

SOLAR PHOTOSPHERE AND CHROMOSPHERE

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1 Introduction

Importance of solar/stellar photosphere and chromosphere:

- photosphere emits 99.99% of energy generated in the solar interior by nuclear fusion, most of it in the visible spectral range
- photosphere/chromosphere visible “skin” of solar “body”
- structures in high atmosphere are rooted in photosphere/subphotosphere
- dynamics/events in high atmosphere are caused by processes in deep (sub-)photospheric layers
- chromosphere: onset of transport of mass, momentum, and energy to corona, solar wind, heliosphere, solar environment
chromosphere = burning chamber for pre-heating
non-static, non-equilibrium

Extent of photosphere/chromosphere:

- barometric formula (hydrostatic equilibrium):

$$dp = -\rho g dz \quad \text{and} \quad dp = -p \frac{\mu g}{\mathcal{R}T} dz \quad (1)$$

$$\Rightarrow p = p_0 \exp[-(z - z_0)/H_p] \quad (2)$$

with “pressure scale height” $H_p = \frac{\mathcal{R}T}{\mu g} \approx 125 \text{ km}$
(solar radius $R_\odot \approx 700\,000 \text{ km}$)

- extent: some 2 000 – 6 000 km (rugged)
- “skin” of Sun

In following: concepts, atmospheric model, dynamic atmosphere

2 A coarse view – concepts

2.1 The data

radiation ($\hat{=}$ energy)

solar output: measure radiation at Earth’s position, distance known

$$\Rightarrow \mathcal{F}_\odot = \sigma T_{\text{eff},\odot}^4 = L_\odot / (4\pi R_\odot^2) = 6.3 \times 10^{10} \text{ erg cm}^{-2} \text{ s}^{-1} \quad (3)$$

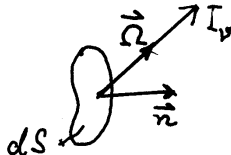
$$\Rightarrow T_{\text{eff},\odot} = 5780 \text{ K}. \quad (4)$$

specific intensity $I_\nu = I(\vec{r}, \vec{\Omega}, \nu, t)$

= from surface dS into direction $\vec{\Omega}$
emitted energy [erg/($\text{cm}^2 \text{ s Hz sterad}$)]

$$|\vec{\Omega}| = 1$$

$$\text{(or } I_\lambda, \nu = c/\lambda, |d\nu| = c/\lambda^2 |d\lambda|)$$



- I depends on wavelength λ (or frequency ν),
- measurements in: UV, optical (visible), IR/FIR, mm, radio
- absorption lines = Fraunhofer lines, emission lines in UV
- I depends on direction ($\vec{\Omega}$) and time (t)

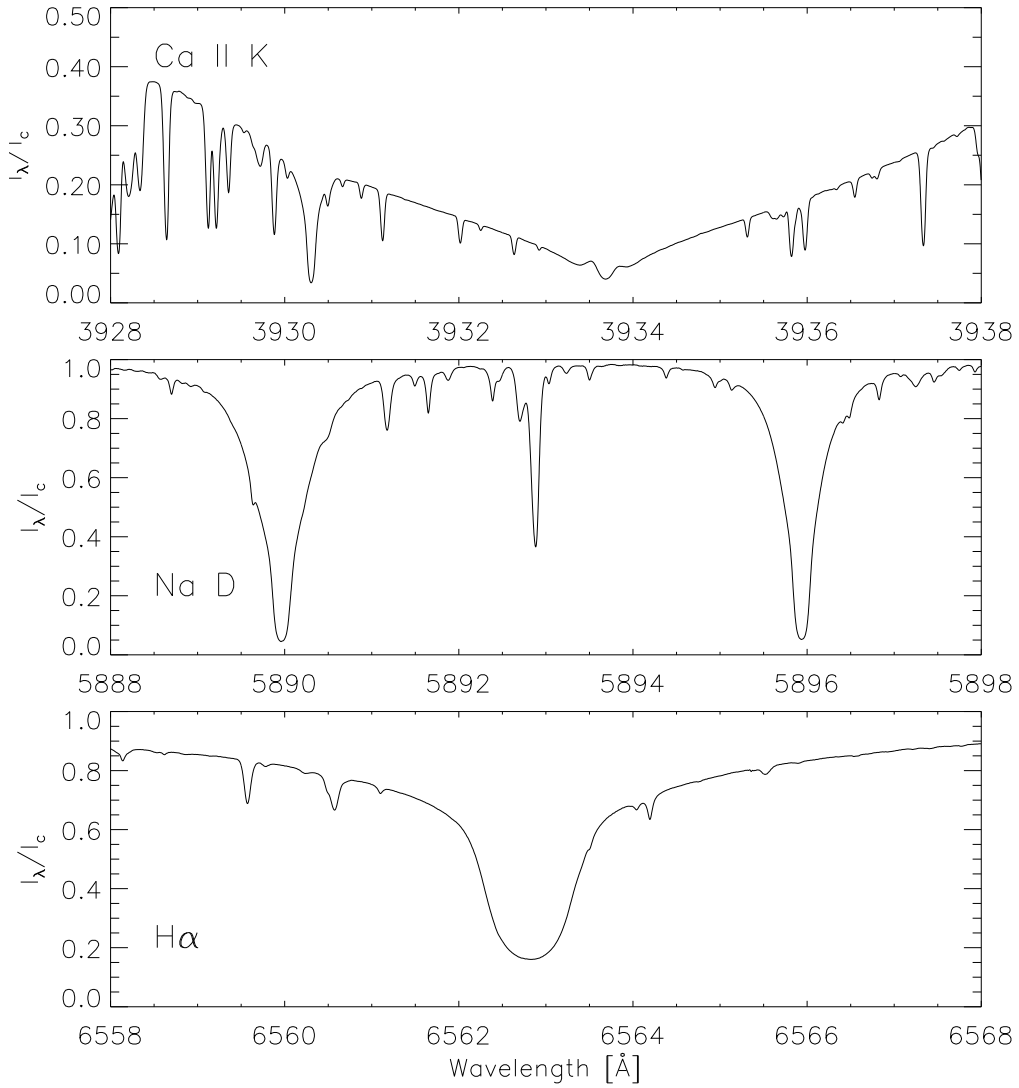
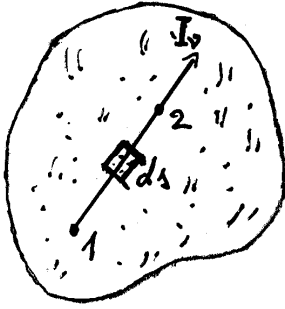


Figure 1: Examples of Fraunhofer lines in the visible spectral range near Ca II K, Na D₁ and Na D₂, and Balmer H α ; from Kitt Peak *Fourier Transform Spectrometer Atlas*.

2.2 Interpretation – first approach

a) Transfer of radiation



$$dI_\nu = -\kappa_\nu I_\nu ds + \varepsilon_\nu ds \quad (5)$$

κ_ν = absorption coefficient, ε_ν = emission coefficient, to be specified,

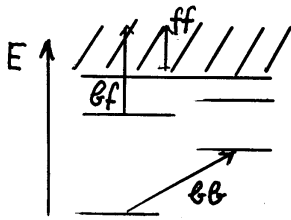
$$\frac{dI_\nu}{ds} = -\kappa_\nu I_\nu + \varepsilon_\nu ; \quad \vec{\Omega} \cdot \nabla I_\nu = -\kappa_\nu I_\nu + \varepsilon_\nu . \quad (6)$$

b) Optical thickness, absorption coefficients, source function

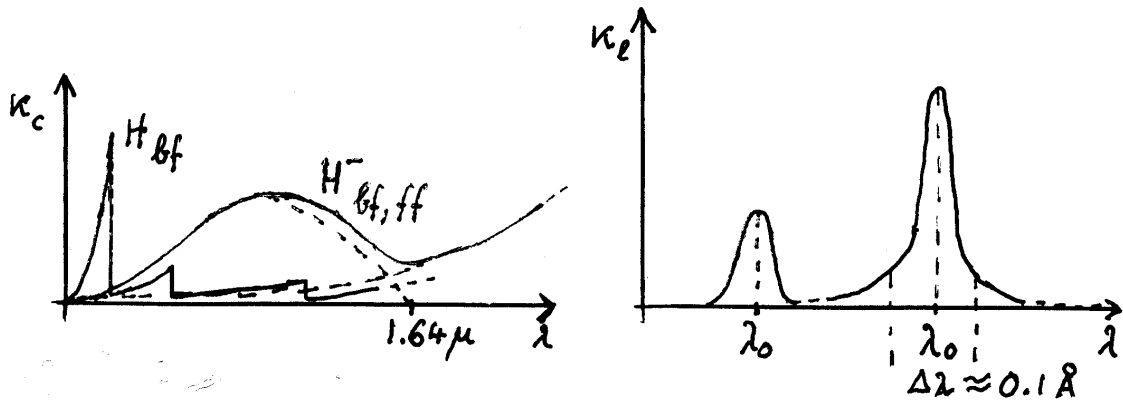
- optical thickness:

$$d\tau_\nu = -\kappa_\nu ds \quad ; \quad \tau_1 - \tau_2 = -\int_2^1 \kappa ds \quad (7)$$

- absorption coefficients:



- 1) from continuous atomic transitions (bound-free, free-free), slowly varying
- 2) from transitions between discrete atomic energy levels (bound-bound), broadened by thermal and turbulent motions (Doppler effect), by radiative and collisional damping



- source function:

$$S_\nu = \frac{\varepsilon_\nu}{\kappa_\nu} \quad ; \quad \text{in LTE} \quad S_\nu \equiv B_\nu(T) = \left(\frac{2h\nu^3}{c^2} \right) \frac{1}{\exp[h\nu/(kT)] - 1} \quad (8)$$

c) Concept of Local Thermodynamic Equilibrium – LTE

atomic level populations according to Boltzmann-Saha statistics with local temperature (from Maxwellian distribution of electron velocities)

$$\Rightarrow \frac{\varepsilon_\nu}{\kappa_\nu} = B_\nu(T) \quad (9)$$

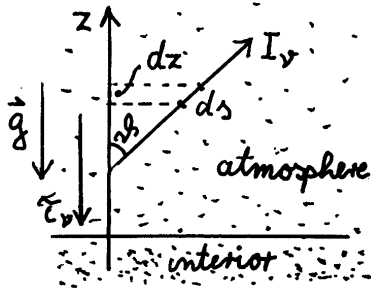
not much dependent on ν , “constant” across any spectral line.
 We have to check the LTE concept.

d) Formal solution

$$I(\tau_2) = I(\tau_1)e^{-(\tau_1-\tau_2)} + \int_{\tau_2}^{\tau_1} S e^{-(\tau'-\tau_2)} d\tau' \quad (10)$$

or: $I(\tau_2) =$ (intensity irradiated at point 1, i.e. τ_1) $\times e^{-(\tau_1-\tau_2)}$
 + integral over (intensity emitted underway at τ') $\times e^{-(\tau'-\tau_2)}$

e) Plane parallel atmosphere



define $d\tau_\nu \equiv -\kappa_\nu dz$, $ds = dz / \cos \theta$, $\cos \theta \equiv \mu$,
 $\tau_\nu = -\int_\infty^z \kappa_\nu dz$
 \Rightarrow emergent intensity

$$I_\nu(\tau_\nu = 0, \mu) = \int_0^\infty S_\nu(\tau'_\nu) e^{-\tau'_\nu/\mu} d\tau'_\nu / \mu \quad (11)$$

f) Eddington-Barbier approximation

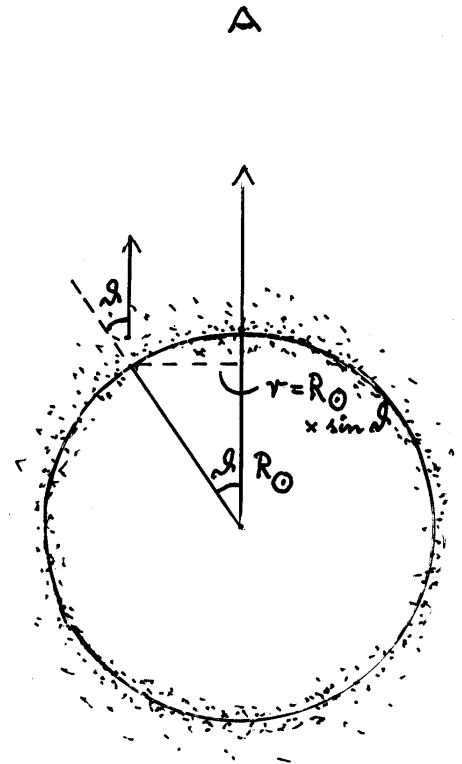
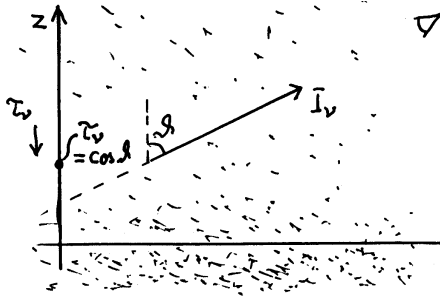
Taylor expansion of $S(\tau')$ about τ^* :

$$S(\tau') = S(\tau^*) + (\tau' - \tau^*) \frac{dS}{d\tau} \Big|_{\tau^*} + \dots$$

\Rightarrow at $\tau^* = \mu = \cos \theta$ (Eq. 11)

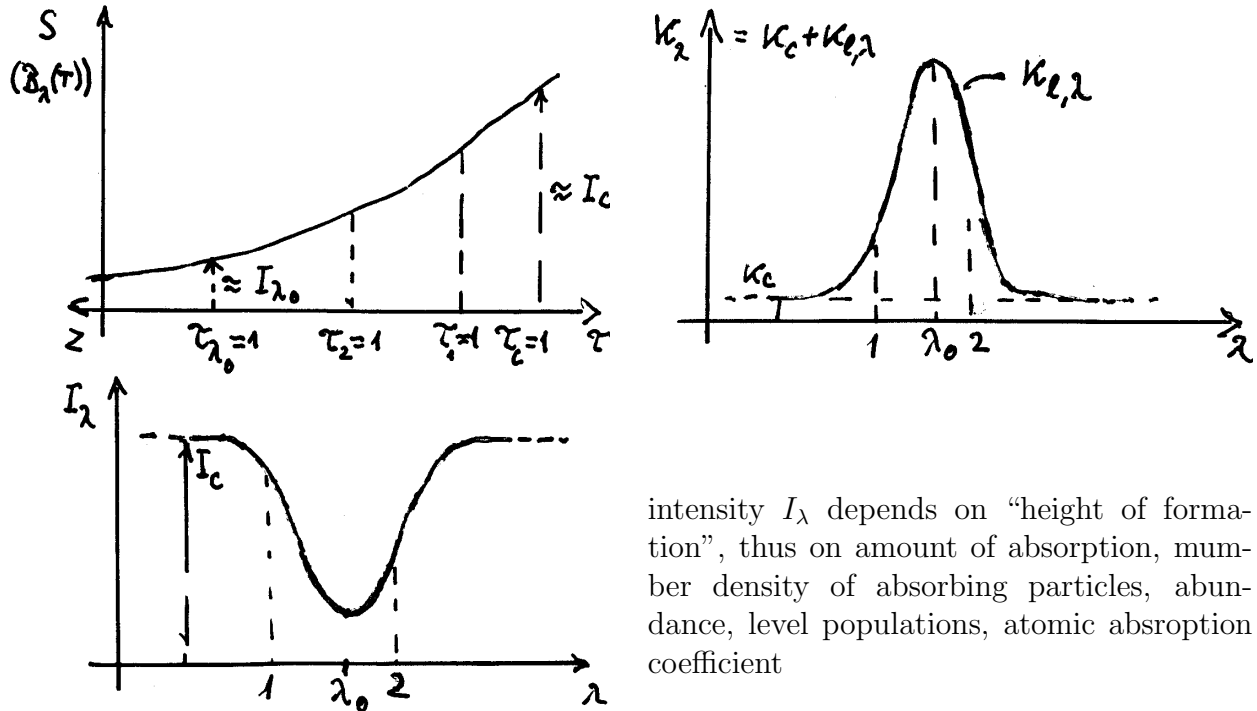
$$I_\nu(\tau_\nu = 0, \mu) \approx S_\nu(\tau_\nu = \mu), \quad (12)$$

i.e. observed intensity \approx source function at $\tau_\nu = \cos \theta$ (not at $\sin \theta$)



g) Formation of Fraunhofer lines, schematically

mapping of source function (e.g. $B_\nu(T)$) onto emergent intensities via absorption coefficients (disk center, $I_\lambda(0, \mu = 1) \approx S_\lambda(\tau_\lambda = 1)$)



intensity I_λ depends on “height of formation”, thus on amount of absorption, number density of absorbing particles, abundance, level populations, atomic absorption coefficient

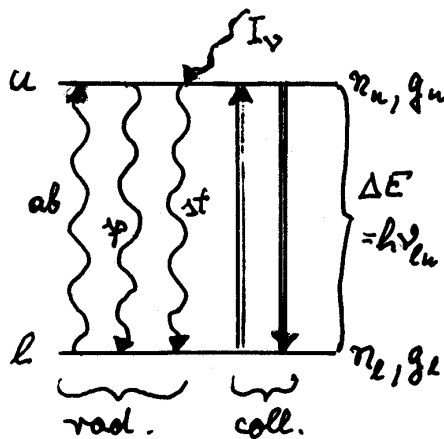
2.3 non-Local Thermodynamic Equilibrium – non-LTE

calculate source function for very specific case:

only atoms of one species, possessing just two atomic levels,

+ electrons for collisions,

electrons have Maxwellian velocity distribution (defines temperature T)



a) Absorption

on ds absorbed specific intensity I_ν

= probability q_ν that an atom absorbs a photon

× number density of absorbing atoms n_l

× intensity $I_\nu \times ds$

$$\Rightarrow \kappa_\nu I_\nu ds = q_\nu n_l I_\nu ds$$

Einstein:

$$q_\nu = B_{lu} \frac{h\nu_{lu}}{4\pi} \phi_\nu$$

where ϕ_ν = Gauss-, Voigt profile,

frequency dependence is separated out and $\int_{\text{line}} \phi_\nu d\nu = 1$

classically: harmonic damped oscillator

$$\int_{\text{line}} q_\nu d\nu = \frac{\pi e^2}{m_e c} f_{lu} \tag{13}$$

b) Spontaneous emission

$$\varepsilon_{\nu,sp} = n_u A_{ul} \frac{h\nu_{lu}}{4\pi} \phi_\nu$$

c) Stimulated emission

$$\varepsilon_{\nu,st} = n_u B_{ul} \frac{h\nu_{lu}}{4\pi} \phi_\nu I_\nu$$

$$\text{relations: } A_{ul} = \frac{2h\nu^3}{c^2} B_{ul}, \quad g_l B_{lu} = g_u B_{ul}$$

d) Rate equations

Boltzmann equation for level i :

$$\frac{\partial n_i}{\partial t} + \nabla \cdot (n_i \vec{v}_i) = (\text{production} - \text{destruction}) \text{ per unit time} \quad (14)$$

assume that production and destruction fast processes (atomic transitions)
 \Rightarrow (*production* - *destruction*) ≈ 0 or

$$n_l (B_{lu} \bar{J} + C_{lu}) = n_u (A_{ul} + B_{ul} \bar{J} + C_{ul}) \quad (15)$$

with angle and frequency averaged intensities

$$J_\nu = \int I_\nu d\Omega / (4\pi), \quad \text{and} \quad \bar{J} = \int_{\text{line}} J_\nu \phi_\nu d\nu \quad (16)$$

e) Collisions

collisions with electrons dominate those with other particles
 (density n_e , they are fast, Maxwellian velocity)

$$C_{lu} = n_e \Omega_{lu,c}(T) \quad \text{and} \quad C_{ul} = n_e \Omega_{ul,c}(T) \quad (17)$$

Gedankenexperiment: in thermal equilibrium (n_l^* , n_u^*), Boltzmann populations

$$\Rightarrow \frac{n_u^*}{n_l^*} = \frac{g_u}{g_l} e^{-h\nu/(kT)} \quad (18)$$

When collisions with thermal electrons dominate over radiative transitions

$$\Rightarrow \text{Boltzmann level populations} \Rightarrow \frac{C_{lu}}{C_{ul}} = \frac{g_u}{g_l} e^{-h\nu/(kT)} \quad (19)$$

otherwise, from rate equation

$$\frac{n_l g_l}{n_u g_u} = \frac{A_{ul} + B_{ul} \bar{J} + C_{ul}}{B_{lu} \bar{J} + C_{ul} \exp[-h\nu/(kT)]} \quad (20)$$

population densities depend on collisions and on radiation field

f) absorption coefficient, source function

$$\kappa_\nu = n_l B_{lu} \frac{h\nu_{lu}}{4\pi} \left[1 - \frac{n_u g_l}{n_l g_u} \right] \phi_\nu \quad (21)$$

define: $\varepsilon' \equiv \frac{C_{ul}}{A_{ul}} (1 - \exp[-h\nu/(kT)])$; $\varepsilon \equiv \frac{\varepsilon'}{1+\varepsilon'}$

$$\Rightarrow S_{lu} = (1 - \varepsilon) \bar{J} + \varepsilon B_\nu(T) \quad (22)$$

$S_{lu} \approx$ independent of ν across spectral line, like $B_\nu(T)$

$S_{lu} = B_\nu(T)$ (or LTE) for $\varepsilon \rightarrow 1$, $\varepsilon' \rightarrow \infty$, $C_{ul} \gg A_{ul}$ or for $\bar{J} = B_\nu(T)$

g) estimate ε'

(approximate $\exp[-h\nu/(kT)] \ll 1$, Wien limit)

$A_{ul} \approx 10^8 \text{ s}^{-1}$, (atomic level life time for resonant lines $t = 1/A_{ul} \approx 10^{-8} \text{ s}$)

$n_e \approx 10^9 \dots 10^{14} \text{ per cm}^3$ in \odot atmosphere

$\Omega_{ul} \approx \sigma_{ul} \bar{v}_e, \sigma_{ul} \approx 10^{-15} \text{ cm}^2, \bar{v}_e \approx 4 \times 10^7 \text{ cm s}^{-1}$

$\Rightarrow \varepsilon' = 4 \times 10^{-2} \dots 4 \times 10^{-7} \ll 1; \varepsilon \approx \varepsilon'$

h) solution of transfer equation

for simplicity with $B_\nu(T)$, ε , ϕ_ν all independent of height (of optical depth)

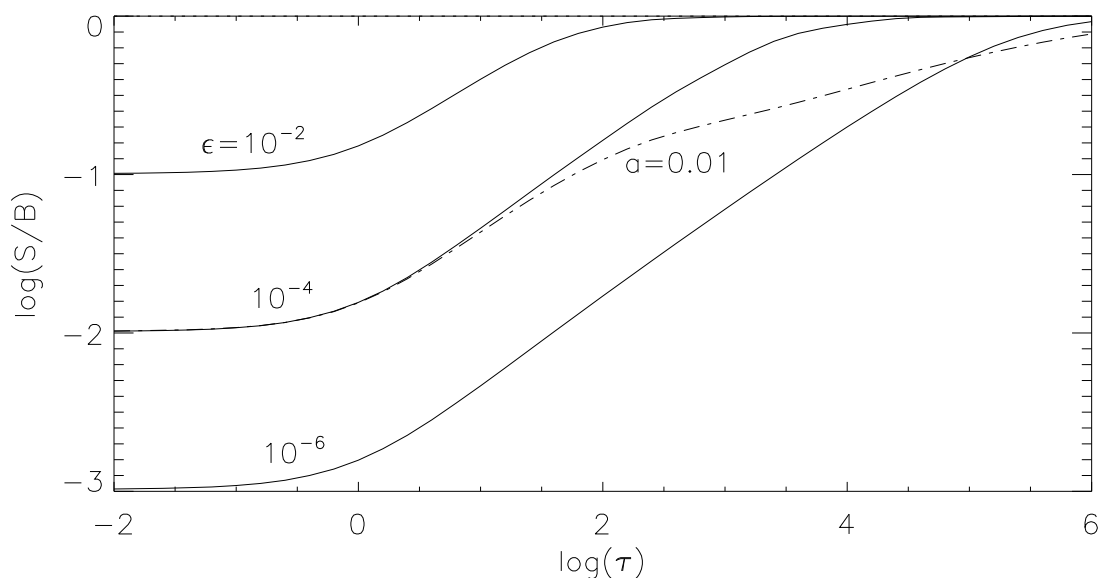
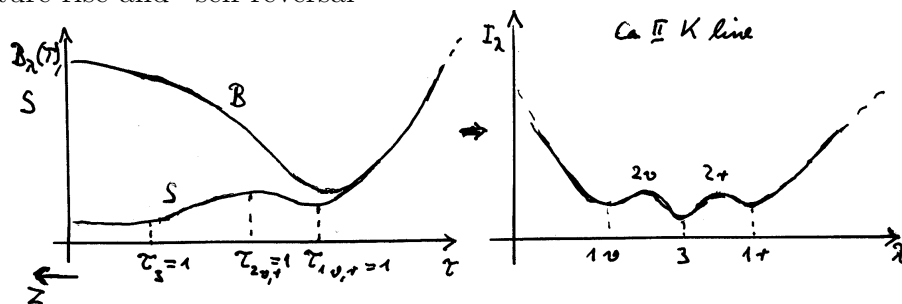


Figure 2: Run of $S_{lu}/B_\nu(T)$ with optical depth (at line center) in an atmosphere with constant properties. Solid curves: Gaussian absorption profiles; dash-dotted: $\varepsilon = 10^{-4}$ and normalized Voigt profile with damping constant $a = 0.01$.

- $S_{lu} \ll B_\nu(T)$ near surface, photons escape from deep layers,
 $\Rightarrow \bar{J} \ll B_\nu(T)$
- We would see an absorption line although T is constant with depth
 (see above, formation of Fraunhofer lines, mapping of S onto emergent intensity $I_\lambda(0, \mu)$)
- temperature rise and “self-reversal”



- Normally, atoms, molecules, and ions have many energy levels/transitions
 \Rightarrow complicated, but possible to calculate (let the computer do it)

2.4 Polarized light

- polarized light is produced by scattering and in the presence of magnetic fields
- described by the *Stokes* vector $\vec{I}_\nu = (I_\nu, Q_\nu, U_\nu, V_\nu)^T$ with

$I_\nu \equiv$ total intensity

$Q_\nu, U_\nu \equiv$ contribution of linearly polarized light in two independent orientations

$V_\nu \equiv$ contribution of circularly polarized light

- transfer of *Stokes* vector

$$\frac{d\vec{I}}{ds} = -\mathbf{K}(\vec{I} - \vec{S}) \quad ; \quad \vec{S} = (S, 0, 0, 0)^T = \text{source function} \quad (23)$$

information on magnetic field (e.g. Zeeman splitting) is contained in absorption matrix \mathbf{K}

2.5 Atmospheric model

a) Assumptions

- hydrostatic equilibrium: $dp = -\rho g dz$
- plane parallel, gravitationally stratified
- micro-, macro-turbulence (small-scale random motions, for broadening of lines)
- static: $\frac{\partial}{\partial t} = 0$; $\vec{v} = 0$; $\Rightarrow \frac{\partial n_i}{\partial t} + \nabla \cdot (n_i \vec{v}) = 0$
- kinetic equilibrium: electrons possess Maxwellian velocity distribution
- equation of state: $p = n_{\text{tot}} k T$
- charge conservation: $n_e = n_p + n_{\text{He}^+} + n_{\text{He}^{++}} + n_{\text{Fe}^+} + \dots$
- chemical composition given: abundances $\Rightarrow \rho$, absorption coefficient, electron density
- atomic parameters known: f_{lu} , Ω_{lu} , \dots

b) Model construction

- adopt model of temperature $T(z)$
(zero level $z = 0$ arbitrary, usually shifted to $\tau_{c,5000\text{\AA}} = 1$ at end of modelling)
- solve simultaneously/iteratively:
 - transfer equations for important lines and continua:
 - H, other elements important as electron donors (Fe, Mg, Si, \dots)
 - rate equations for the according levels and continua
 - obey hydrostatic equilibrium and charge conservation

⇒ mass density $\rho(z)$, electron density $n_e(z)$,
 absorption and emission coefficients $\kappa_\nu(z)$, $\varepsilon_\nu(z)$

- calculate emergent intensities and compare with observations
- modify $T(z)$, if necessary (disagreement between data and calculated intensities)
- otherwise ⇒ MODEL

c) Example

Vernazza, Avrett, & Loeser (1981, [23]) ⇒ VAL A-F, VAL C

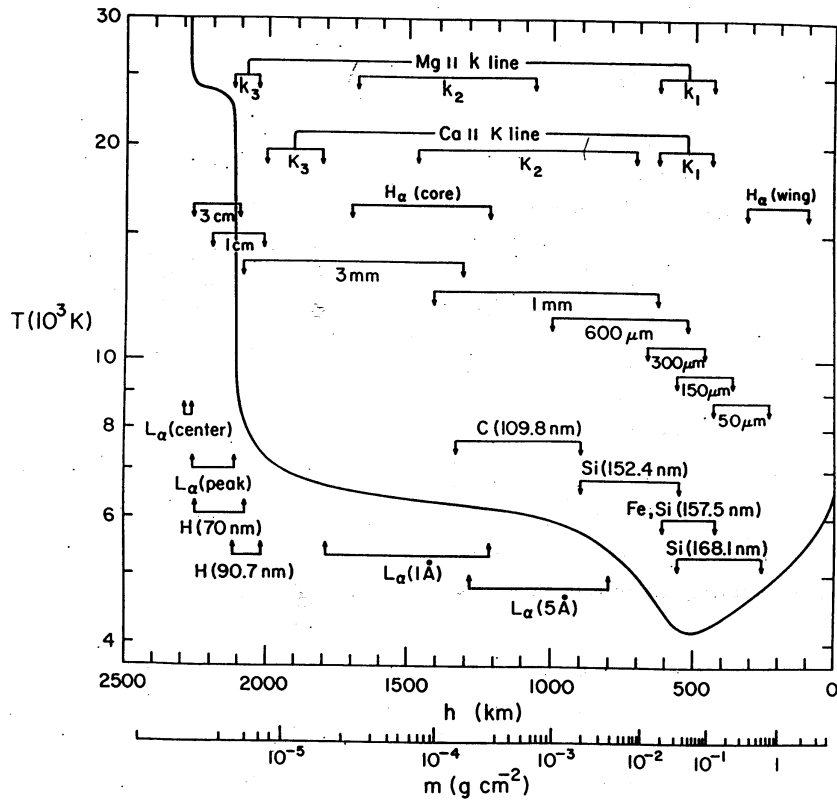


Figure 3: Run of temperature with height in the solar atmosphere. The formation layers of various spectral features are indicated. From Vernazza, Avrett, & Loeser (1981, [23]).

3 A closer view – the dynamic atmosphere

- The Sun shows many inhomogeneities, known since about 150 years
- inhomogeneities are time dependent – dynamic
- temperature rise to high coronal values only possible with non-radiative energy supply
- most energy is needed for chromospheric heating
- dynamics: convection – waves – dynamic magnetic fields
- more descriptive than theoretical presentation (see references and other lectures)

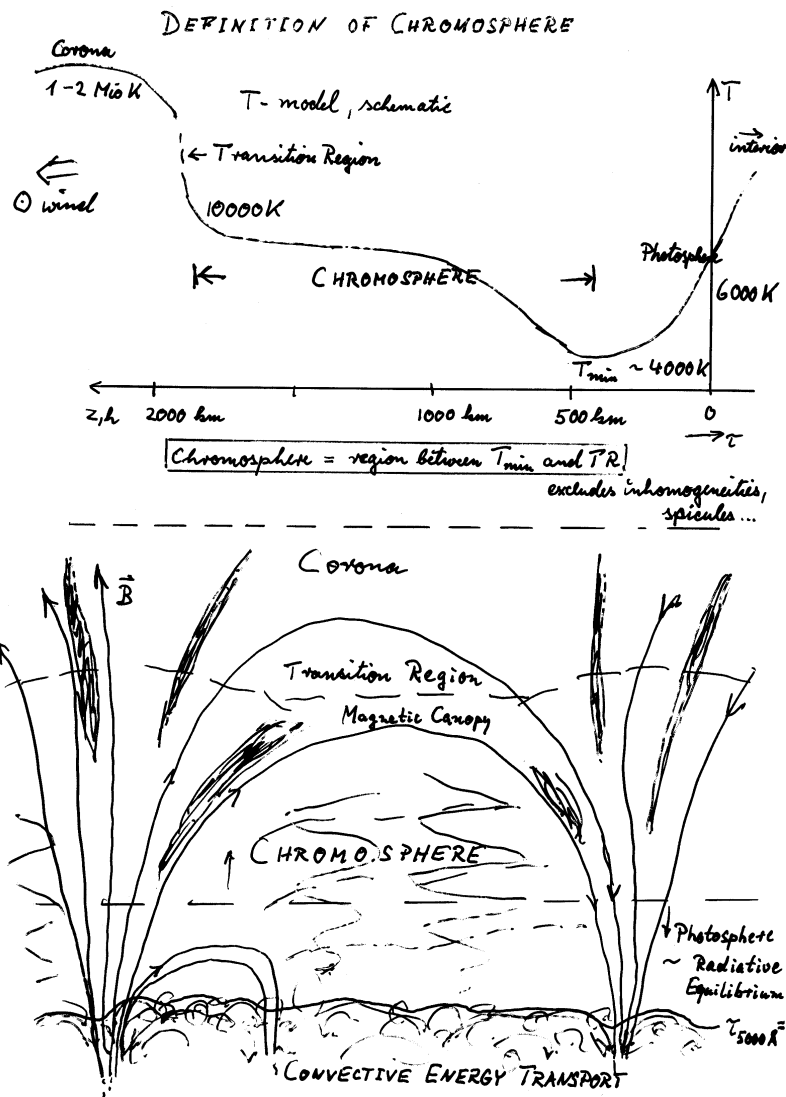


Figure 4: Homogeneous temperature structure of the solar atmosphere (upper part, [23]) and sketch of inhomogeneities with dynamic features as granules, waves, spicules, and magnetic fields.

3.1 Convection – granulation

a) Convection

- down to approx. 200 000 km the solar interior is convective:
high opacity and low c_p/c_v (ionization of H \Rightarrow many degrees of freedom) favour convection, “Schwarzschild criterion”
- convection very efficient in energy transport
- photosphere: $\tau_{\text{cont}} \approx 1$, energy escapes to Universe by radiation
- photosphere convectively stable, boundary layer to convective interior

b) Granulation (– supergranulation)

- top of convection zone
- size $\bar{l} \approx 1000$ km \gg scale height (Muller 1999 [11]), life time ≈ 10 min
- velocities: bright upflows \rightarrow radiative cooling \rightarrow cool downflows,
 $v_{\text{max}} \approx 2$ km s $^{-1}$ (vertical and horizontal)
- intensity fluctuations and velocities highly correlated
(down to resolution limit ≈ 300 km)

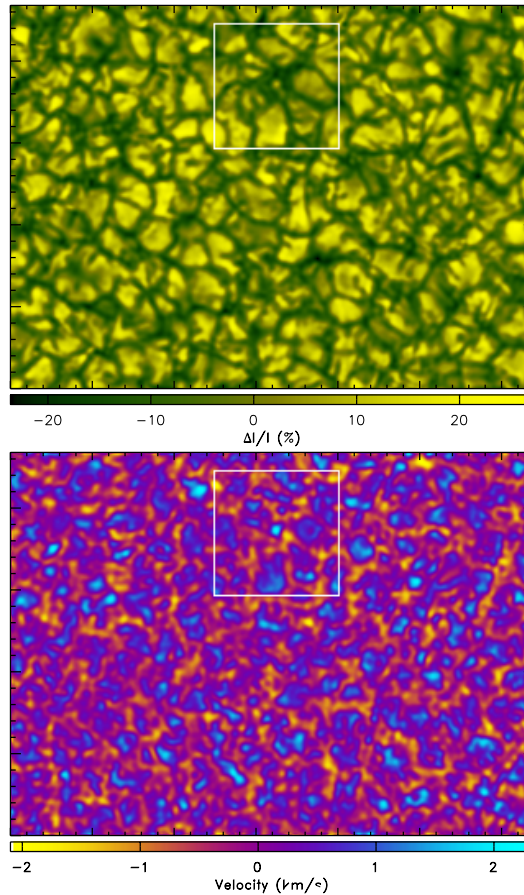


Figure 5: Intensity and velocity fluctuations of granulation ([8]).

c) Turbulence

- Rayleigh number $Ra \approx 10^{11} \Rightarrow$ motion expected highly turbulent
- kinetic energy spectrum $\propto k^{-5/3}$, on which scale? (see Muller 1999 [11], Krieg et al. 2000 [8])

3.2 Waves

a) Atmospheric waves

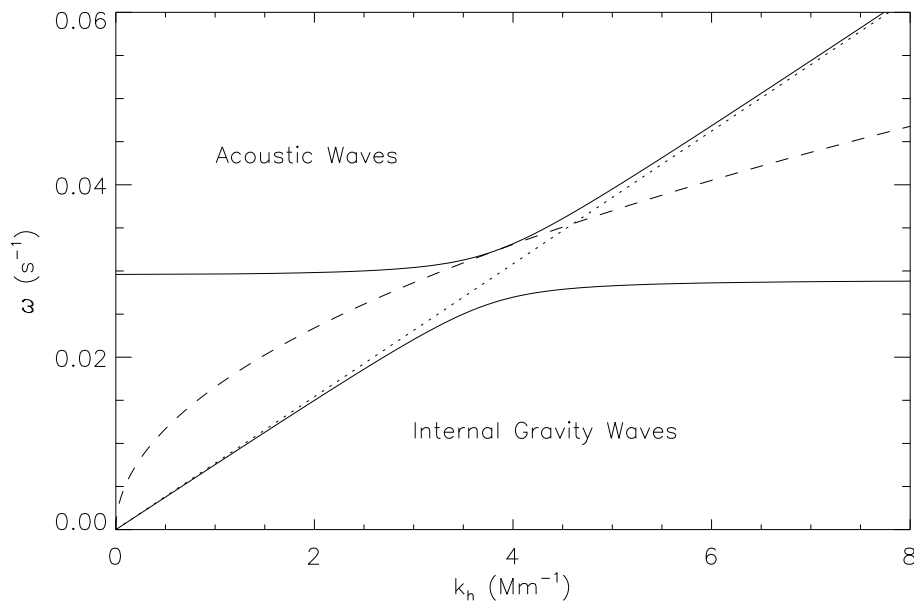


Figure 6: Regimes in the k_h - ω plane with predominantly acoustic wave and predominantly gravity wave properties, separated by the regime of evanescent waves. Dotted line: Lamb waves; dashed: divergence-free or surface gravity waves; $T_0 = 6000$ K, $c_p/c_v = 5/3$, molar mass $\mu = 1.4$.

- assume gravitationally stratified atmosphere
- assume constant temperature, $c_p/c_v = 5/3 = \text{constant}$
- conservation of mass, momentum, and energy (assume adiabatic motion, i.e. no energy exchange)
- linearize \Rightarrow dispersion relation
 $k_h = 2\pi/\Lambda$, $\Lambda =$ horizontal wavelength (parallel to “surface”)
 $\omega = 2\pi/P$, $P =$ period
- \Rightarrow regions of wave propagation and of evanescent waves

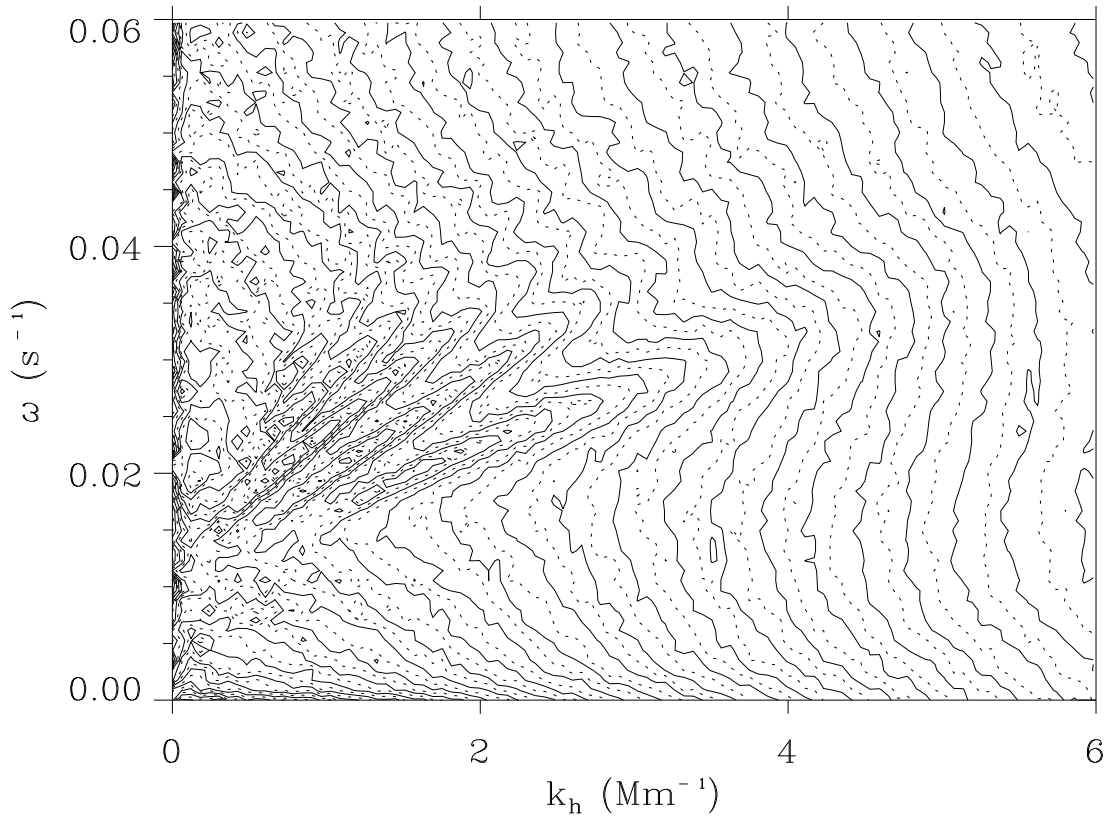
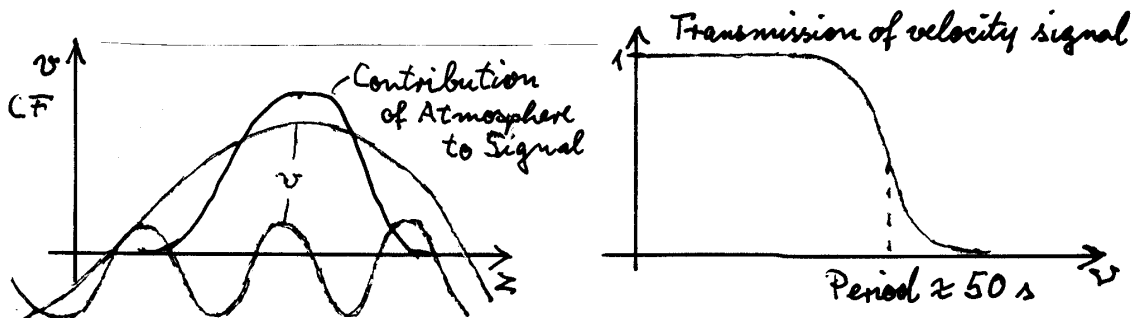


Figure 7: k_h - ω diagram, power spectrum of intensity fluctuations obtained from a time series of Ca II K filtergrams (from the chromosphere).

b) Observations

- observations are dominated by evanescent waves:
“5-min oscillations” = acoustic waves in solar interior (resonator),
evanescent in atmosphere
- gravity waves do exist, very likely (e.g. Al et al [1]),
generated by granular up- and downflows
- acoustic waves:
important (see e.g. Ulmschneider et al. 1991 [21], Ulmschneider et al. 2001 [22]),
expected: generated by turbulence \rightarrow noise (Lighthill mechanism)
small-scale \Rightarrow high spatial resolution needed
periods: 10 s ... 50 s ... 100 s
snag: low signal, hard to detect



(see also work of Maren Wunnenberg, future work of Aleksandra Andjic)

3.3 Magnetic fields

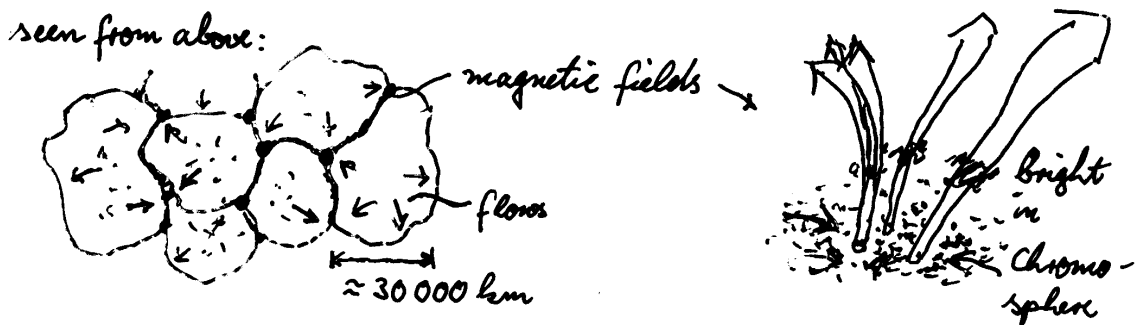
(see also lecture by M. Schüssler)

a) In general

- signature by Zeeman effect: line splitting, polarization
- magnetic fields often related to conspicuous intensities: sunspots, pores, bright points
- essential for coronal dynamics and dynamics of heliospheric plasma
- magnetic fields are a very important ingredient to solar/stellar atmospheric dynamics many dedicated conferences (e.g. Sigwarth 2001 [19]), dedicated telescopes

b) Small-scale magnetic fields

- small-scale: 300 km ... 100 km ... 10 km
- related: magnetic network – chromospheric network – supergranular flows



bundles of flux tubes, $B \approx 1500$ Gauss,
almost empty because magnetic pressure balances external gas pressure

- Intra-Network fields: ubiquitous, more magnetic flux through solar surface than the flux in sunspots
- MISMA hypothesis (Micro-Structured Magnetic Atmosphere) (e.g. Sánchez Almeida & Lites 2000 [17])



(work of Itahiza Domínguez Cerdeña)

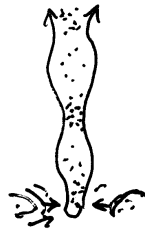
c) Magnetic fields and waves

- important for chromospheric and coronal heating excited by granular flows

- magnetoacoustic gravity waves \Rightarrow multitude of modes, e.g.



torsional
 $c_A = B/(4\pi\rho)^{1/2}$



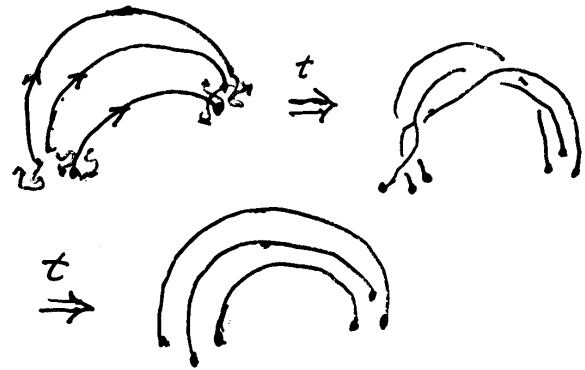
sausage
 $c_t = c_s c_A / (c_s^2 + c_A^2)^{1/2}$



kink
 cutoff for low frequencies

d) Topological complexity

- footpoints are pushed around
- \rightarrow “braiding” of magnetic fields
- \rightarrow reordering/reconnection
- \rightarrow release of magnetic energy



(work of Katja Janßen and Oleg Okunev)

3.4 Chromosphere

Name stems from eclipses (Lockyer and Frankland 1869):
 shortly before/after totality vivid red color: emission in $H\alpha$ (Secchi 1877 [18])
 layers above photosphere, very inhomogeneous, very dynamic

a) Quiet chromosphere

- spicules (Beckers 1972 [2], Wilhelm 2000 [24]): $v \approx 30 \text{ km s}^{-1}$ into corona
 100 times more mass than taken away by solar wind
- chromospheric network:
 diameter $\approx 30\,000 \text{ km}$, life time $\approx 24 \text{ h}$
 consists of boundaries, bright in Ca K line,
 co-spatial with magnetic fields, co-spatial with convective flow:
 supergranulation
- cell interior:
 tiny, quasy-periodic bright points, few times repetitive, 120 s ... 250 s

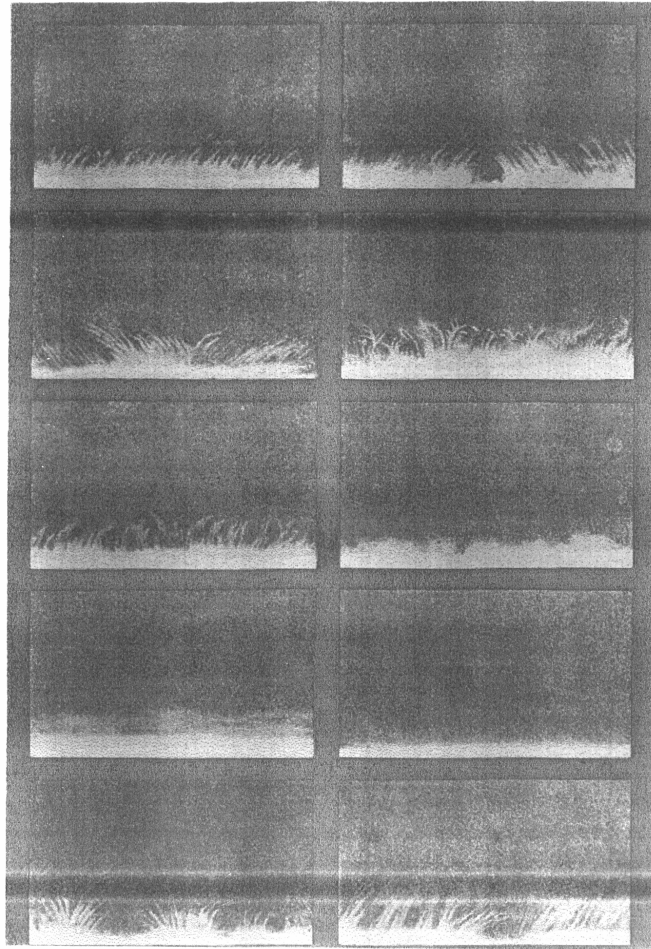
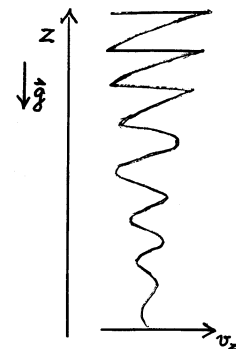


Figure 8: Spicules at the solar limb, hand drawings by Secchi (1877 [18]).

b) Problem of heating

- short-period waves
generated by turbulent convection
wave spectrum with periods: 10 s ... 50 s ... 100 s
waves travel into higher layers
acoustic energy flux: $F_{ac} = \rho v^2 c_s = const$
 $c_s \approx const, \rho \approx \rho_0 e^{-z/H} \Rightarrow v \approx v_0 e^{z/(2H)}$
 \Rightarrow acoustic shocks \Rightarrow deposit of energy



- numerical simulations (Carlsson & Stein 1997 [5], Rammacher 2002 [13]):
1) shock trains develop from short period waves, periods ≈ 200 s
to be identified with bright points?
2) no temperature increase on average
- way out: average temperature deduced, not measured, from average observations
 \Rightarrow reproduce (average) observations by numeric simulation of dynamics
then deduce from modelled observations average temperature

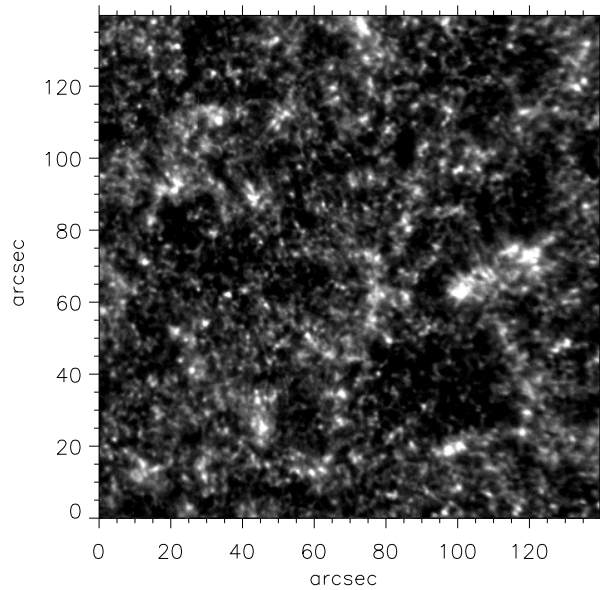
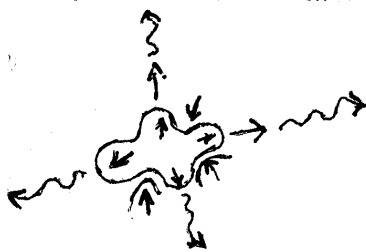


Figure 9: Ca II K filtergram from the quiet chromosphere at disk center.

c) Network boundary – active chromosphere (plages)

- increasing emission – increasing involvement of magnetic fields
- more and more braiding/reconnection
- acoustic wave emission along magnetic flux tubes: much more efficient than in free turbulence



free turb.: quadrupole emission



flux tube: dipole emission

- problem generally: to observe the dynamics, waves, reconnection!

4 Conclusions

- Solar / stellar photosphere and chromosphere are essential parts of the Sun / of (late type) stars.
- Photosphere and chromosphere are very dynamic.
- We see a huge plasma laboratory at work, we may learn much physics.
- Finest structure and dynamics determine outer layers: corona, solar wind, heliosphere.
- Thus, the processes in photosphere and chromosphere are important for Earth.

- There are means to learn about the structure and the processes.
- It remains so much one would like to understand!

References

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