evolution of the modelled (yearly averages; solid line) open magnetic flux since 1900 compared to the reconstruction by *Lockwood et al.* [2009] since 1904 based on the geomagnetic aa-index (dotted line). b: Open magnetic flux from *Lockwood et al.* [2009] vs. modelled. The solid line represents the linear regression fit ( $R_c = 0.86$ , slope is 0.84), the dashed line the ideal fit.



Figure 3. a: Modelled (grey dotted line) and measured (PMOD composite, black solid line) daily total solar irradiance over cycles 21–23. b: Difference between the modelled and measured (PMOD composite) TSI. Dots represent daily values, the solid line the values smoothed over 1 year.



Figure 4. a: Facular contribution to the TSI variation calculated in this work (solid line) and using KP NSO magnetograms and continuum images [SATIRE-S, dashed line; *Wenzler et al.*, 2006]. Plotted are the 3-months running means of the variation around mean values. b: Facular contribution to the TSI from the SATIRE-S model vs. the one calculated here. The solid line represents the linear regression fit ( $R_c = 0.94$ , slope is 0.94), the dashed line the ideal fit.



Figure 5. a: Solar radiative flux integrated over the wavelength range 220–240 nm (3-months running means). The dashed line shows the SATIRE-S reconstruction based on the solar F10.7 radio flux (before 1974) as well as on the KP NSO and MDI magnetograms and continuum images [*Krivova et al.*, 2009a, 2010]. The solid line shows the model presented here. **b:** Solar 220– 240 nm flux from the independent SATIRE-S reconstruction vs. the model presented here. The solid line represents the linear regression fit ( $R_c = 0.94$ , slope is 0.99), the dashed line the ideal fit.



Figure 6. Reconstructed solar total irradiance since 1610 (thin black line). Also shown are the 11-yr smoothed TSI (thick solid line) and PMOD composite of measurements since 1978 (grey dots).



Figure 7. a: Daily reconstructed irradiance in Ly- $\alpha$  (red line) since 1947. Also shown are SUSIM measurements (green) and the composite (blue) of measurements and proxy models by *Woods et al.* [2000]. The correlation coefficients are 0.85 and 0.89 between the model and the SUSIM data and between the model and the composite, respectively. **b:** Same as panel a, but for 3-months running means.



Figure 8. Reconstructed solar irradiance in Ly- $\alpha$ : daily (thin solid line) and smoothed over 11 years (thick line).



Figure 9. Reconstructed solar irradiance in selected spectral intervals of special interest for climate models: daily (thin lines) and smoothed over 11 years (thick lines). a: Shumann-Runge oxygen continuum; b: Schumann-Runge oxygen bands; c: Herzberg oxygen continuum; d: Hartley-Huggins ozone bands; e: and f: water vapour infrared bands. The exact wavelength ranges are indicated in each panel.