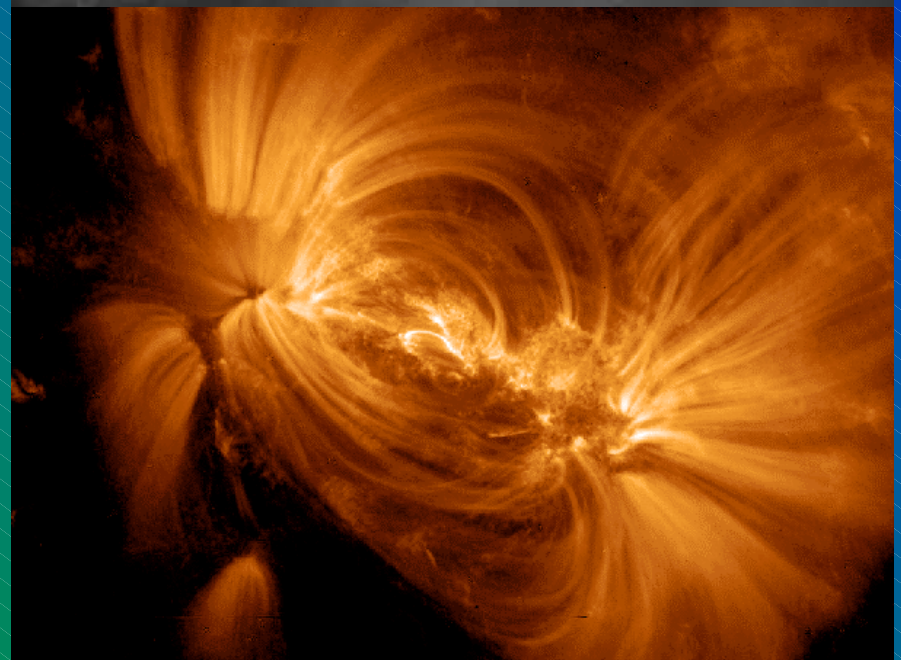
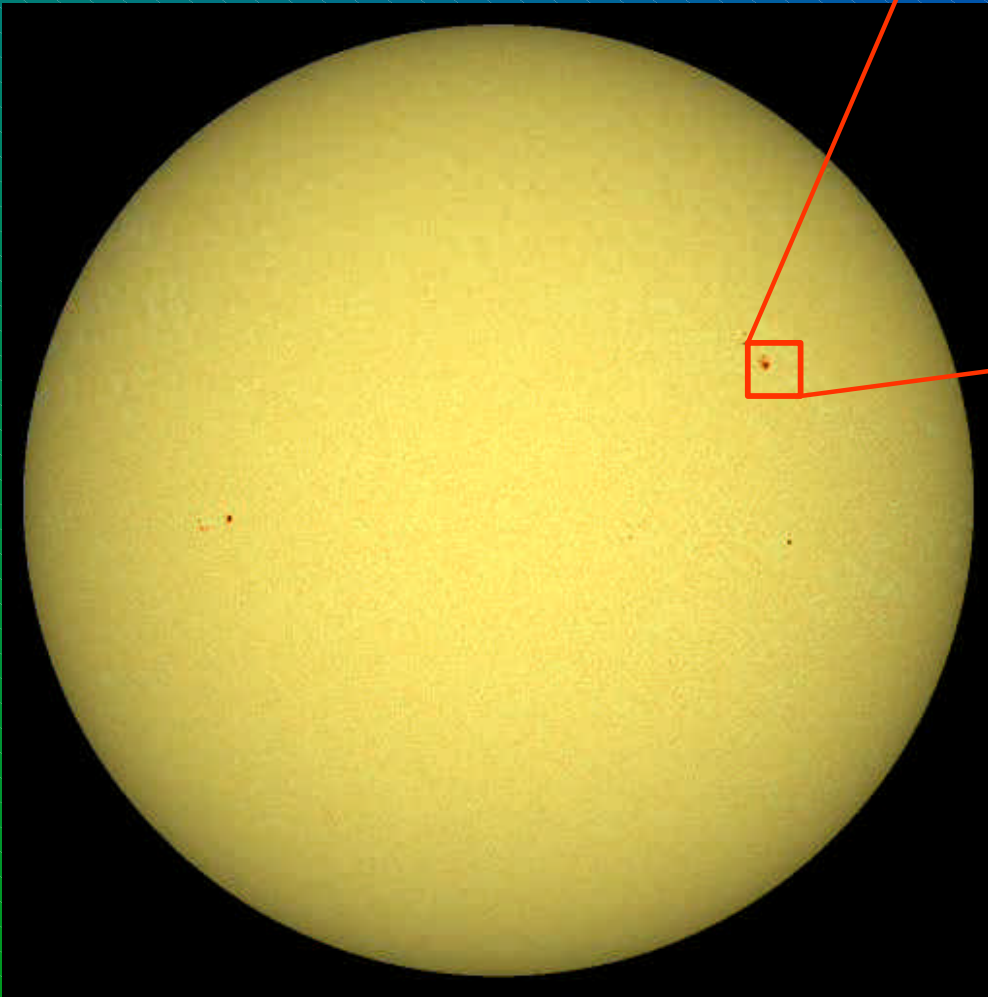
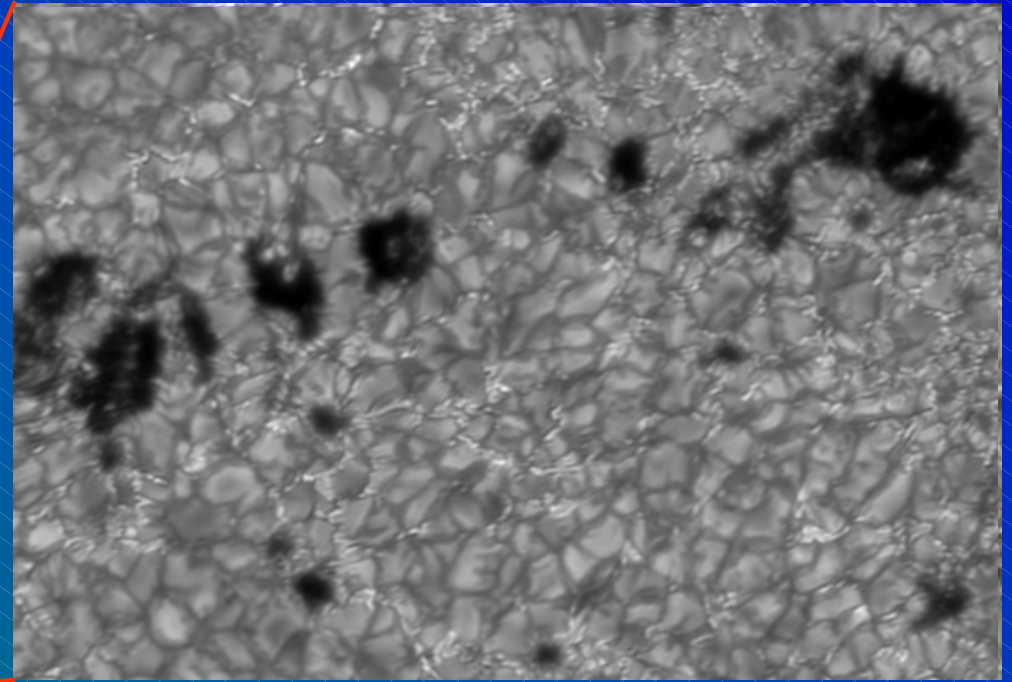


IMPRS Intro Course  
Germerode, 19.2.2002

# Solar Convection & Magnetism

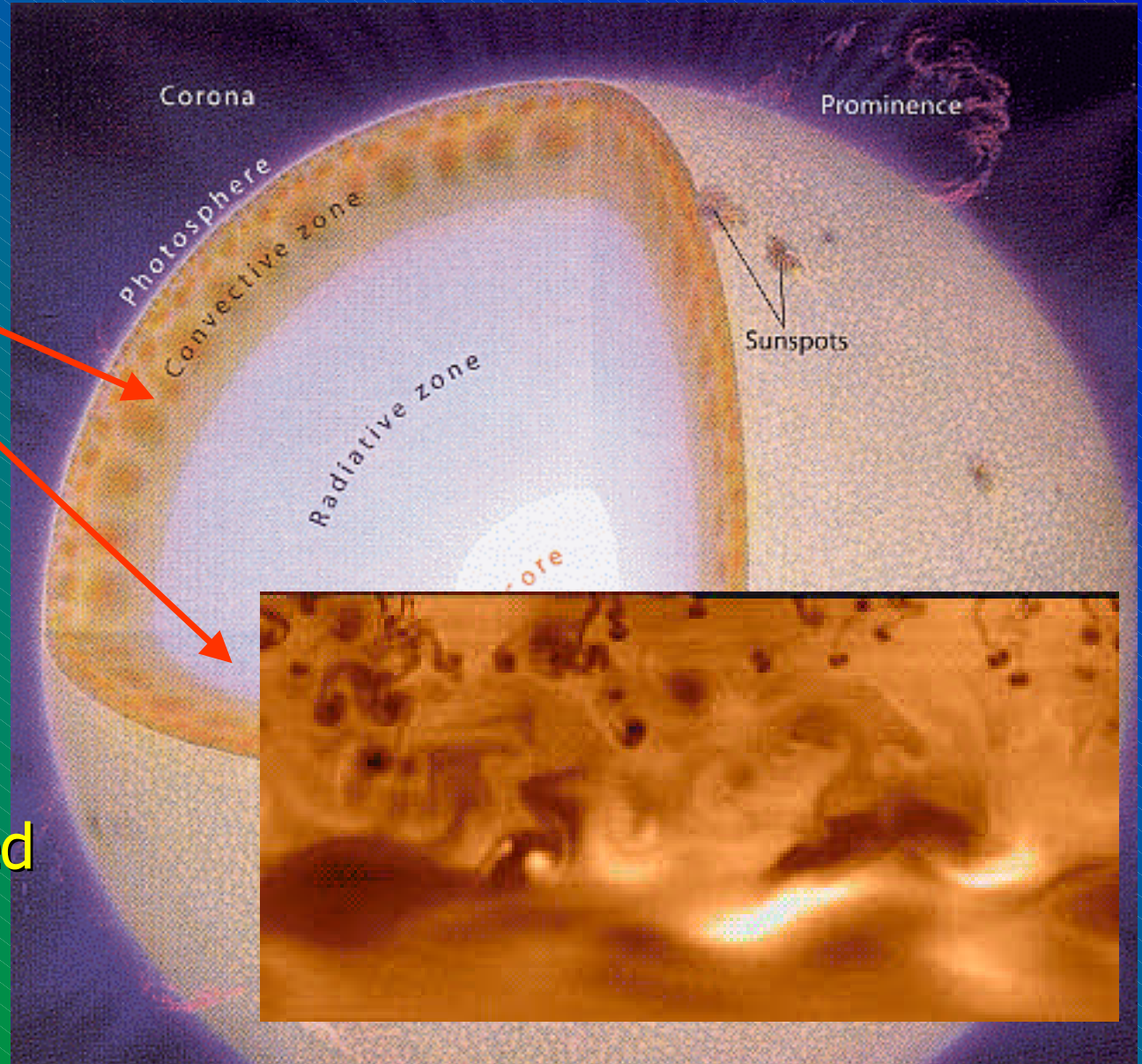
Manfred Schüssler  
Max-Planck-Institut für Aeronomie  
Katlenburg-Lindau

# Convection & magnetism: closely related

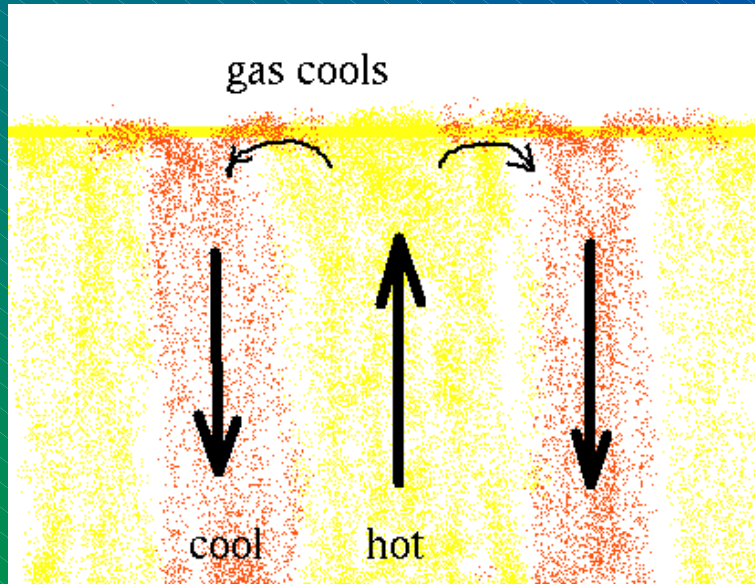


# The solar convection zone

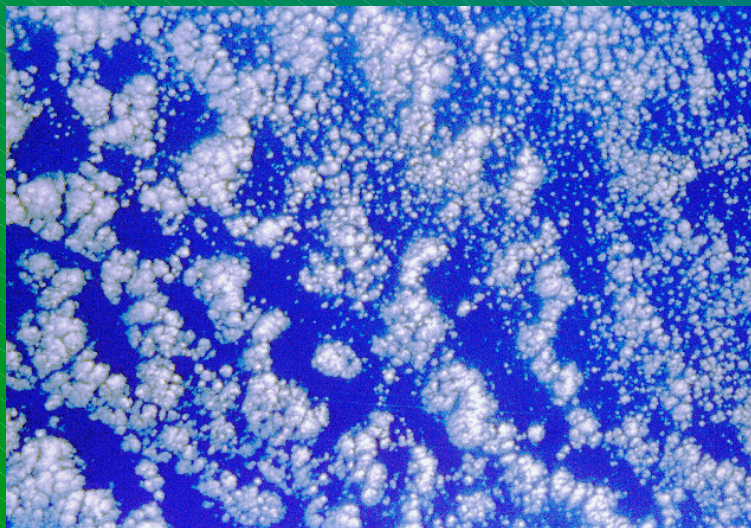
- 200 Mm thick layer in turbulent motion
- Velocities range from 100 m/s (bottom) to 10 km/s (top)
- Energy flux nearly completely transported by convective motion



# What is convection?



- Flow driven by thermal buoyancy
- Convective instability



→ Viewgraphs...

## WHAT IS CONVECTION ?

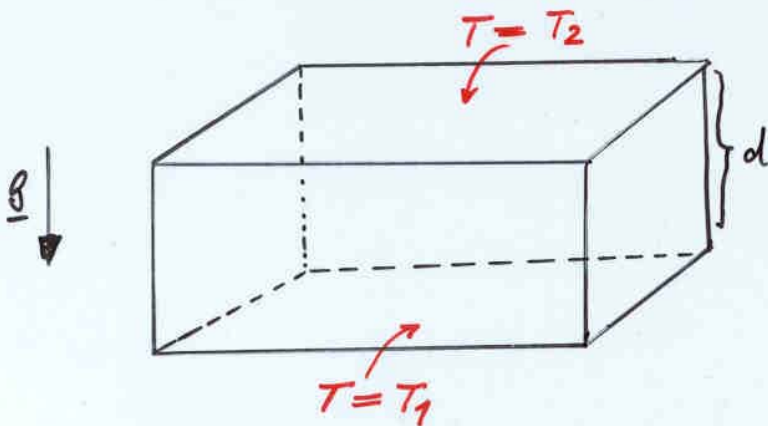
- Transport of energy (internal, kinetic, latent, ...) by macroscopic motions (flows) driven by dynamical instability of a static equilibrium
- ... driven by an entropy gradient in thermodynamically open system
- Examples : air above a “radiator”, earth’s troposphere, stellar convection zones
- Convective motions normally are *overturning* ( $\leftrightarrow$  oscillatory)
- treat solar atmospheric flow structures as “convective” in spite of stable stratification of observable layers (“overshoot”)
- “Sun as a Lab”: Concentrate on solar convection zone ( $\rightarrow$  huge Reynolds number  $Re = ul/\nu$ , small Prandtl number  $\sigma = \nu/\kappa$ , strong stratification, pressure fluctuations important  $\rightarrow$  laboratory convection results inapplicable)
- *Not* discussed here, but important : Effects of/on rotation, oscillations, heating of upper atmosphere

# CONVECTION IN ASTROPHYSICS

→ everybody's darling/problem

- Basic *energy transport* mechanism, besides radiation
- Leads to strong *structuring* of late type star surface regions (→ 1D-models inadequate) which affects the determination of atmospheric structure and abundances of elements
- Generates large-scale *magnetic fields* (dynamo) and small-scale magnetic structures (*flux tubes*)
- Provides mechanical energy for *heating* of chromospheres and coronae, drives stellar winds/mass loss
- Drives global *oscillations*
- Generates *differential rotation*
- Causes *mixing* and disturbs stellar evolution
- Is everywhere:
  - ⇒ envelopes of late-type stars
  - ⇒ cores of early-type stars
  - ⇒ accretion disks
  - ⇒ planetary interiors and atmospheres
- Solar atmosphere is a unique testing ground for understanding stellar convection : Processes can be observed on their natural time scales and length scales

LABORATORY CONVECTION  
(RAYLEIGH-BÉNARD CONV.)



$\Delta T = T_2 - T_1 > 0$   
"fluid IN A BOX"

CONDUCTED FLUX :  $\bar{F}_z \propto k \frac{dT}{dz} = \frac{k \Delta T}{d}$

Hydrostatic equil., fluid STATIC FOR  $\Delta T < \Delta T_c$

INSTABILITY FOR  $\Delta T \geq \Delta T_c$

Rayleigh NUMBER :

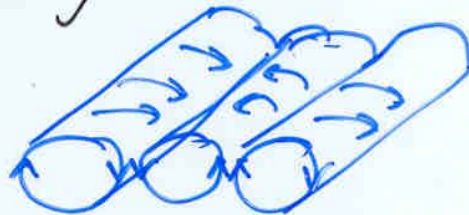
$\odot: R \sim 10^{23}$

$R = \frac{\alpha g \Delta T d^3}{\nu k} \geq R_c \approx 1700.$

$\alpha$  : expansion coefficient [CONV. BUOYANCY-DRIVEN]

$\nu$  : (kinematic) viscosity

→ 2D rolls :



$R \uparrow$  : bifurcations → chaos

$\frac{\partial T}{\partial t} + \underline{v} \cdot \nabla T = \kappa \nabla^2 T$

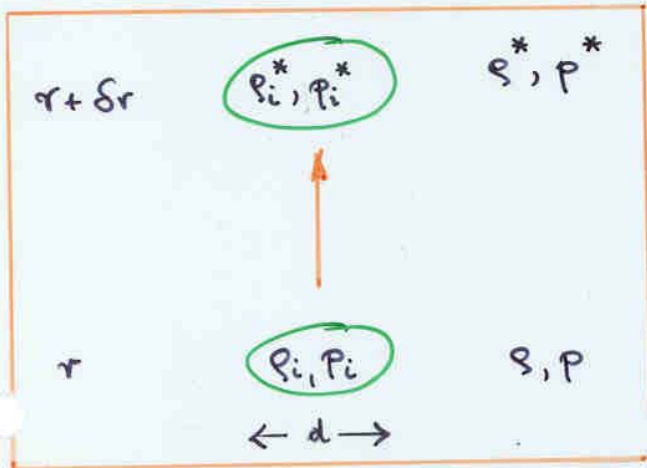
STARS :  $\kappa = \kappa_{RAD}$

for  $R \geq R_c$  is convection "more efficient":

NUSSELT No.  $Nu = \frac{F_{CONV}}{F_{DIFF}(\underline{v}=0)} > 1$

### 3. CONVECTIVE INSTABILITY

HERE : "BLOB THEORY" → FLUCTUATIONS OF AN AVERAGE STATE



$$d \ll H_p, \quad \delta \rho / \rho \ll 1$$

BLOB TIMESCALE :  $\tau_i = d/v$

THERMAL " :  $\tau_{th} = d^2/\eta_R$

DYNAMICAL " :  $\tau_{dyn} = d/c_s$

$$\tau_i \ll \tau_{th} \quad \Rightarrow \quad \rho_i = \rho_i^* \quad (\text{ADIBATIC})$$

$$\tau_i \gg \tau_{dyn} \quad \Rightarrow \quad p_i^* = p^* \quad (\text{NO PRESSURE FLUCTUATIONS})$$

$$\text{INSTABILITY : } \rho_i^* < \rho^* \quad (\text{BUOYANCY})$$

$$\Rightarrow \quad \rho_i^* - \rho^* = \left[ \left( \frac{d\rho}{dr} \right)_{ad} - \frac{d\rho}{dr} \right] \cdot \delta r < 0$$

EQ. OF STATE  $p = \mathcal{R} \rho T / \mu$  &  $p_i^* = p_i$  :

$$\Rightarrow \quad \frac{dT}{dr} < \left( \frac{dT}{dr} \right)_{ad} + \frac{T}{\mu} \left[ \frac{d\mu}{dr} - \left( \frac{d\mu}{dr} \right)_{ad} \right]$$

$\frac{d\mu}{dr} \neq 0$  → COMPOSITION CHANGES (STELLAR INTERIORS)  
 → IONIZATION (STELLAR ENVELOPES)

→ CONSIDER SEPERATELY



## SCHWARZSCHILD CRITERION

$$\frac{dT}{dr} < \left(\frac{dT}{dr}\right)_{ad}$$

$$\frac{1}{H_p} \equiv -\frac{1}{p} \frac{dp}{dr}$$

pressure  
scale  
height

REWRITE:  $\frac{dT}{dr} = \frac{T}{p} \underbrace{\frac{d \ln T}{d \ln p}}_{\nabla} \cdot \frac{dp}{dr} = -\frac{T}{H_p} \nabla$

→ INSTABILITY FOR

$$\nabla > \nabla_{ad}$$

ideal gas, no ionization :  $\nabla_{ad} = \frac{\gamma-1}{\gamma} = 0.4 \quad (\gamma = \frac{5}{3})$

## RELATION TO ENTROPY GRADIENT

$$T ds = p dV + dE$$

ASSUME IDEAL GAS  $dE = c_v dT$ ,  $p = R \rho T$

+ USE  $(ds)_{ad} = 0$

$$R = c_p - c_v$$

⇒ ... EXERCISE ...

$$\frac{ds}{dr} = \frac{c_p}{T} \left[ \frac{dT}{dr} - \left(\frac{dT}{dr}\right)_{ad} \right] < 0 \text{ for inst.}$$

→ CONVECTIVE INSTABILITY

↔ ENTROPY DECREASES OUTWARD

[ ENTROPY SINK : STELLAR SURFACE ]

## 5. OVERSHOOT

Convective motions extend into stable layers above and below the superadiabatically stratified region

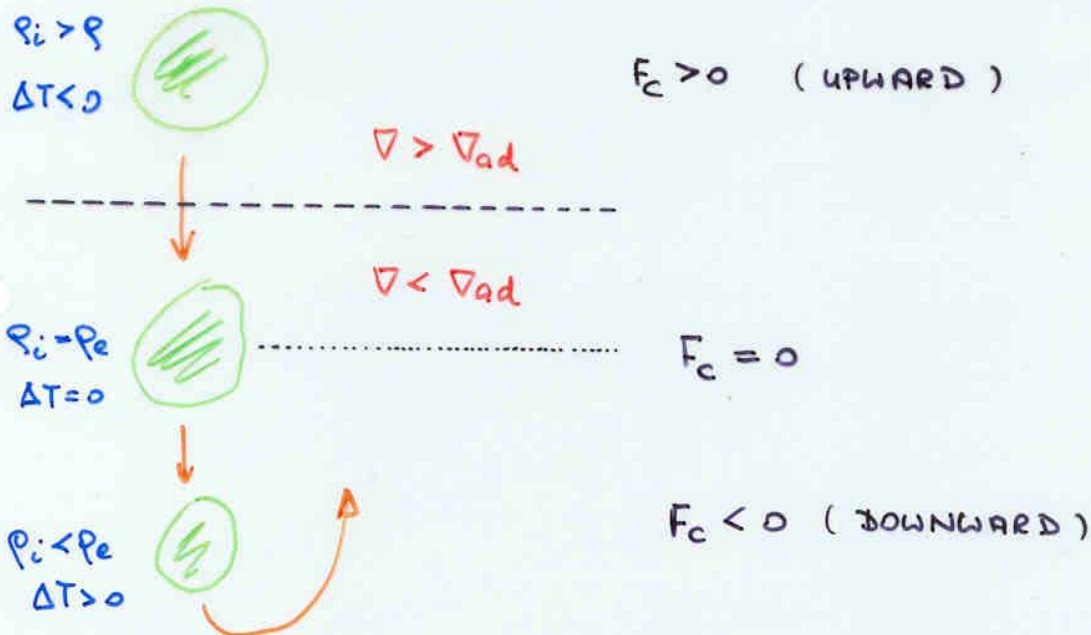
- Top (photosphere) : pressure gradients, radiative heating
- Bottom (radiative core) : penetration

⇒ Effective extension of the convection zone increases

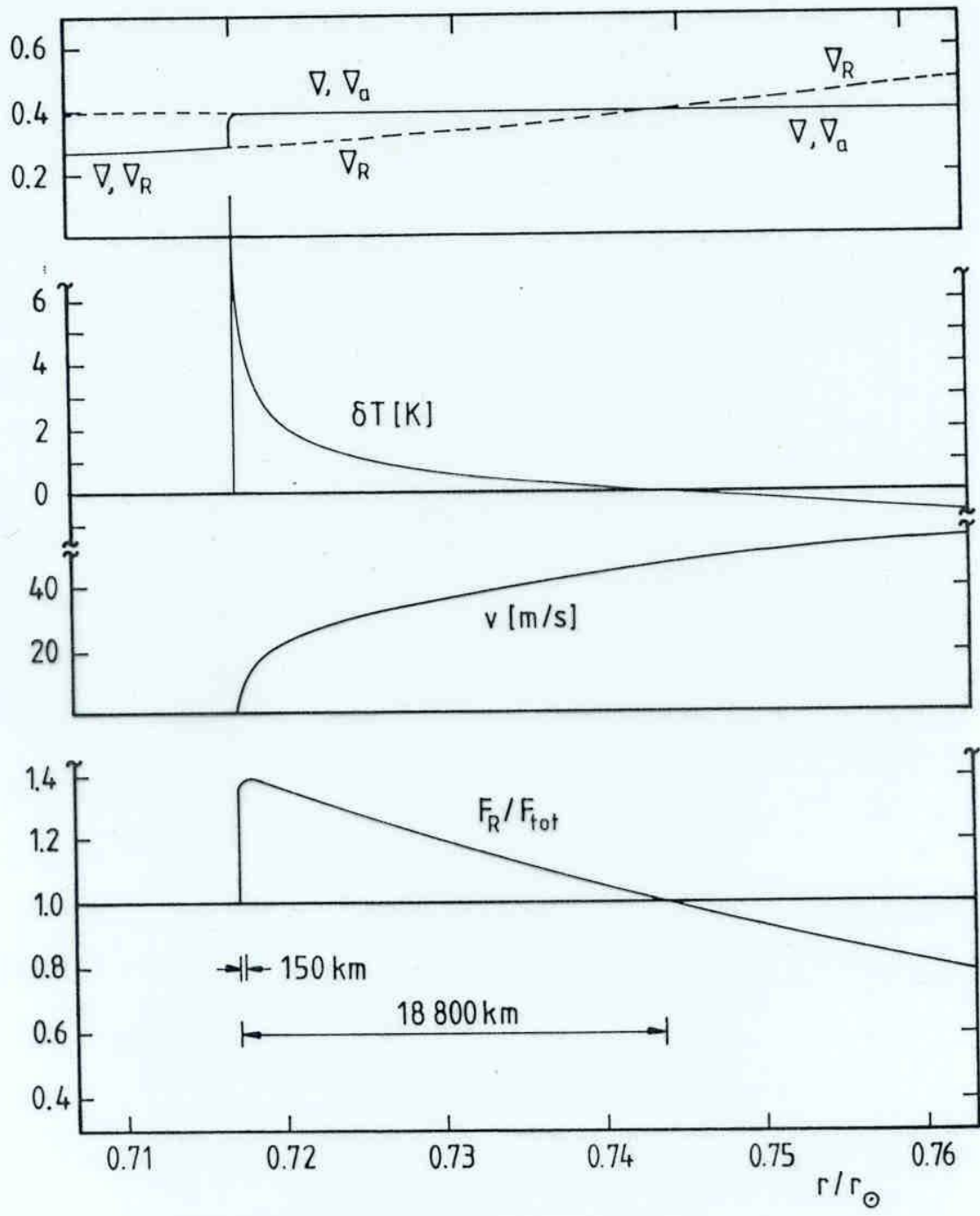
→ required by helioseismology

→ affects Li burning ( $T > 2.5 \cdot 10^6$  K)

→ facilitates magnetic flux storage



NON-LOCAL TREATMENT  
NECESSARY



## 4. MIXING LENGTH DESCRIPTION

CONVECTION AS MIXTURE OF BLOBS WHICH MOVE VERTICALLY OVER A DISTANCE  $l$  (THE MIXING LENGTH) AND DISSOLVE [PRANDTL (1925), BIERMANN (1948), ŠPIK (1950), BÖHM-VITENSE (1953)]

TYPICALLY  $l = \alpha \cdot H_p$  ,  $\alpha = O(1)$

Aim: CALCULATE ENERGY FLUX AND MEAN QUANTITIES ( $\nabla$ ;  $S$ ;  $\Delta T$ ,  $v$  of BLOBS, ...)

TEMPERATURE DIFFERENCE: [ignore factors of  $O(1)$ ]

$$\Delta T = \left[ \left( \frac{dT}{dr} \right)_i - \frac{dT}{dr} \right] \cdot \delta r = (\nabla - \nabla_i) T \frac{\delta r}{H_p} = (\nabla - \nabla_i) T \alpha$$

CONVECTIVE FLUX: [ ERGS  $\cdot$  CM<sup>-2</sup>  $\cdot$  S<sup>-1</sup> ]

$$F_c = \Delta T \cdot \rho c_p \cdot v = \rho c_p v T (\nabla - \nabla_i) \alpha$$

VELOCITY: [ACCELERATION BY BUOYANCY]

$$\ddot{\delta r} = -g \Delta \rho / \rho = g X_p \Delta T / T = g X_p (\nabla - \nabla_i) \frac{\delta r}{H_p}$$

$$\Rightarrow \text{(BLOB HOMOGENEOUS)} \quad \dot{\delta r}^2 = \frac{g X_p}{H_p} (\nabla - \nabla_i) \delta r^2$$

$$\Rightarrow \quad v = \left[ \frac{g X_p}{H_p} (\nabla - \nabla_i) \right]^{1/2} \cdot l$$

CONVECTIVE FLUX:

$$F_c = \rho c_p T (g X_p H_p)^{1/2} \alpha^2 (\nabla - \nabla_i)^{3/2}$$

ENERGY FLUX:

$$F_{\text{RAD}} + F_c = L_{\odot} / 4\pi r^2$$

- $\nabla_i = \nabla_a \Rightarrow$  READY,  $\nabla(\tau)$  DETERMINED
- $\nabla_i > \nabla_a$  DUE TO RADIATION  $\rightarrow \dots \rightarrow$  CUBIC EQUATION

- TYPICAL VALUES IN DEEP CONVECTION ZONE:

$$\nabla - \nabla_a \approx 10^{-5} \ll 1$$

$$\Delta T \approx 2 \text{ K} \ll T$$

$$v \approx 100 \text{ m/s} \ll c_s$$

$\rightarrow$  CONVECTION IS VERY "EFFICIENT"

- ... AND NEAR THE SURFACE

$$\nabla - \nabla_a \approx 0.6$$

$$\Delta T \approx 2000 \text{ K}$$

$$v \approx 2 \text{ km/s}$$

$\rightarrow$  ASSUMPTIONS BECOME INVALID

- MIXING LENGTH DESCRIPTION

$\approx$  TURBULENT DIFFUSION OF ENTROPY WITH  $\eta \sim v \cdot l$

- IONIZATION (H, He) REDUCES  $\nabla_{ad}$  (LATENT HEAT)

$\rightarrow$  DESTABILIZING

- DRAWBACKS OF M.L.D.:

- LOCAL (NO OVERSHOOT)

- ADJUSTABLE PARAMETERS (NO PREDICTIONS)

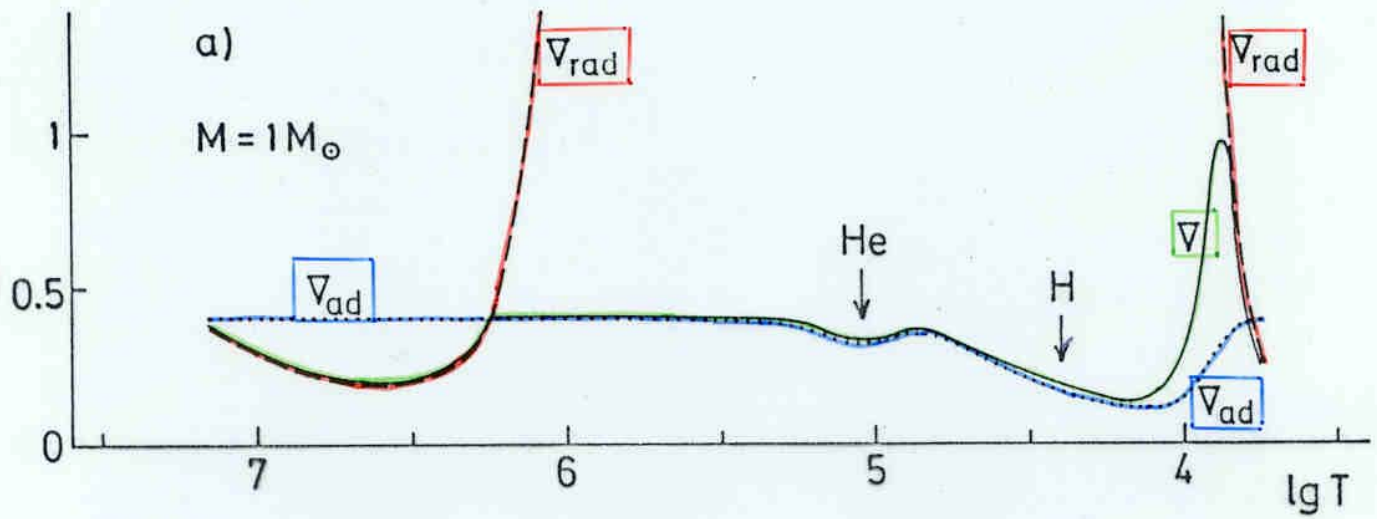
- EFFECTIVELY INCOMPRESSIBLE, NEGLECTS

PRESSURE FLUCTUATIONS, STRATIFICATION

(BOUSSINESQ - APPROX.)

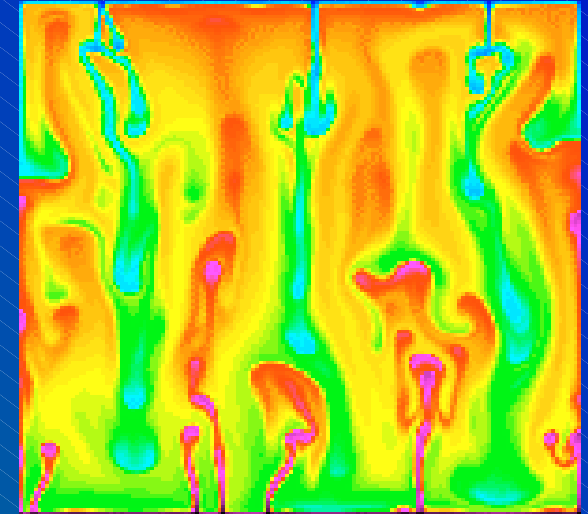
(INAPPLICABLE TO OBSERVABLE SURFACE FLOWS)

$\nabla_{\text{RAD}}$ ,  $\nabla_{\text{AD}}$ ,  $\nabla$  IN A STANDARD MIXING LENGTH MODEL  
OF THE SOLAR CONVECTION ZONE

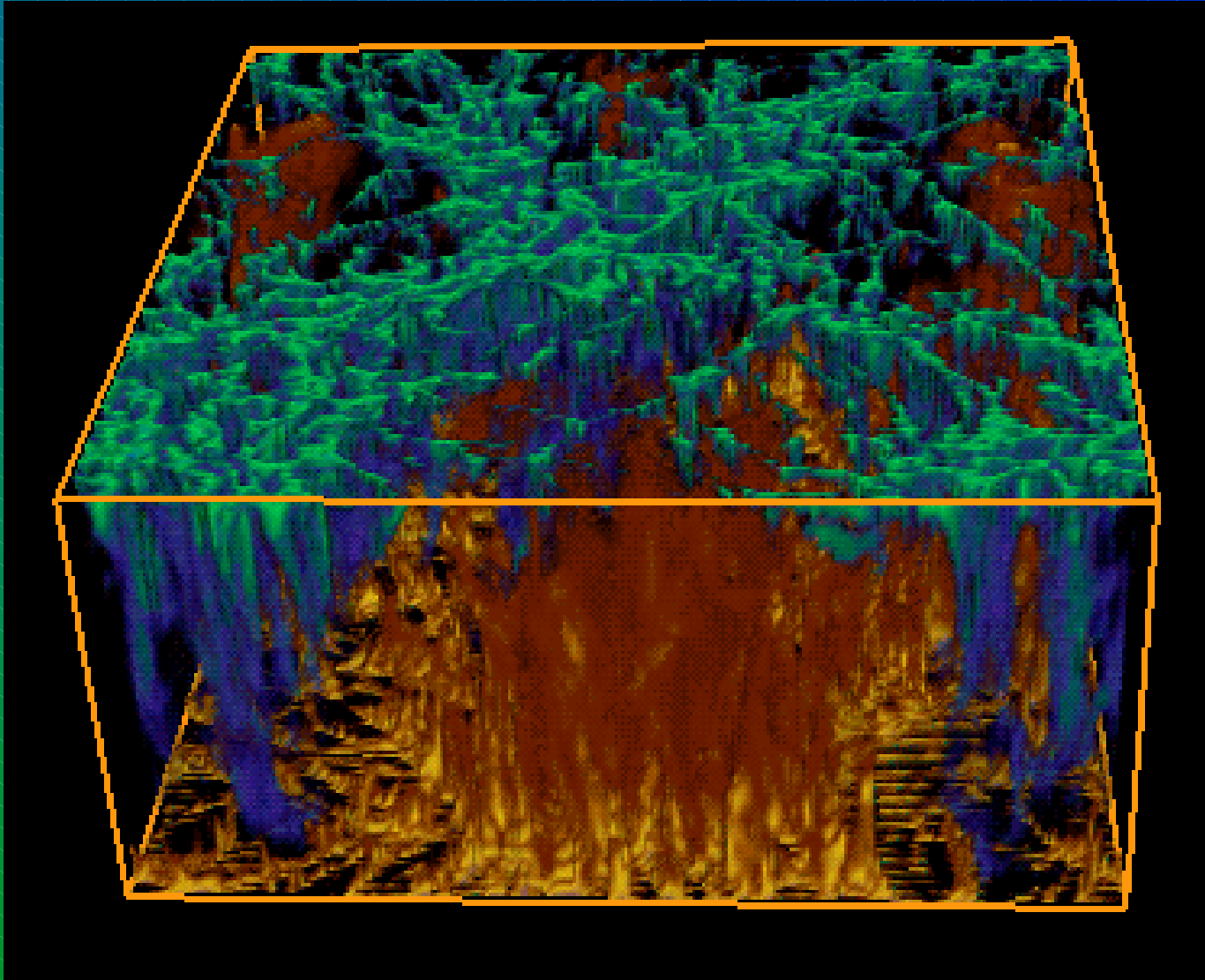


# Laboratory results and numerical experiments for Rayleigh-Bénard convection with high Ra

- lab: Ra up to  $10^{17}$  (Helium gas at  $\sim 5$  K)
- $Ra > 2.5 \cdot 10^5$  : turbulence,  $Nu \sim Ra^{1/3}$
- $Ra > 4 \cdot 10^7$  : “hard turbulence”,  $Nu \sim Ra^{2/7}$
- coherent threads/plumes/thermals of buoyant fluid connect top & bottom
- flows driven by threads, passive non-buoyant fluid between
- non-local energy transport, global circulation
- with rotation: vortex interaction of plumes, coalescence
- Solar convection strongly different from Rayleigh-Bénard: boundary structure, stratification, compressibility...

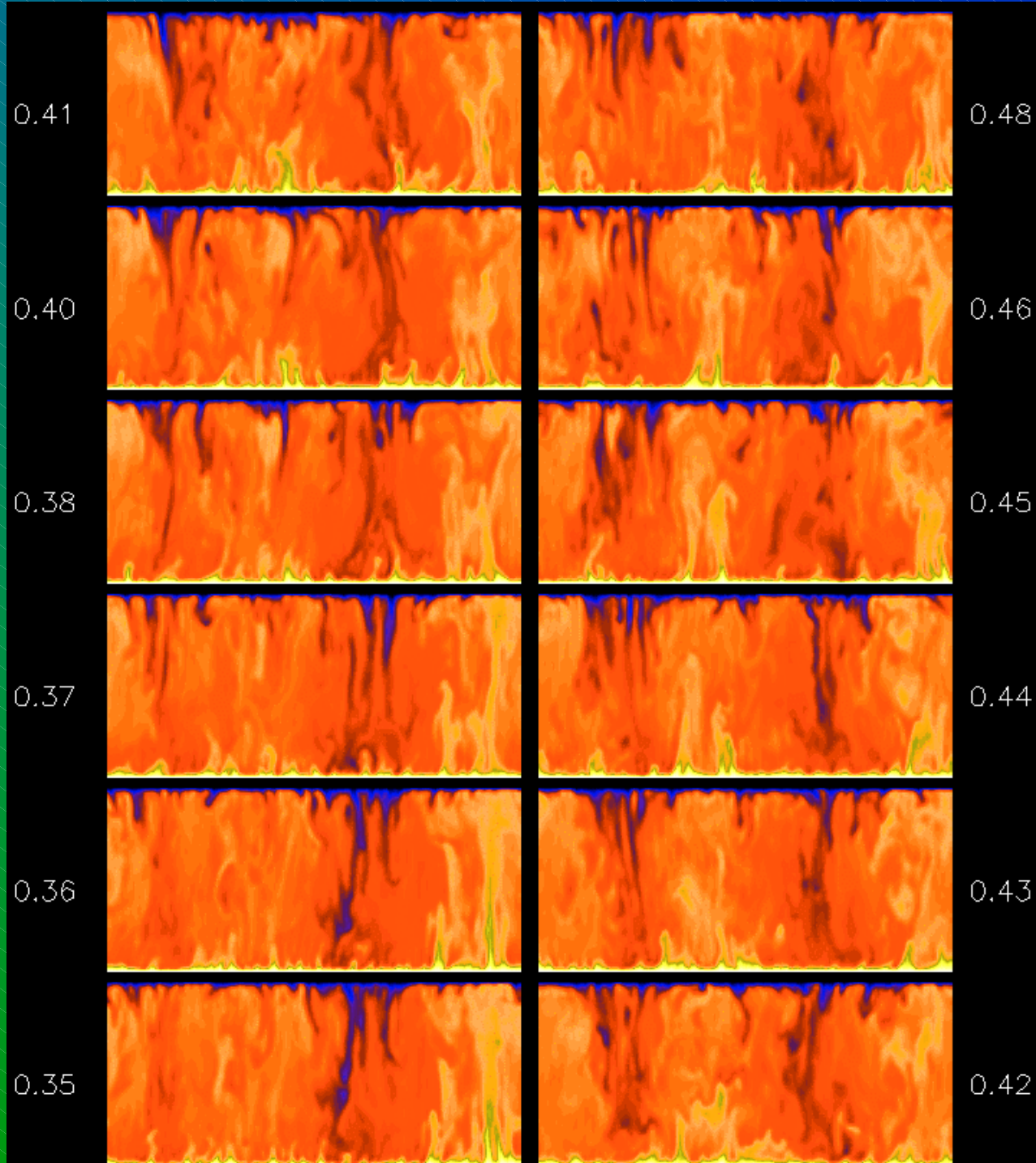


# Filamentary flows in Rayleigh-Bénard convection



Kerr 1996





# Time evolution of filamentary flows in Rayleigh-Bénard convection

Kerr 1996

# **SOLAR CONVECTION : OBSERVATIONAL APPROACHES**

## **Continuum images, filtergrams**

- ⇒ spatial structure : bright granules & interconnected network of dark intergranular lanes
- ⇒ temporal evolution : formation, dissolution, break-up of granules, “exploding granules”

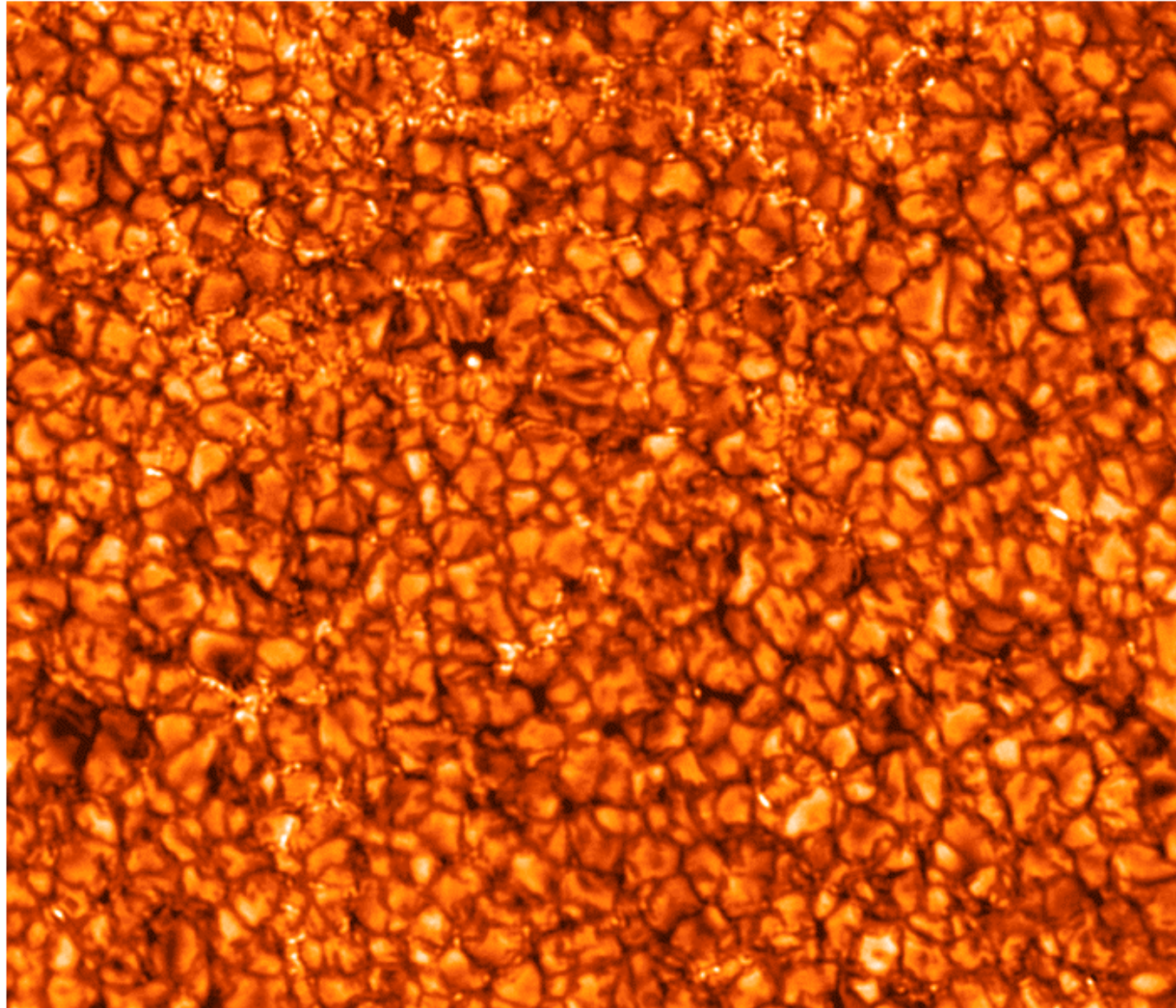
## **Spectrograms**

- ⇒ line-of-sight velocities via Doppler effect (“line wiggles”)
- ⇒ upflow in granules, downflow in intergranular lanes
- ⇒ velocity distributions & gradients (line asymmetries)
- ⇒ supergranulation (Dopplergrams)

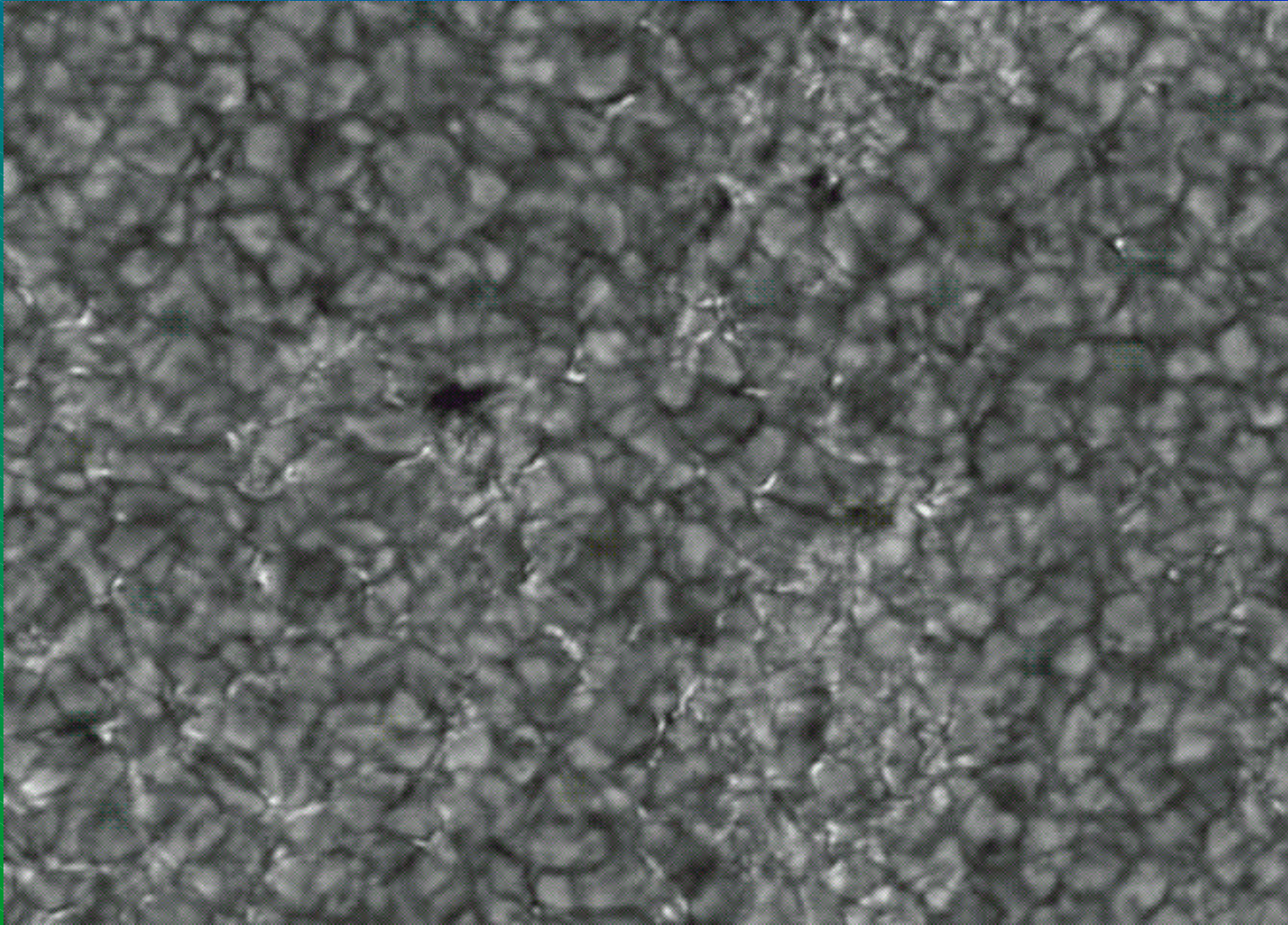
## **Proper motions of “tracers”**

- ⇒ horizontal velocities
- ⇒ mesogranulation by “local correlation tracking”

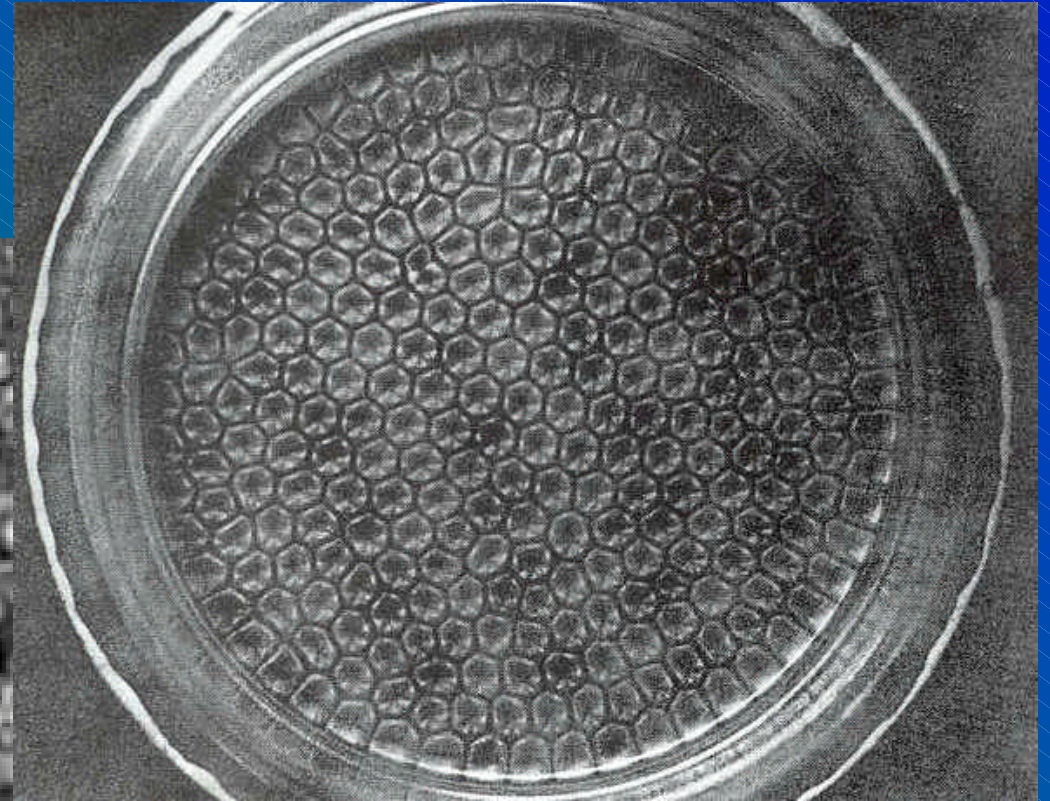
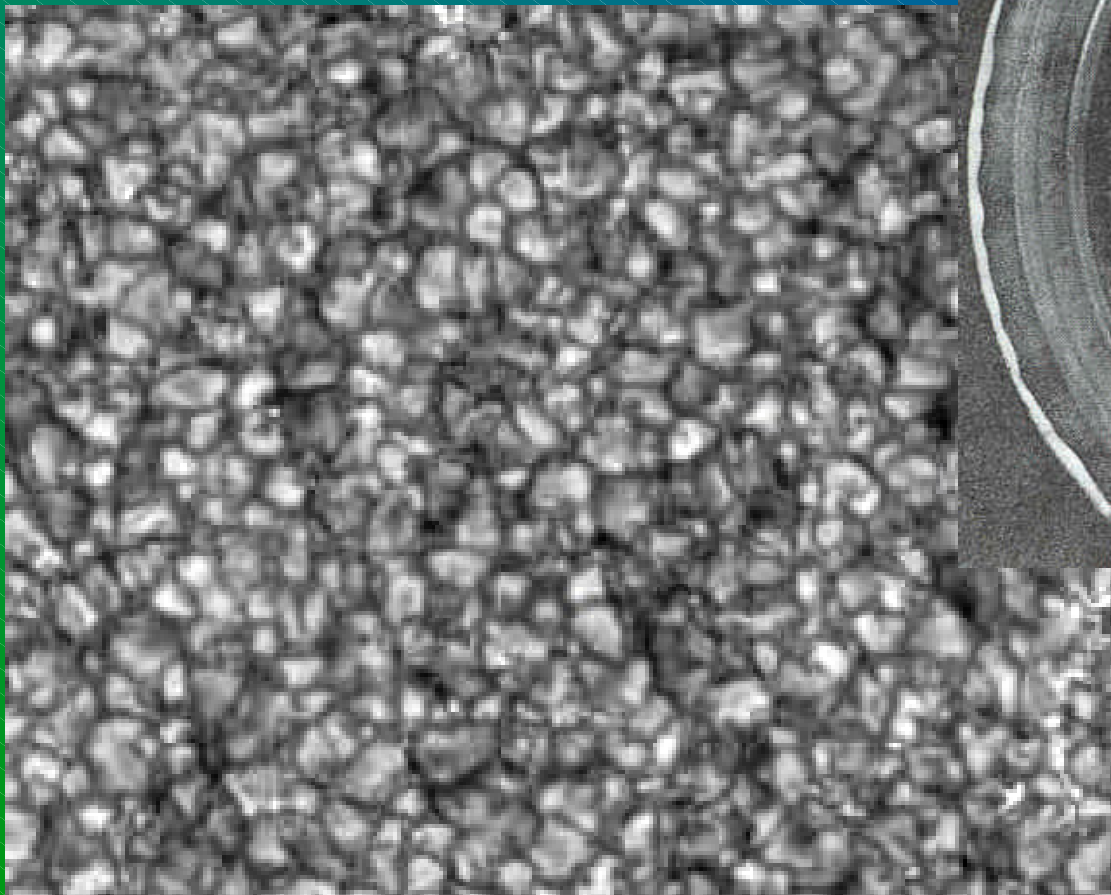
# Granulation: Solar surface convection



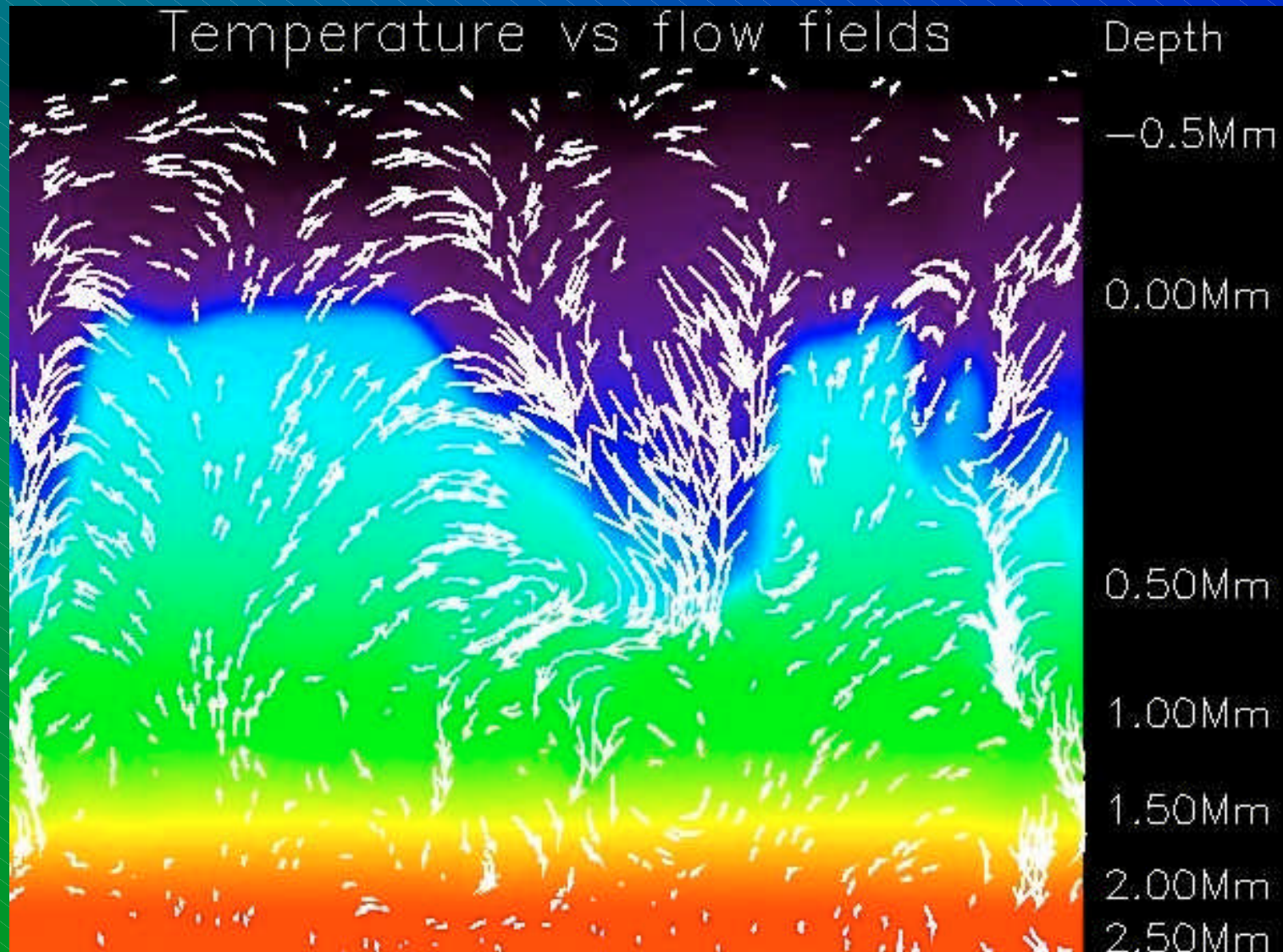
# Solar granulation



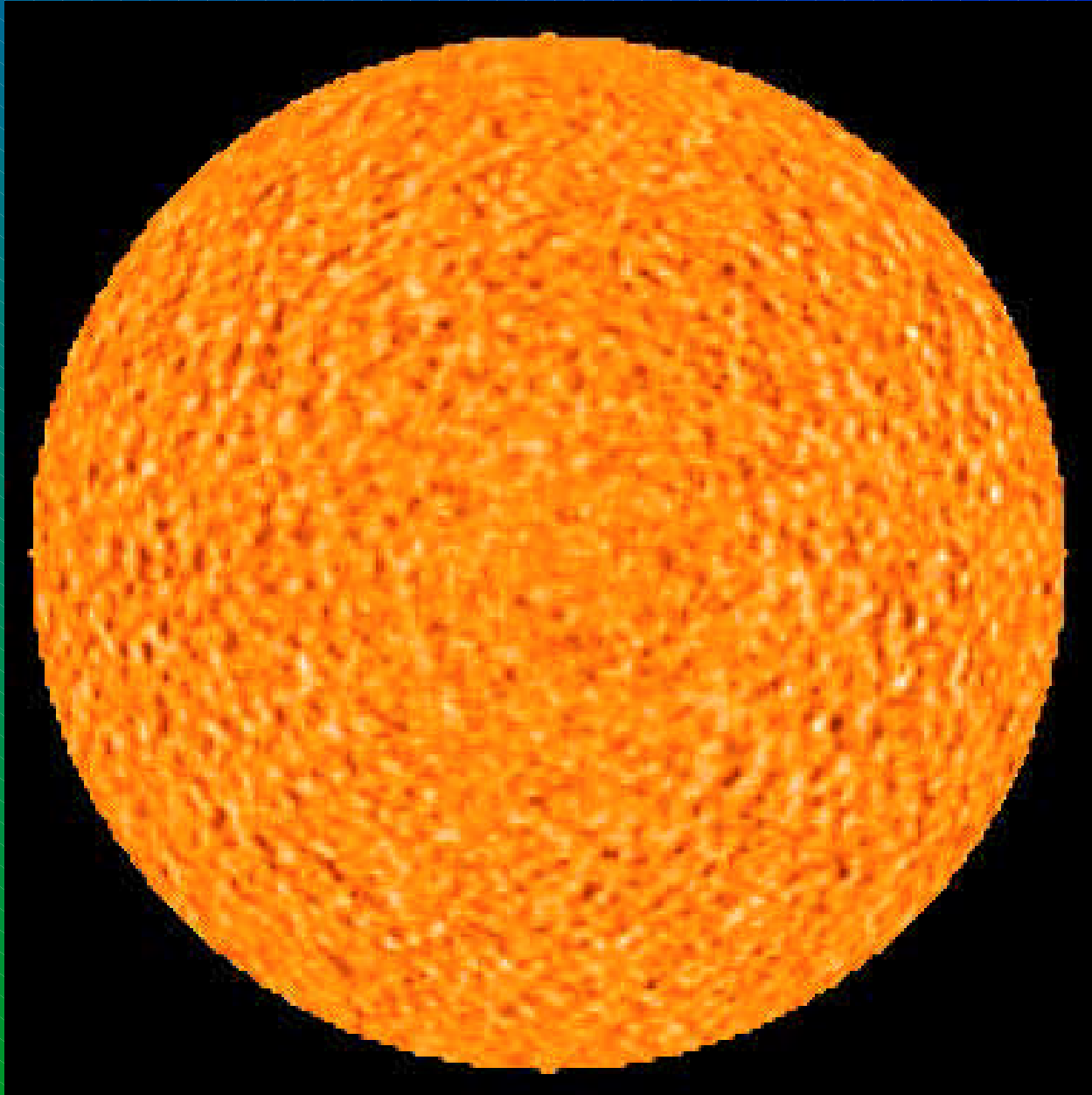
# Granulation und laboratory convection



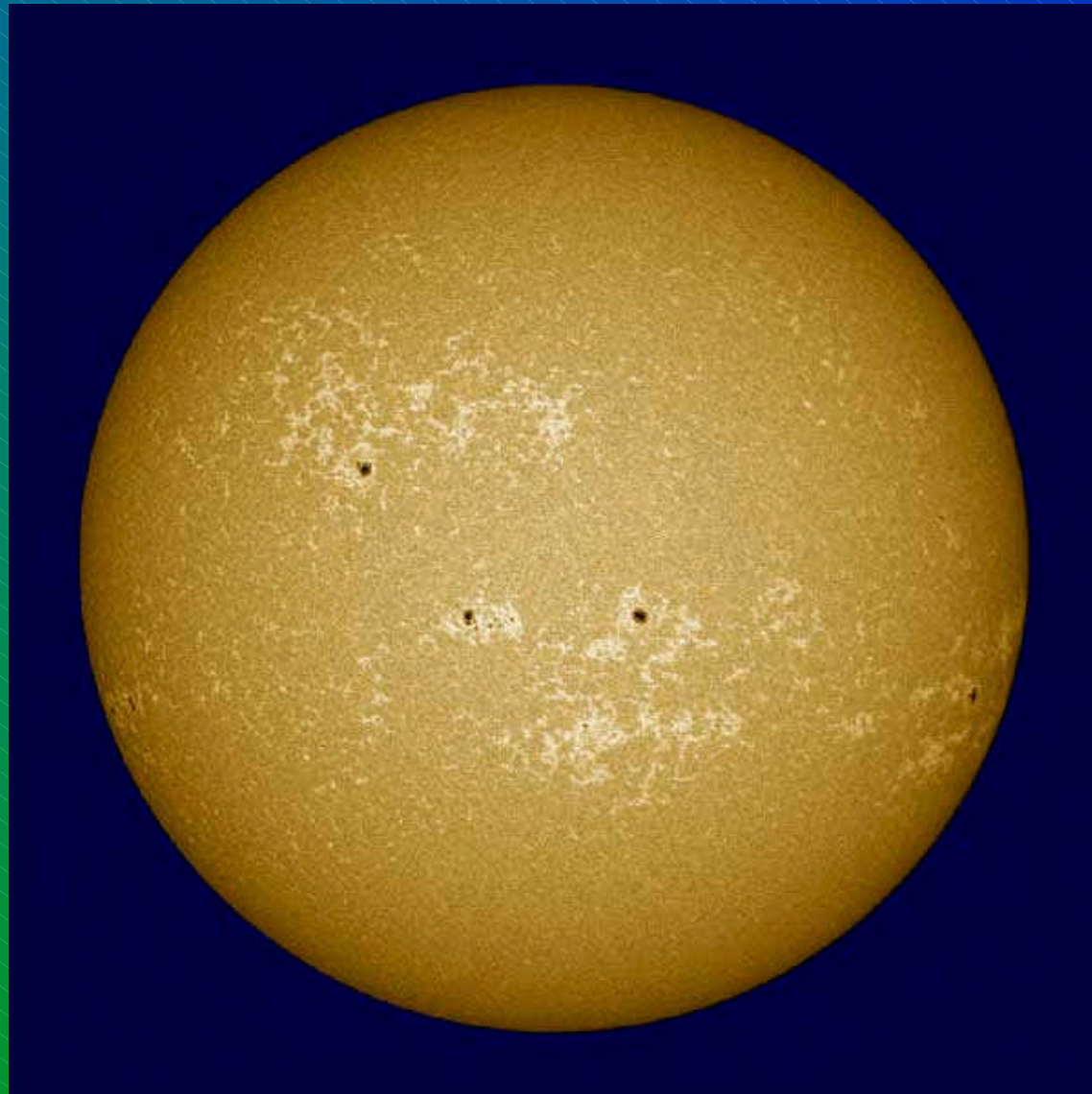
# Granulation as a convective phenomenon



# Supergranulation

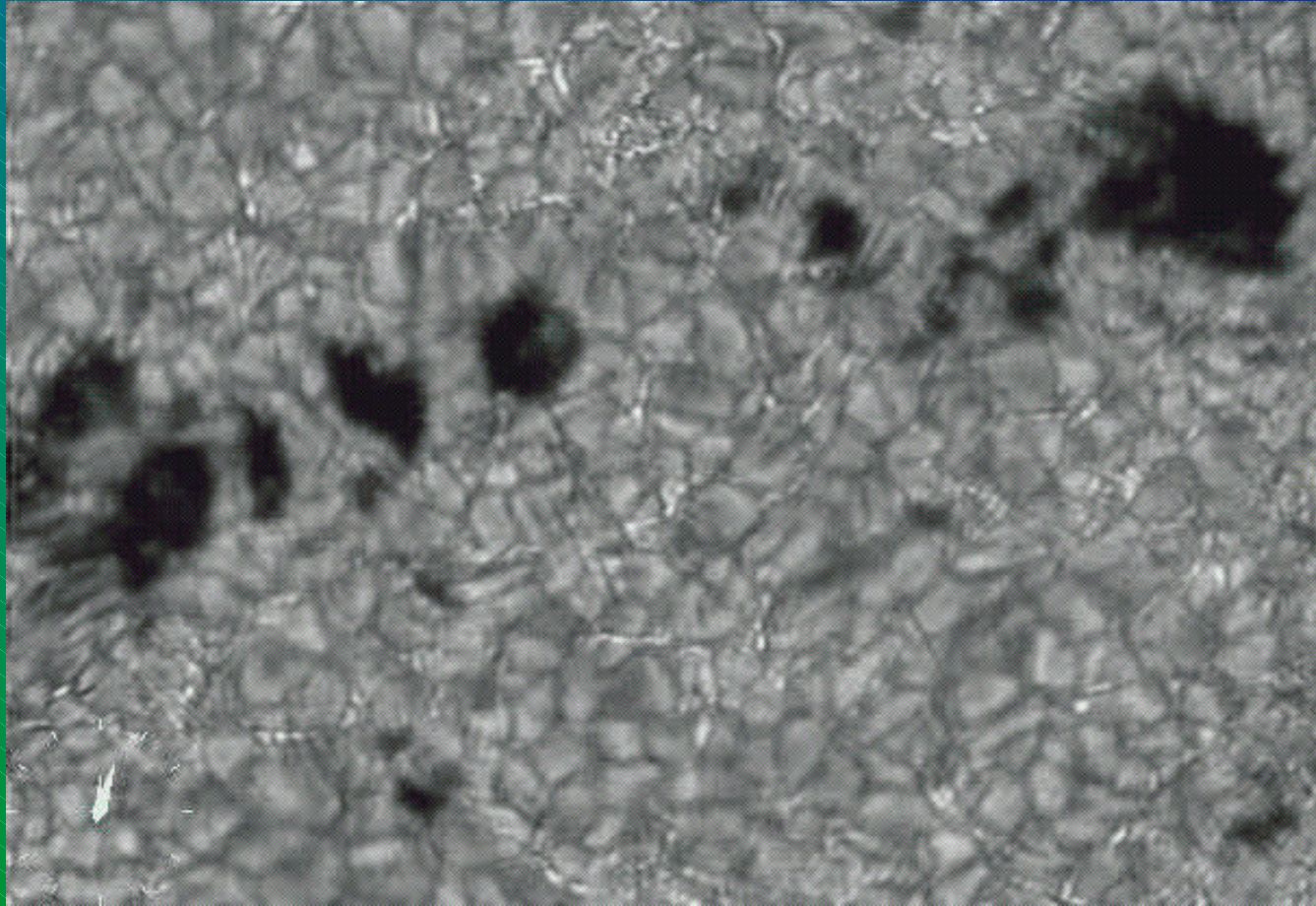


# Supergranulation and magnetic field: the $\text{Ca}^+$ network

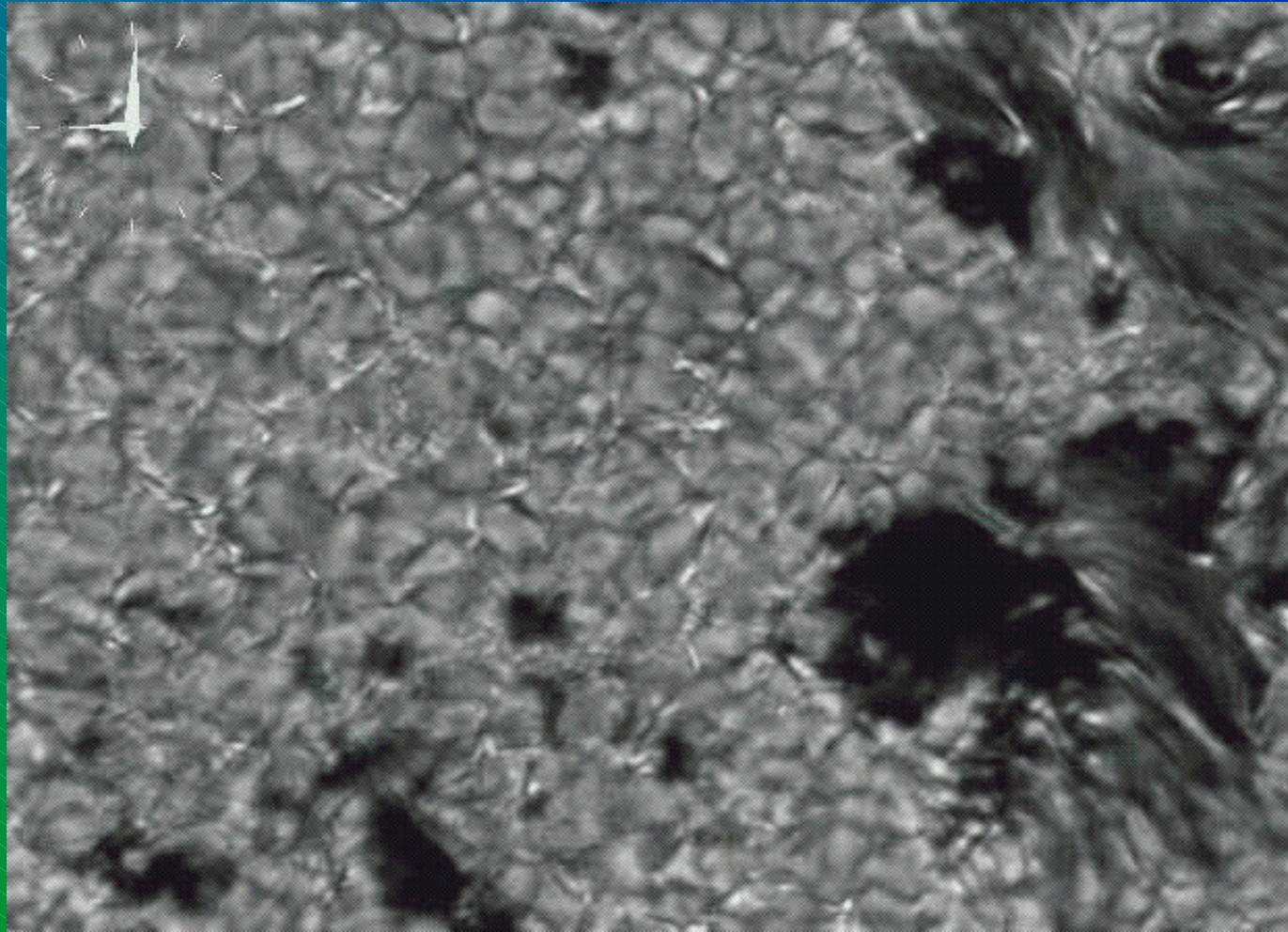




# Granulation, sunspots, & small-scale magnetic field



# “Mesogranulation” ?



## 6. Numerical Simulations

Solar convection, in particular near the surface, is unsufficiently described by concepts like mixing length, linear superposition of eigenmodes, Boussinesq approximation, etc. because of

- strong density stratification,
  - nonlinearity of the flows,
  - non-stationarity,
  - compressibility effects, shocks.
- ⇒ Numerical simulations

### Equations and problems

Continuity:

$$\frac{\partial \rho}{\partial t} = -\nabla \cdot (\rho \mathbf{u})$$

- ⇒ compressibility, strong stratification

Equation of motion:

$$\rho \left( \frac{\partial \mathbf{u}}{\partial t} + \mathbf{u} \cdot \nabla \mathbf{u} \right) = -\nabla p + \rho \mathbf{g} + \frac{1}{4\pi} (\nabla \times \mathbf{B}) \times \mathbf{B} + \mathbf{f}_{visc}$$

- ⇒  $Re = u \cdot l / \nu \gg 1 \rightarrow$  small scales  $\rightarrow$  “sub-grid”  
transport coefficients (viscosity, thermal & magnetic diffusion)

- ⇒ steep gradients, concentrated flows

### Energy equation:

$$\frac{\partial T}{\partial t} + \mathbf{u} \cdot \nabla T = -\frac{T}{\chi_p} (\gamma - 1) \nabla \cdot \mathbf{u} - \frac{\gamma}{\rho c_p} \nabla \cdot (\mathbf{F}_{rad} + \mathbf{F}_{visc} + \mathbf{F}_{mag} + \mathbf{F}_{turb})$$

- ⇒ partial ionization effects → thermodynamical quantities must be determined self-consistently
- ⇒ surface layers → radiation has to be treated accurately, radiative energy loss to space drives the whole flow → solve transfer equation, preferably non-grey (opacity distribution functions)

### Magnetic induction equation

$$\frac{\partial \mathbf{B}}{\partial t} = \nabla \times (\mathbf{u} \times \mathbf{B}) - \nabla \times (\eta_m \nabla \times \mathbf{B})$$

- ⇒ steep gradients, concentrated fields & currents
- ⇒ “sub-grid” magnetic diffusivity

### in addition...

- equation of state,
- equations for ionization equilibrium,
- equations for thermodynamic quantities ( $\chi_p, \gamma, c_p, \dots$ )
- determination of opacities,
- integration of radiative transfer equation along many lines of sight for many angles, calculation of mean intensity and radiative flux
- determination of diagnostic information (mean quantities, correlations, single and average spectral line profiles, continuum intensity maps...)
- visualization of flow properties and time evolution

## • **Boundary problems**

Real boundaries:

- top :  $\tau = 1$ , radiation, steep  $T$ -gradient
- bottom : overshoot, thermal boundary layer

Artificial boundaries:

- transmitting, non-reflecting, open

Initial conditions:

- simulation time  $>$  thermal relaxation time

## • **Approaches**

Numerical experiments

- simplified physics, consider general properties without attempting to model the Sun → Graham (1975, 1977), Hurlburt et al, (1984, 1986), Chan & Sofia (1986, 1987), Hossain & Mullan (1990), Malagoli et al. (1990), Cattaneo et al. (1991)

Global convection

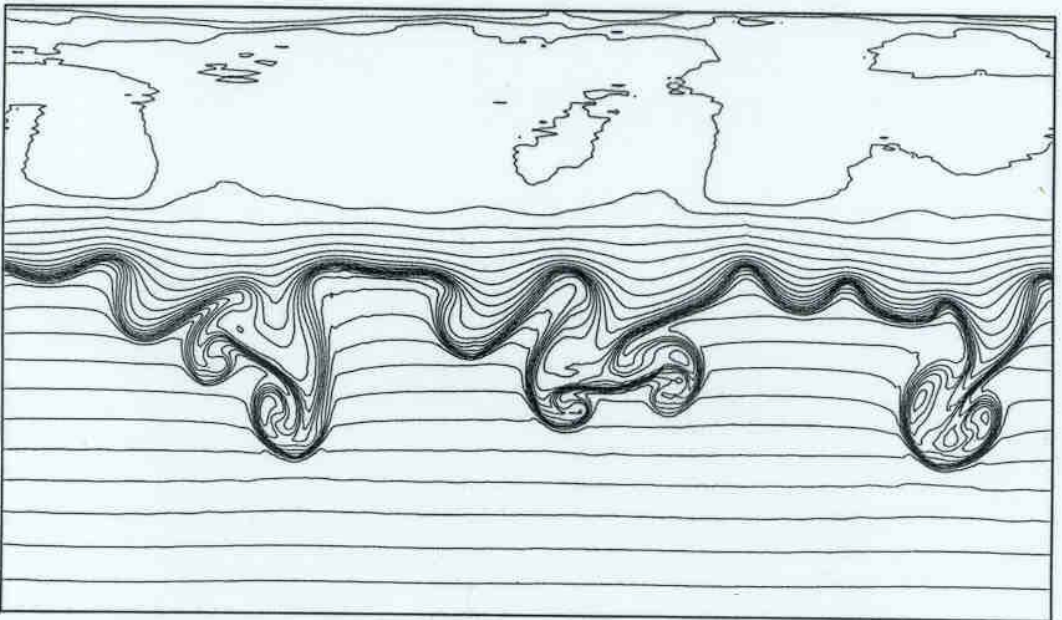
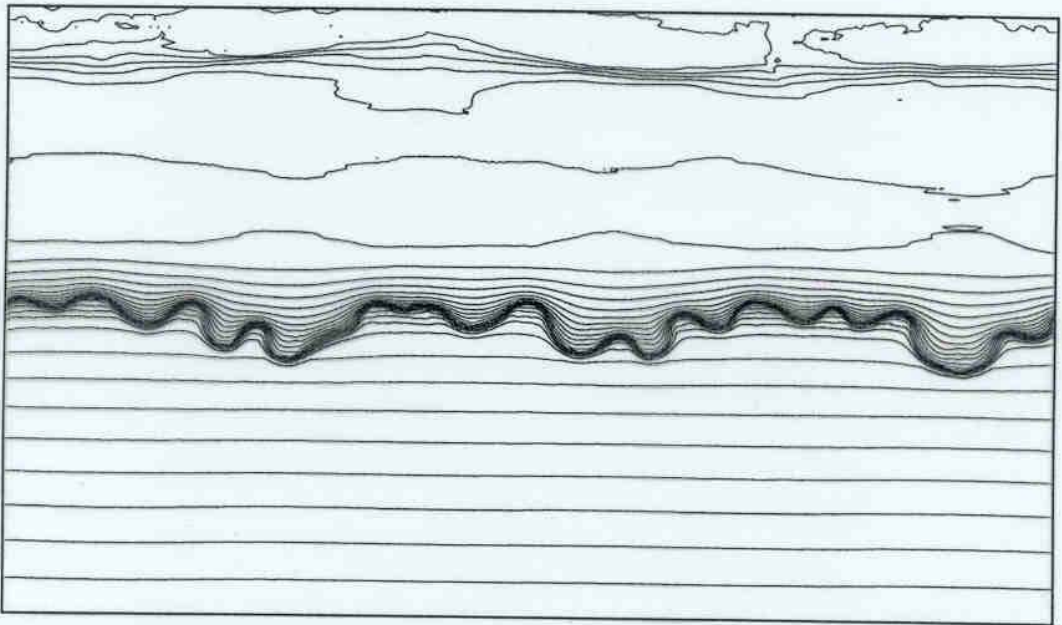
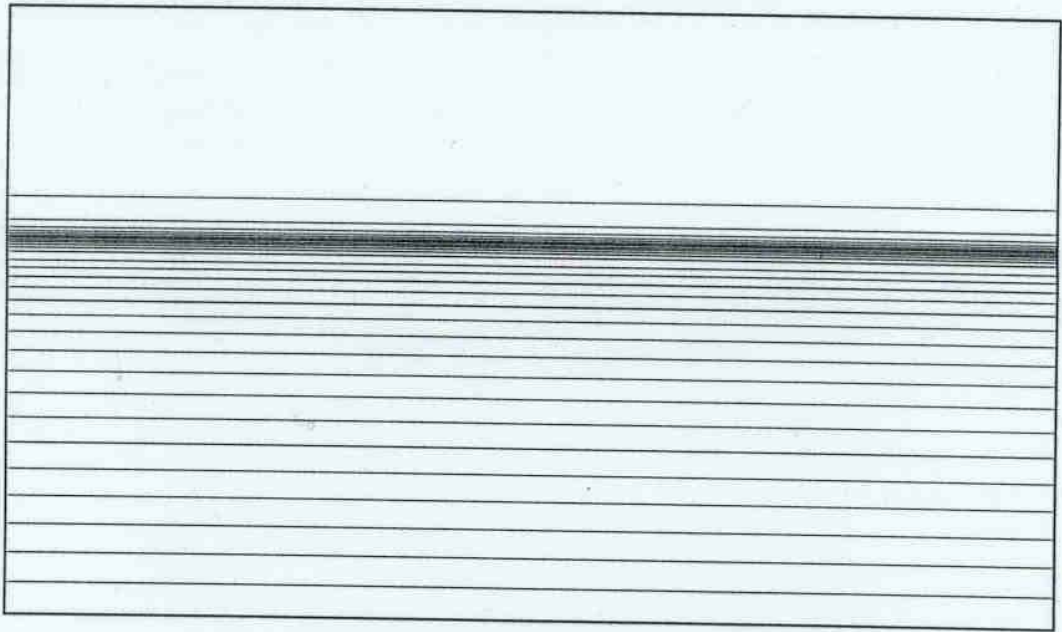
- simulate bulk of solar convection zone, neglect surface regions, trade resolution for total size → Gilman & Glatzmaier (1981,...)

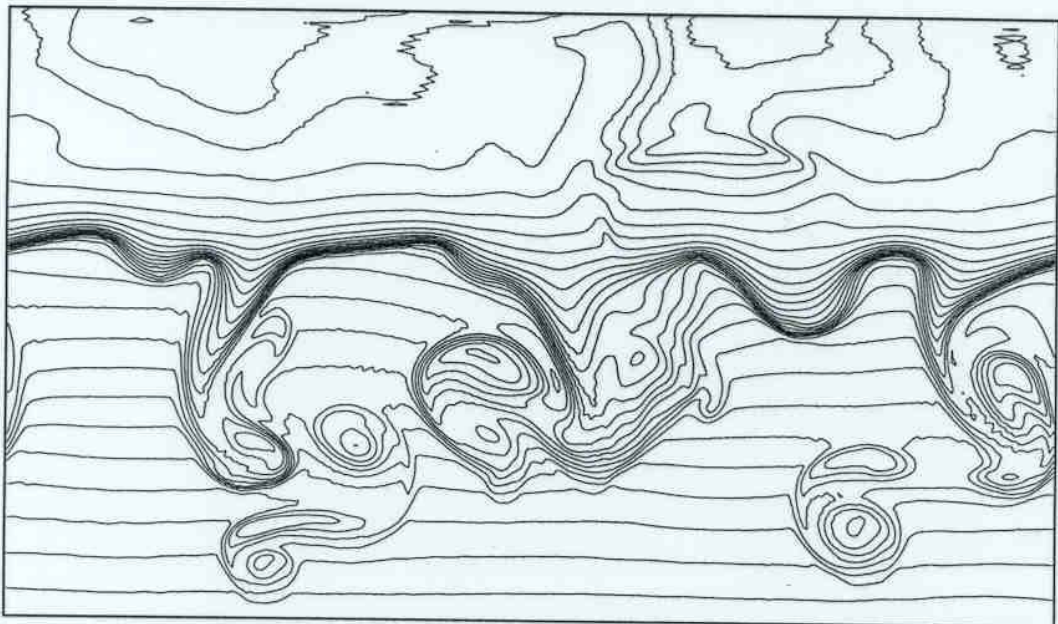
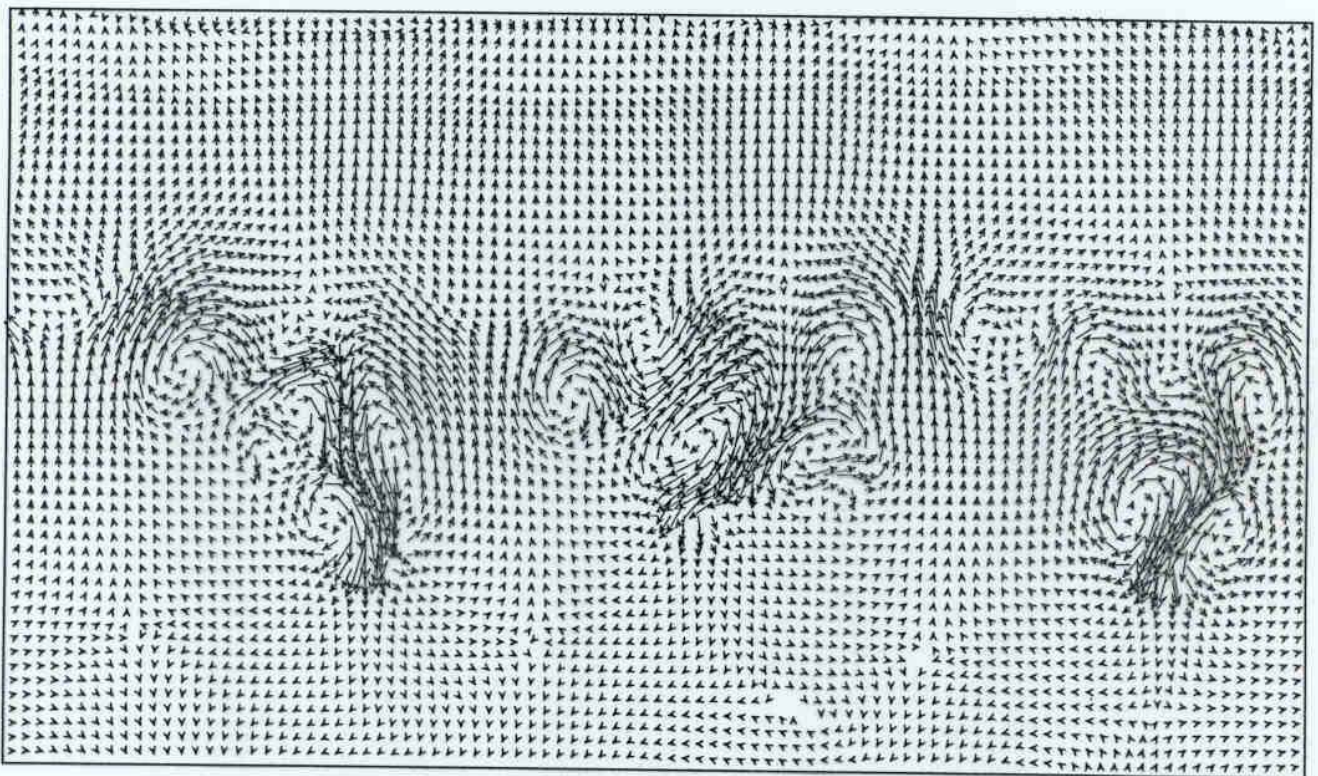
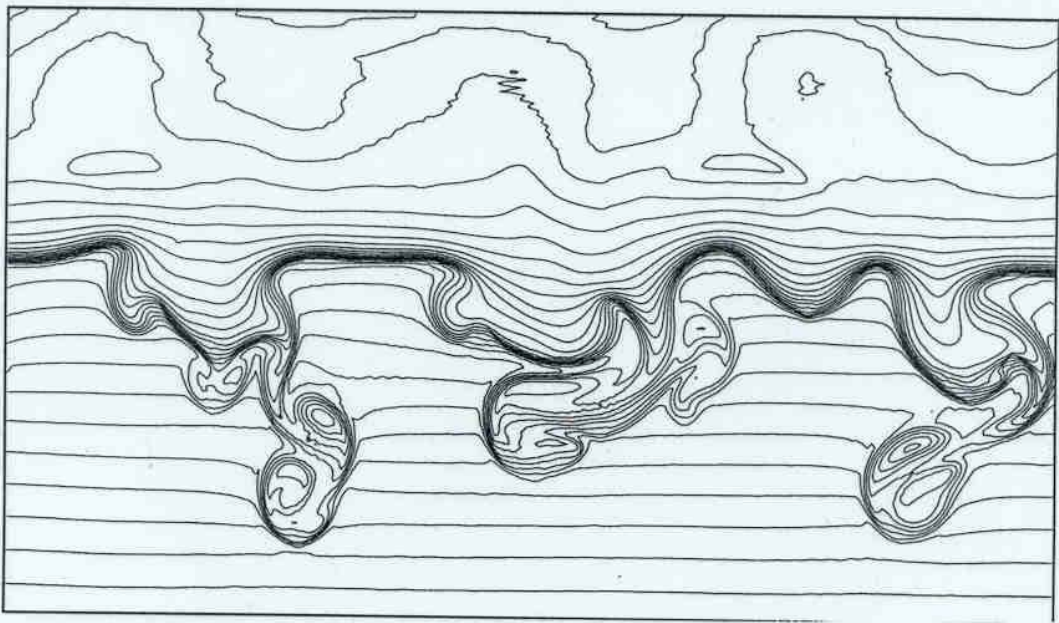
Surface convection

- simulate observable solar convection on granular/mesogranular scale → Nordlund (1984,...), Gadun (1986), Stein & Nordlund (1989), Steffen (1989)

- **Results : Simulation of convection**

- *Strong inhomogeneities, steep gradients (temperature, velocity)*
- *Significant pressure fluctuations, “buoyancy braking”*
- *Supersonic velocities, shocks*
- *Asymmetry of up- and downflows, non-local dynamics*
  - ⇒ strong, narrow downflows ↔ broad upflows
  - ⇒ 3D, turbulent: Coherent pattern of downdrafts, disorganized upflows
  - ⇒ topology changes with depth → “granulation” is a shallow phenomenon
- *Downdrafts play a prominent role:*
  - ⇒ sites of buoyancy driving
  - ⇒ kinetic energy flux
  - ⇒ helical motion due to “bathtub effect”
- *Other transport processes strongly non-local (magnetic fields, angular momentum...)*
- *Essential features of observed granulation are reproduced by simulations with radiative transport:*
  - ⇒ isolated, hot upflows – network of cool downflows
  - ⇒ timescales, spatial scales, “exploding granules”
  - ⇒ average line profiles







# ASYMMETRY OF UP- AND DOWNFLOWS

HURLBURT ET AL. (1984) [ 2D SIMULATION ]

$$\int \frac{dp}{\rho} + \frac{u^2}{2} \approx \text{const.}$$

EQ. OF STATE  $\downarrow$

$$\frac{\rho'}{\langle \rho \rangle} = -\frac{T'}{\langle T \rangle} + \frac{p'}{\langle p \rangle}$$

$\langle \rho \rangle < 0$  UP  
 $\langle T \rangle > 0$  DOWN  
 $\langle p \rangle > 0$

BUOYANCY  
BRAKING  
IN UPFLOW

$$\frac{\rho'}{\langle \rho \rangle}$$

DOWNFLOW  
ENHANCED

$$\frac{T'}{\langle T \rangle}$$

UP/DOWN  
ASYMMETRY  
(continuity!)

$$\frac{p'}{\langle p \rangle}$$

KINETIC FLUX  
DOWNWARD

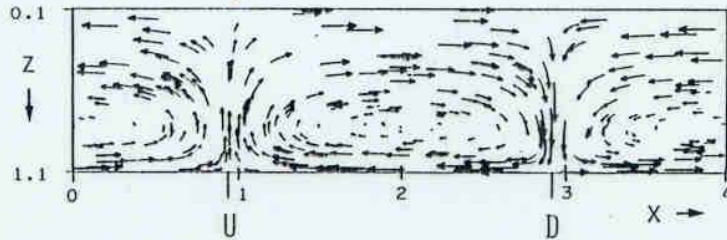
CONVECTIVE FLOW  
MAINLY DRIVEN  
BY DOWNFLOWS!

ACC.

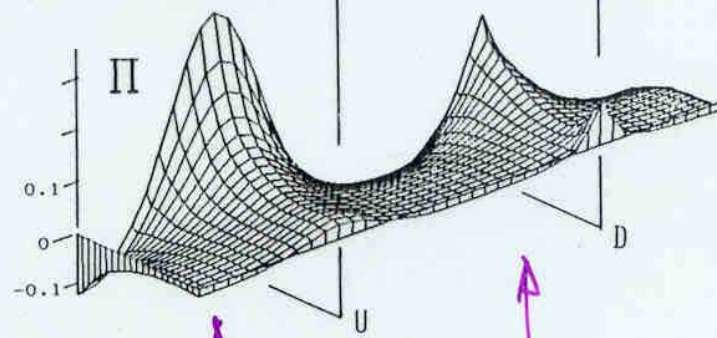
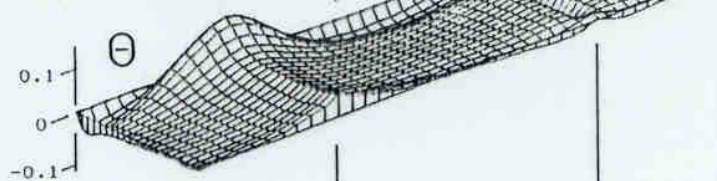
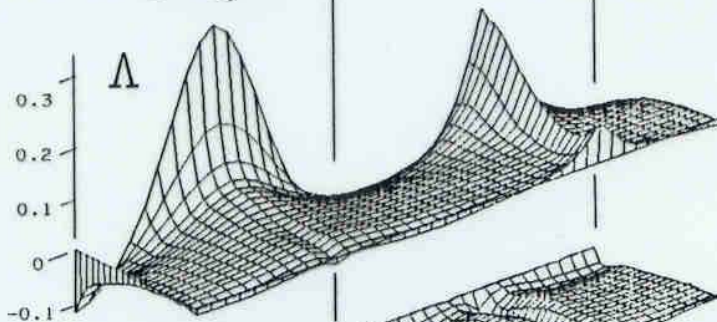
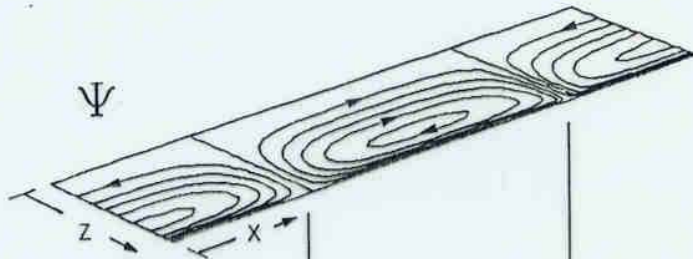
BRAKING

$$\frac{p'}{\langle p \rangle} > 0$$

$$\frac{p'}{\langle p \rangle} > 0$$



$$\frac{\rho_1}{\rho_2} = 1.1$$

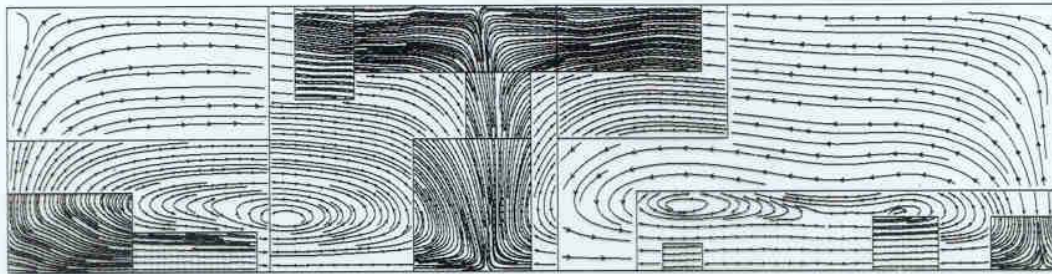


UPFLOW      DOWNFLOW

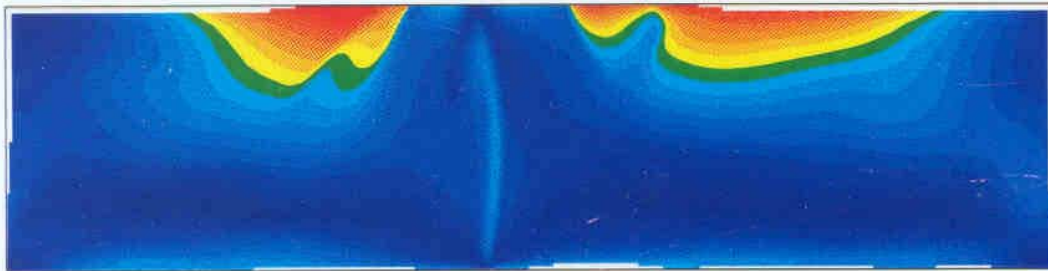
(MUCH ENHANCED) BY RADIATIVE COOLING)

# SIMULATION OF SUPERSONIC CONVECTION WITH ADAPTIVE GRID REFINEMENT

(STEINER, 1992)



0 .1 .2 .3 .4 .5 .6 .7 .8 .9 1. 1.1 1.2 1.3 1.4 1.5 1.6 1.7 1.8 1.9 2. 2.1 2.2



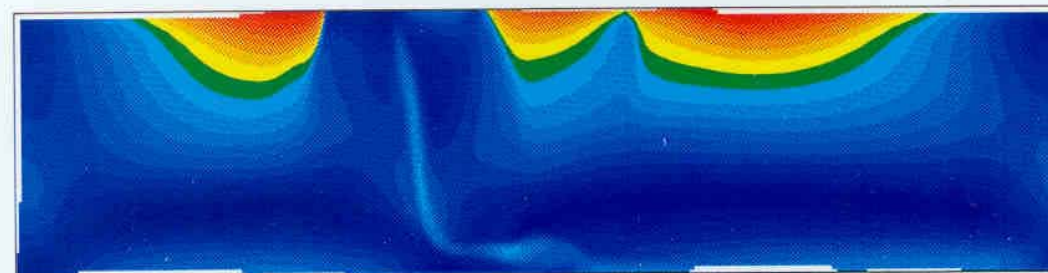
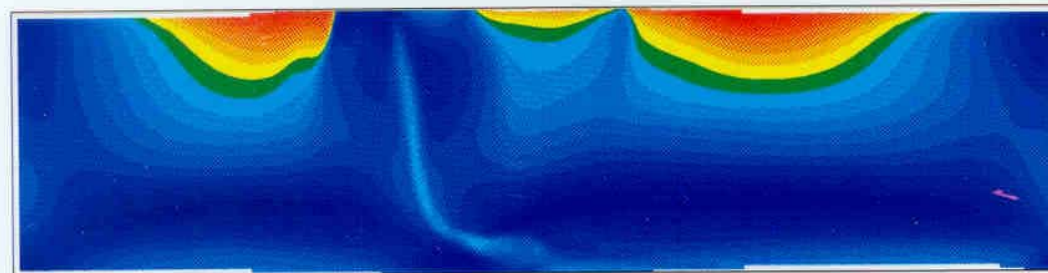
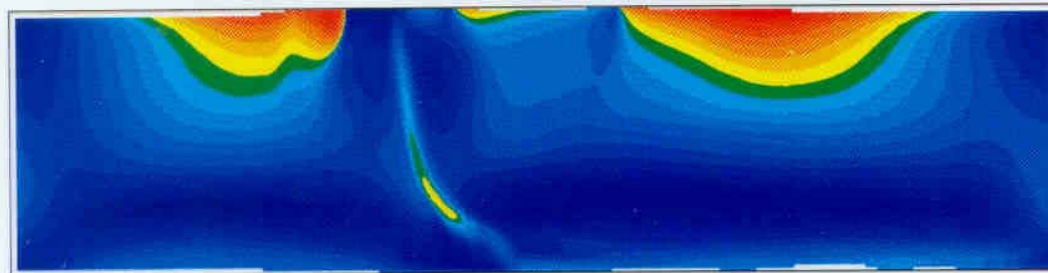
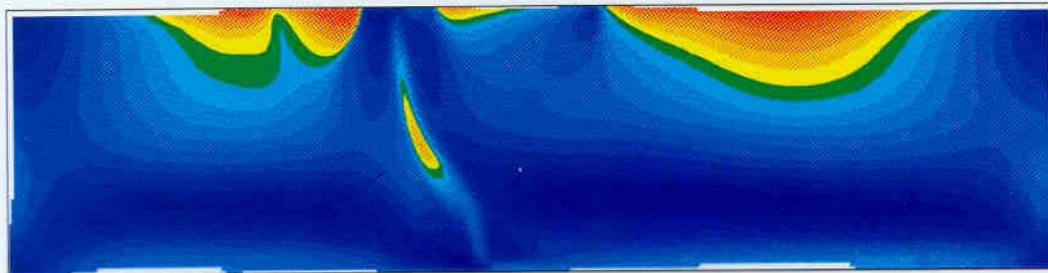
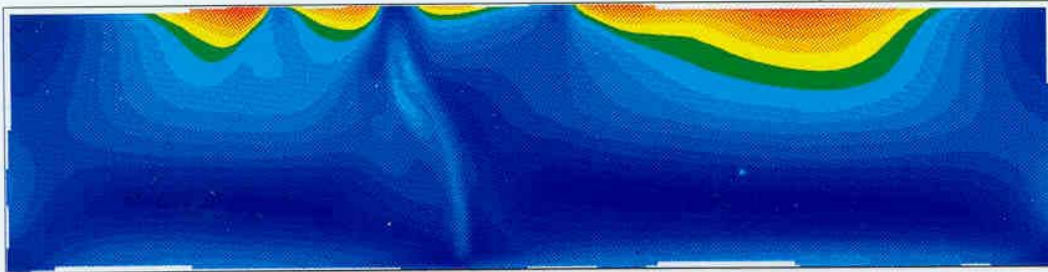
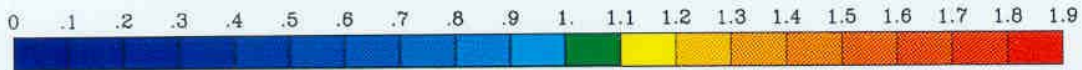
$$\chi = 11 ; r = 4 ; \sigma = 0.3 ; m = 1 ; \gamma = 1.4$$

$$R = 10^6 \approx 10^4 R_{crit}$$

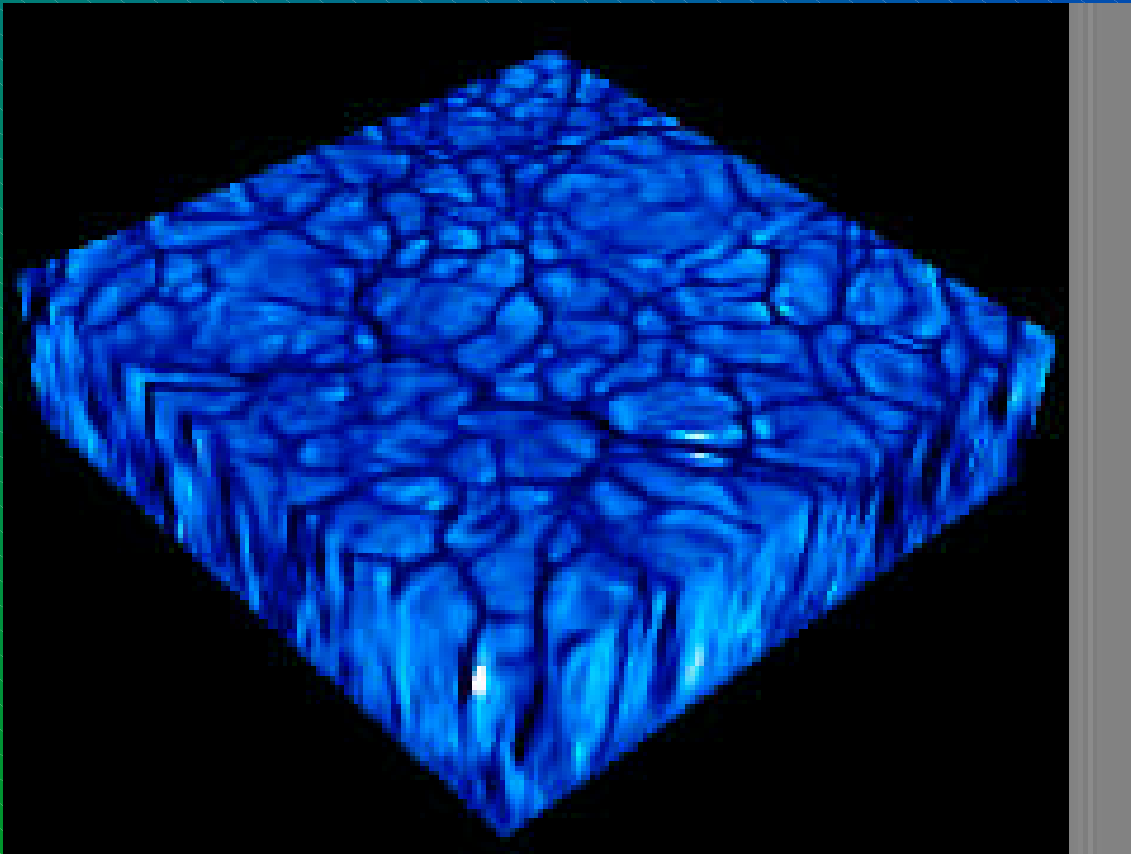
base grid  $80 \times 20$  ; refinement ratio = 2

$l_1$  : 1 grid ;  $l_2$  : 4 grids ;  $l_3$  : 9 grids

SIMULATION SUPERSONISCHER KONVEKTION  
MIT ADAPTIVER GITTERVERFEINERUNG (STEINER, 1992)



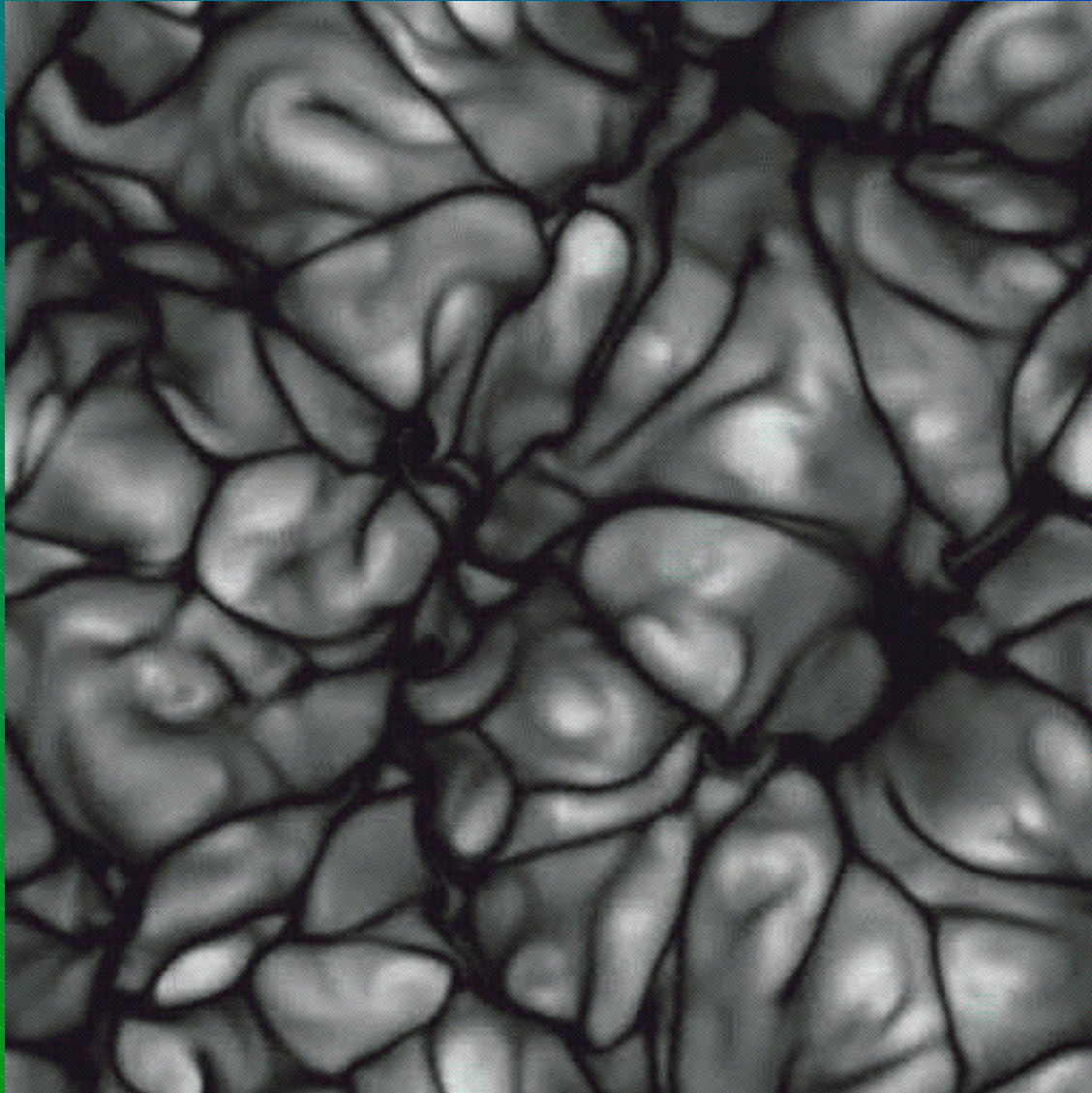
# Computer-simulated convection



- Boussinesq model
- Rayleigh number:  $5 \cdot 10^5$
- 3D,  $512 \times 512 \times 97$  mesh
- wide box, aspect ratio: 10
- “(meso)granulation”

Cattaneo & Emonet (2001)

# Computer-simulated convection



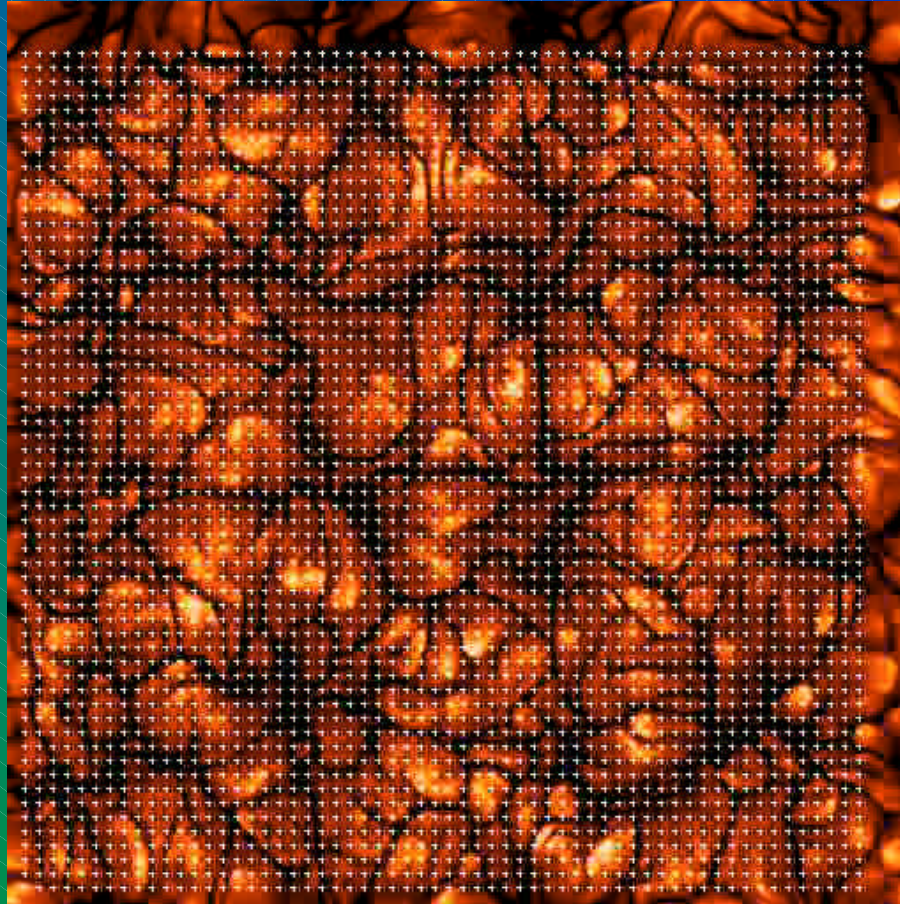
- Boussinesq model
- Rayleigh number:  $5 \cdot 10^5$
- 3D,  $512 \times 512 \times 97$  mesh
- wide box, aspect ratio: 10
- “(meso)granulation”

Cattaneo & Emonet (2001)

Temperature fluctuations



# Simulated long-lived convective downflows



Virtual "corks" are carried by the horizontal flow.  
They accumulate in downflow regions.

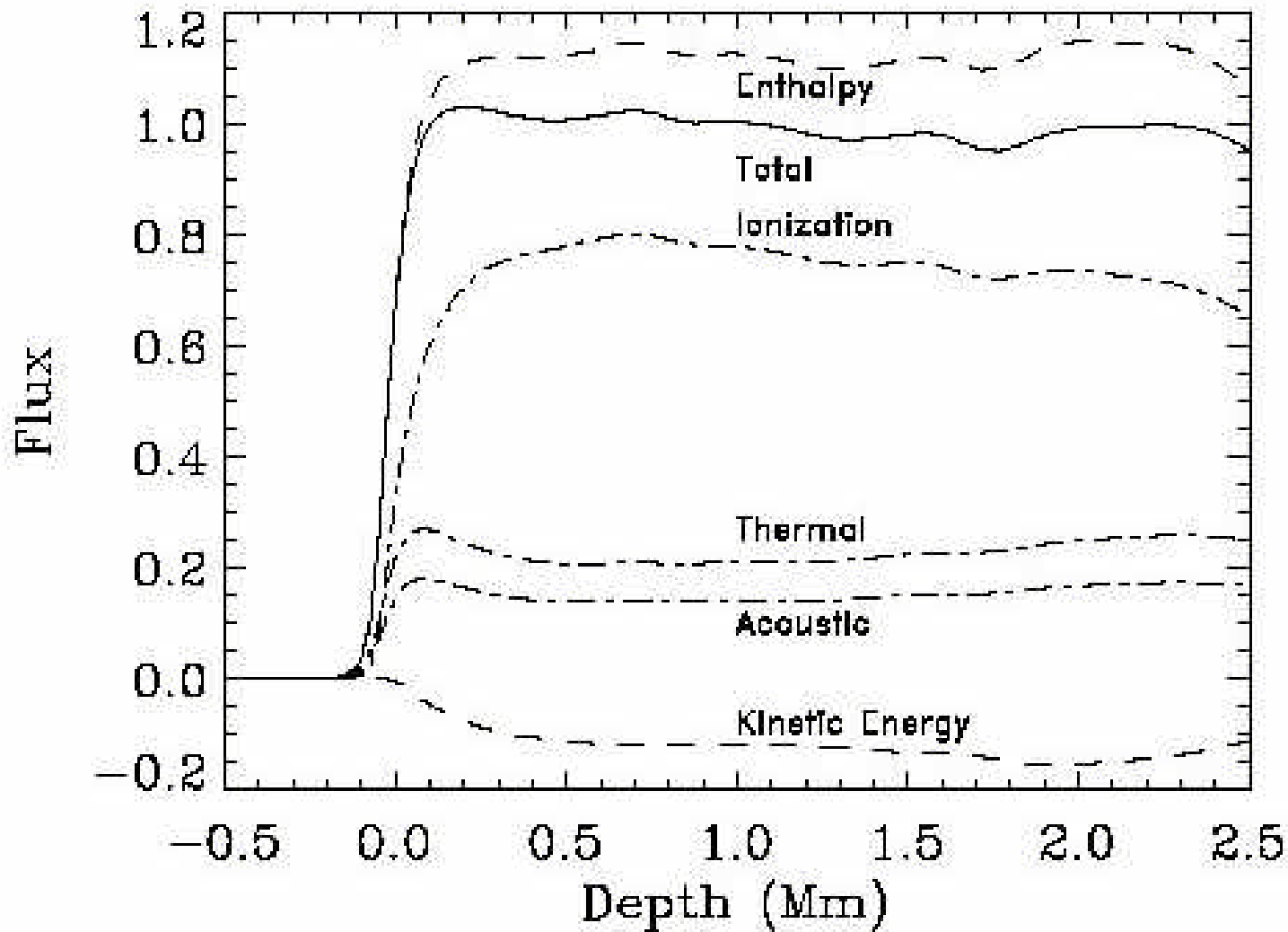
# 'Realistic' solar simulations

- elaborate physics: partial ionization, radiation, compressible, open box, transmitting boundaries, spectral line diagnostics (Stokes profiles)
- + : approximation to solar conditions
- + : direct comparison with observations
- – : computational restrictions (box size, resolution)
- – : Reynolds numbers much below solar values

→ viewgraphs



# Averaged energy fluxes in a simulation of solar convection

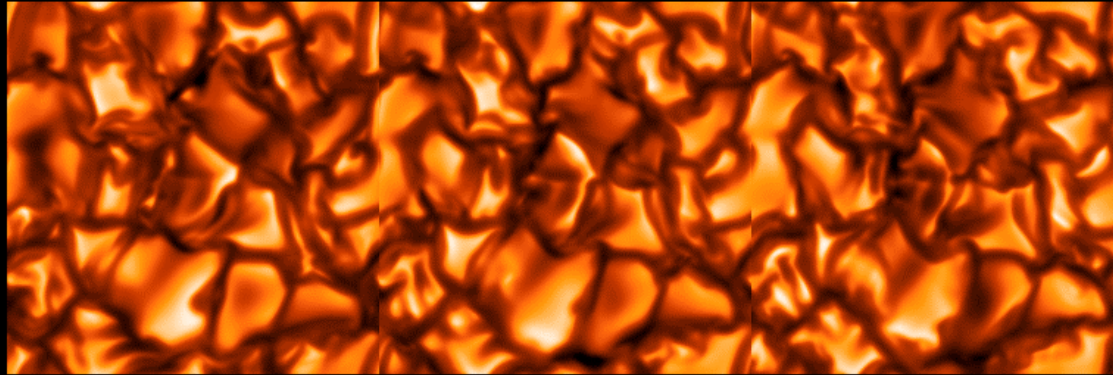


Stein & Nordlund, 2000

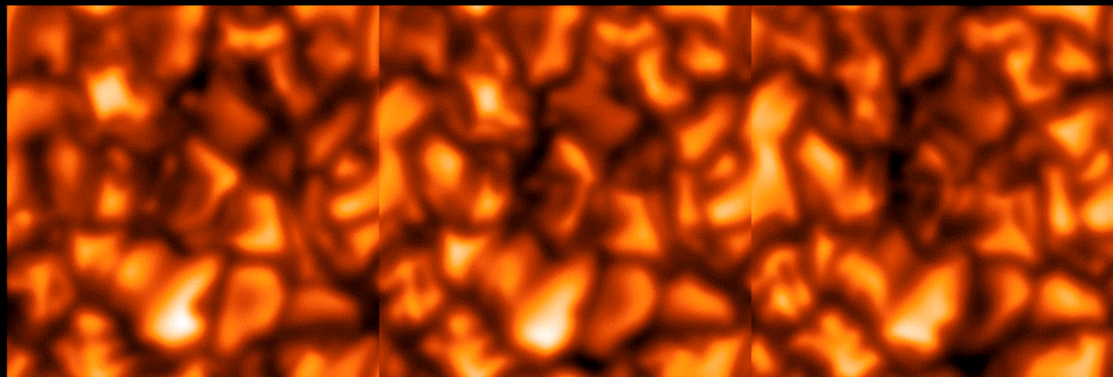


# Simulation and observation

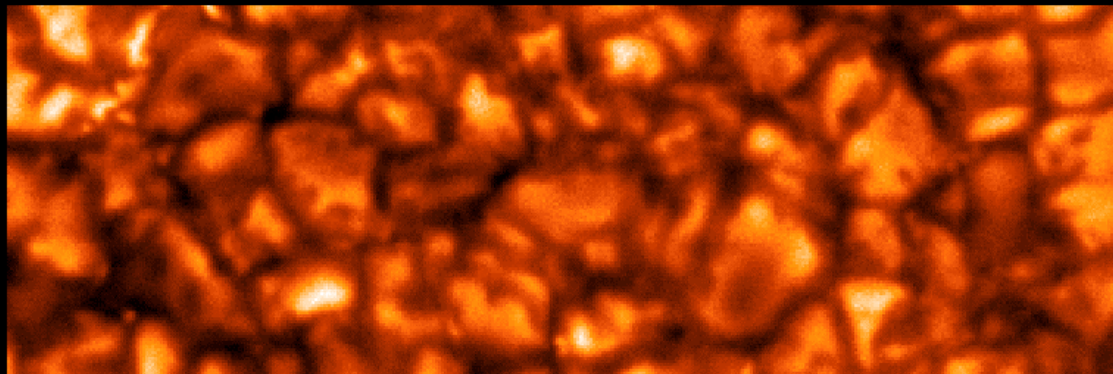
Simulation



Simulation+MTF



Observed

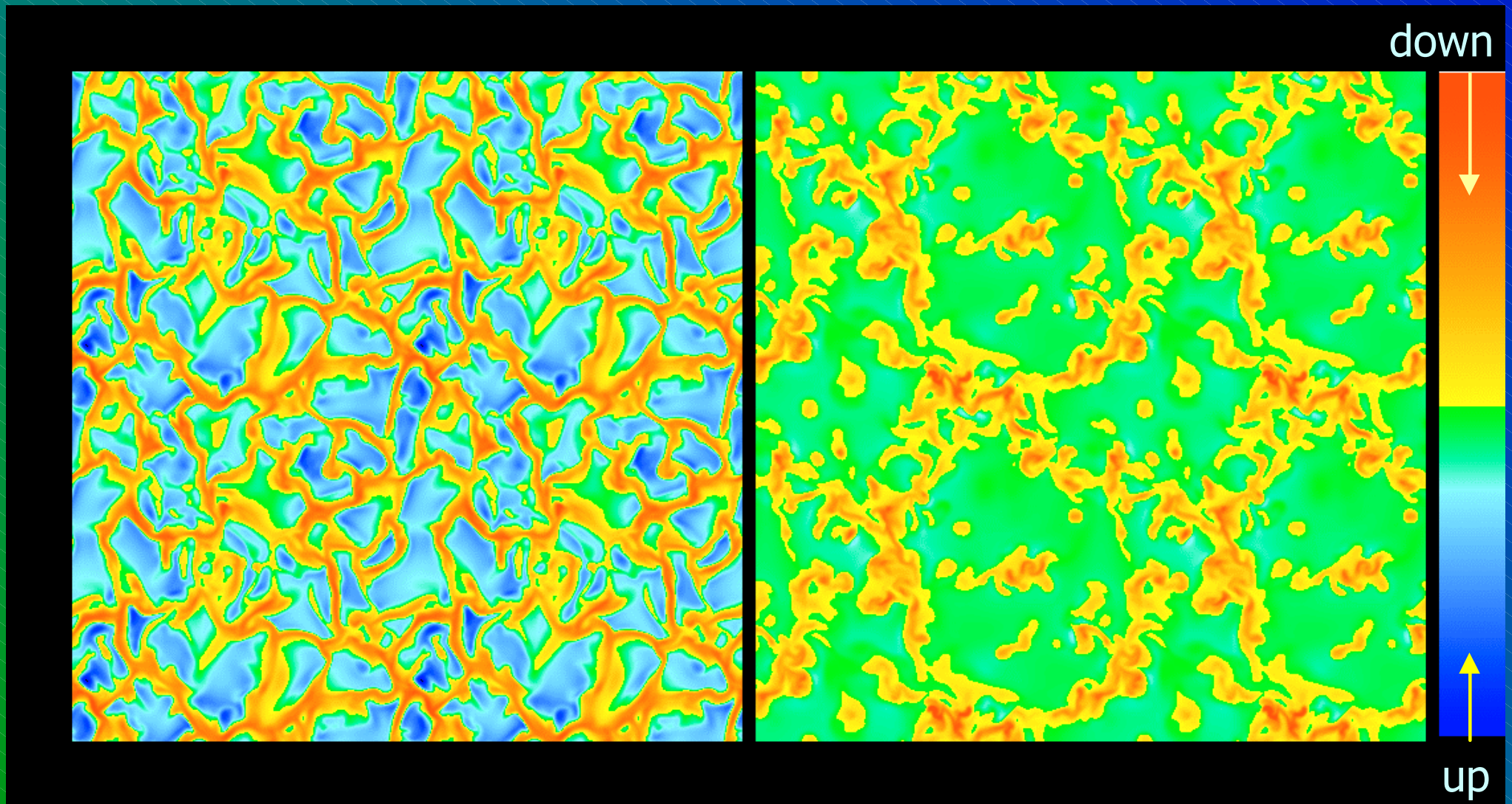


Simulation  
(original)

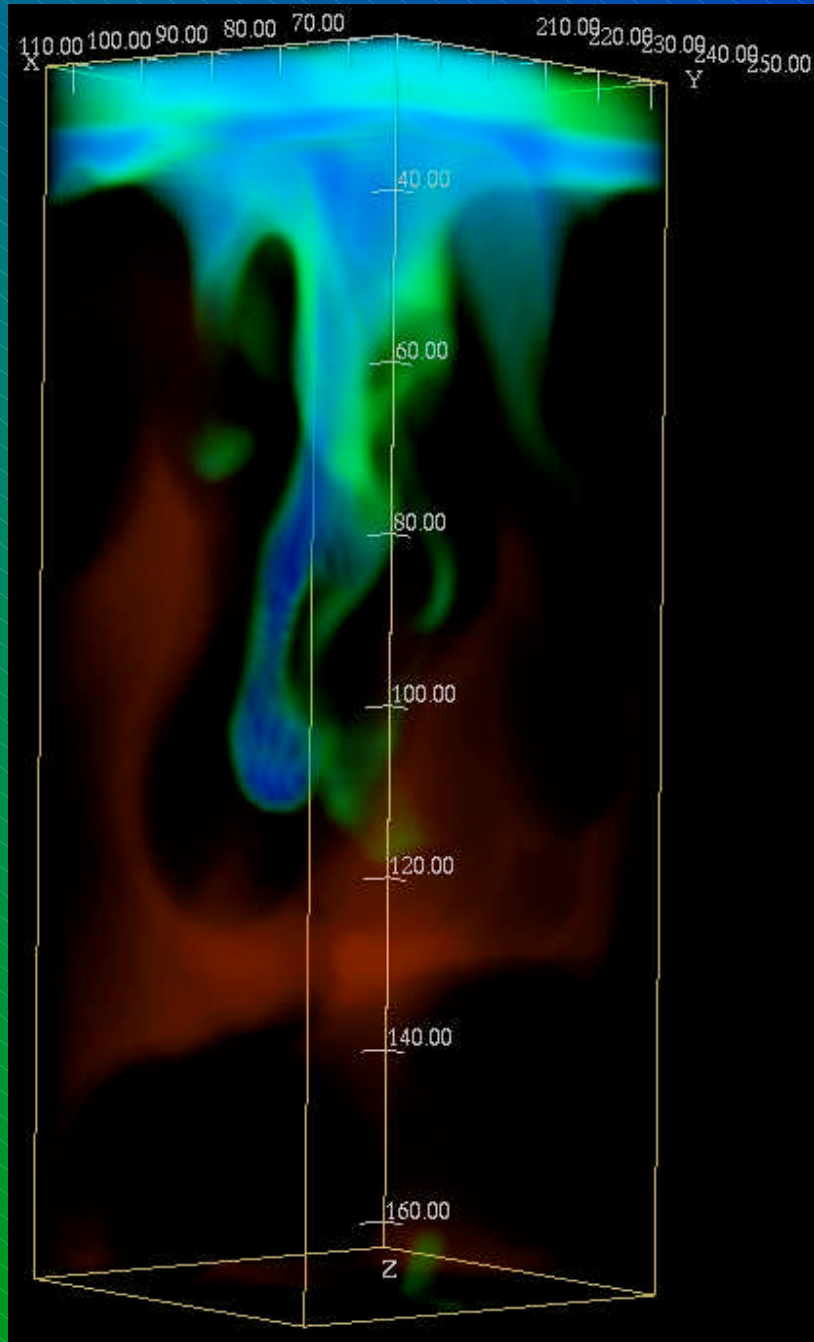
Simulation  
(smoothed)

Observation

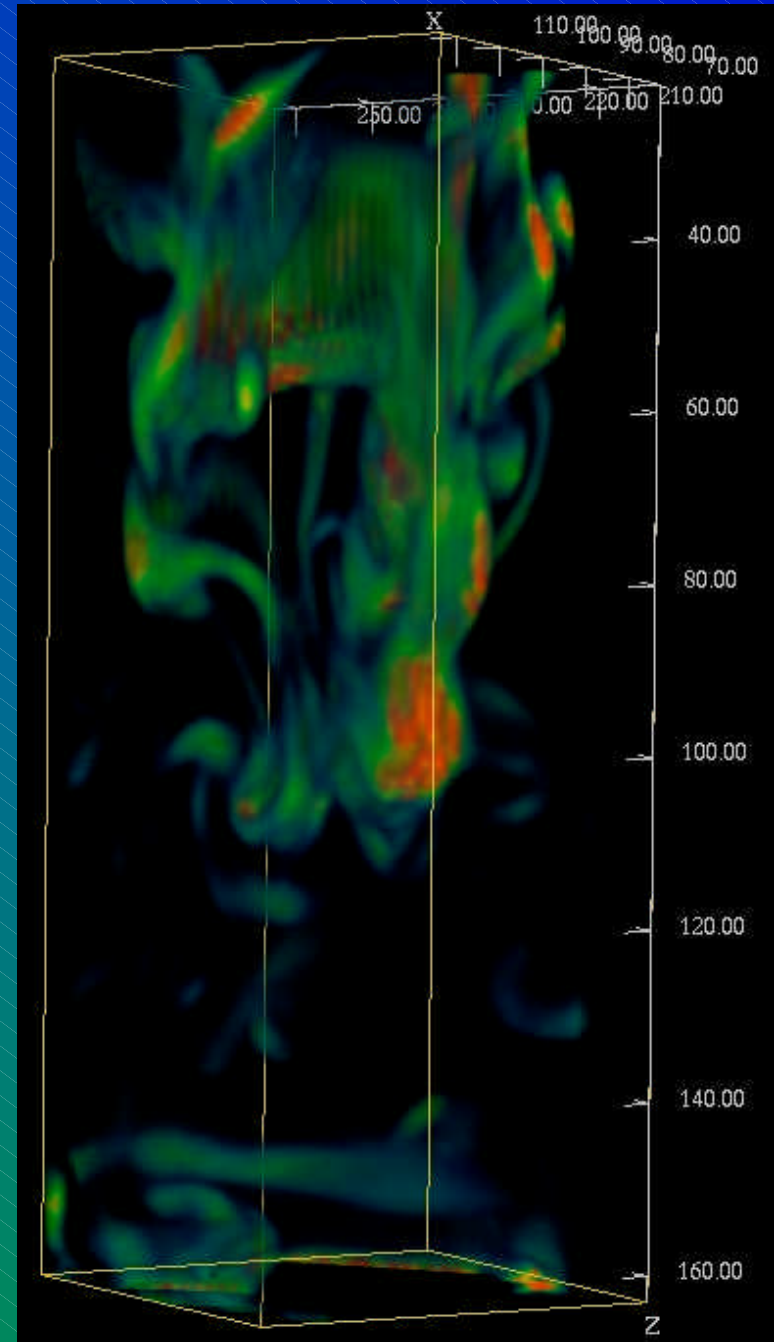
# Change of downflow topology



# Downflow structure: plumes/thermals

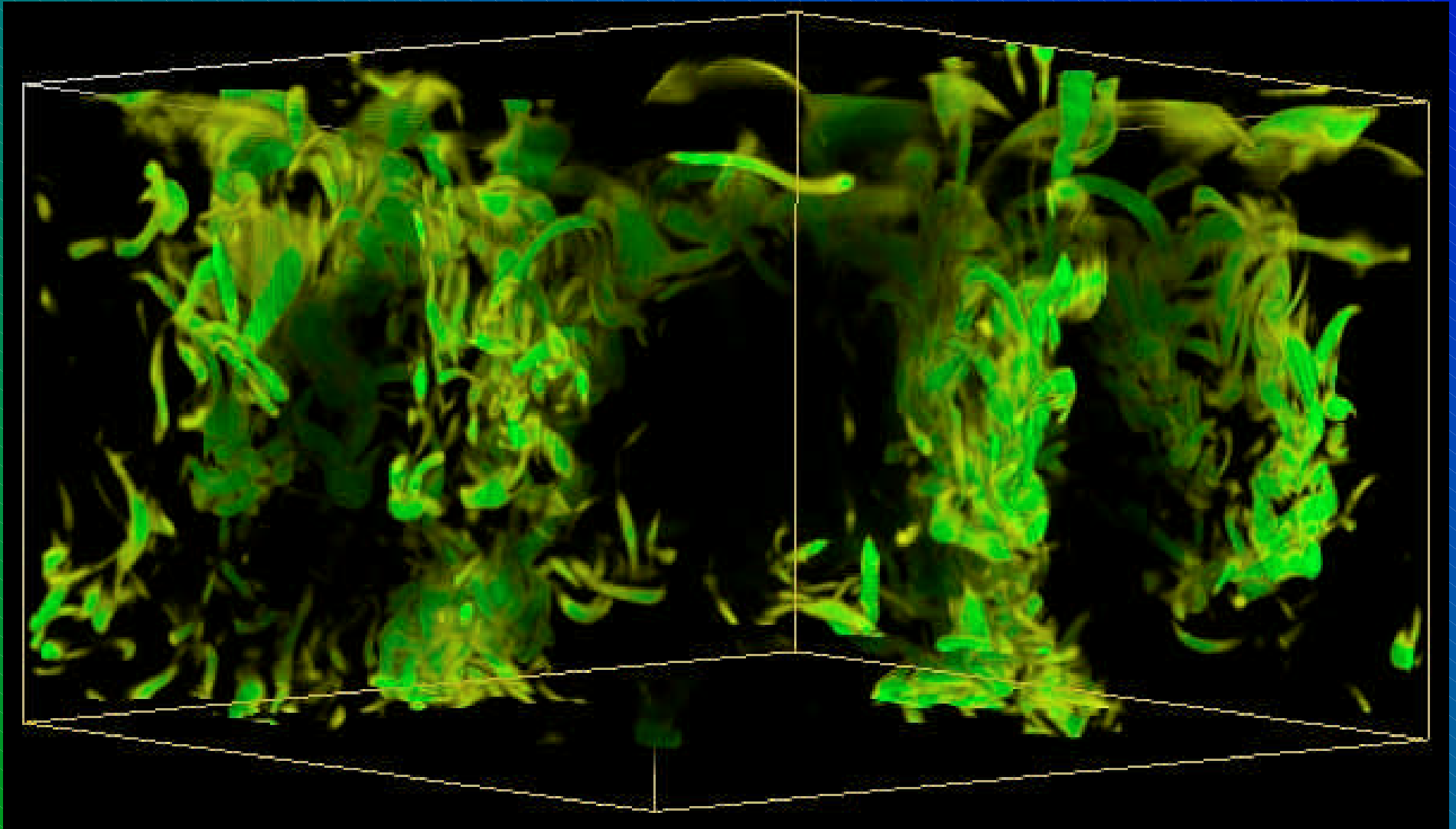


Entropy



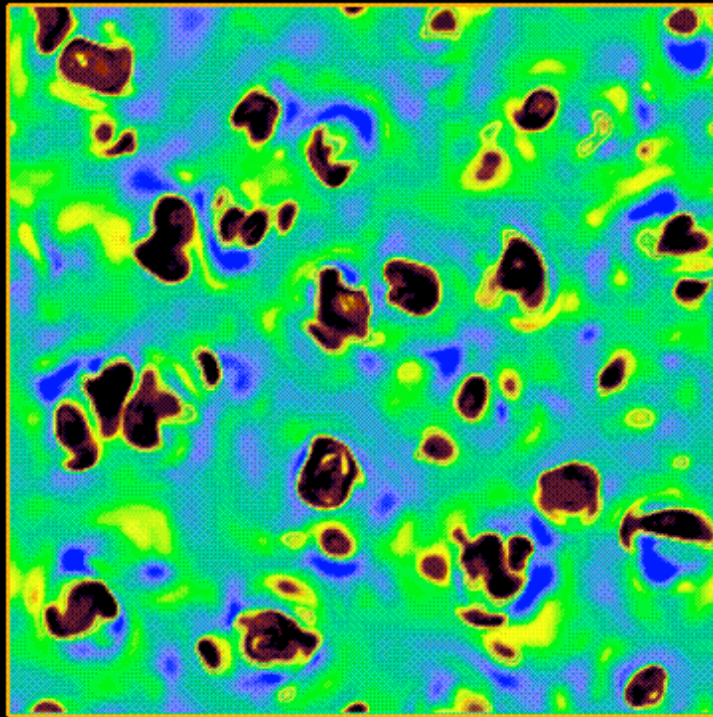
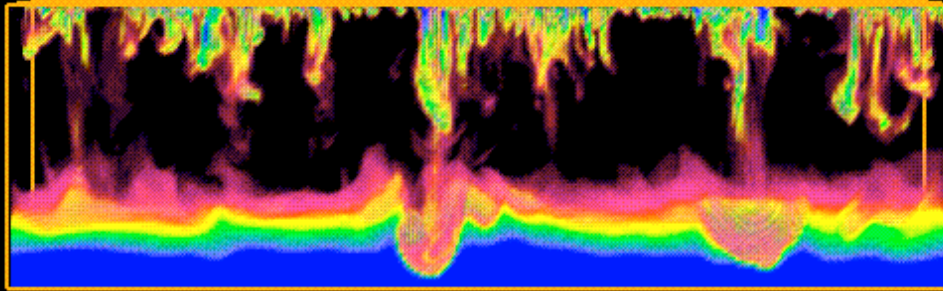
Vorticity

# Distribution of vorticity



Stein & Nordlund, 1998

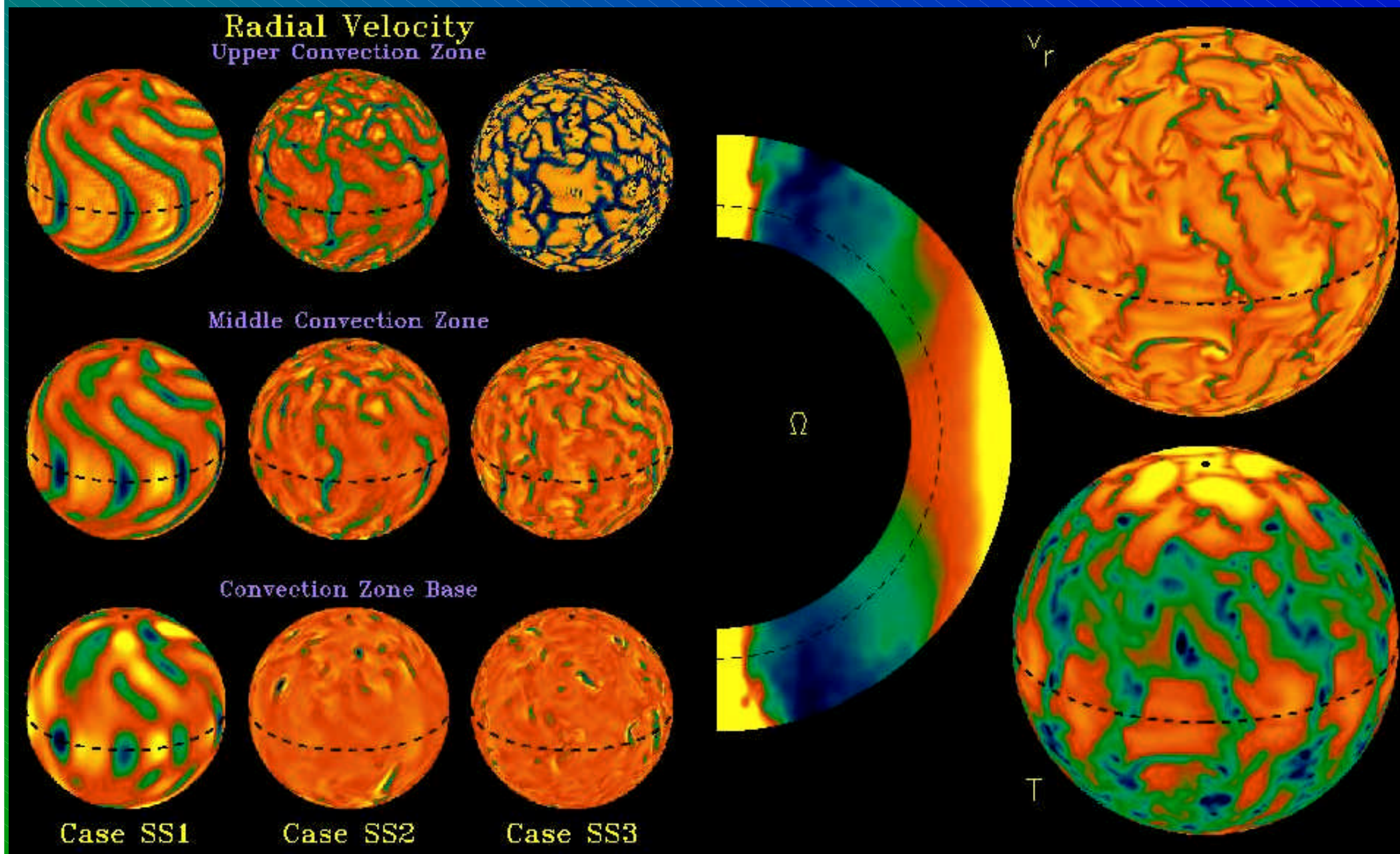
## Penetrating convection/overshoot



Shown is the temperature field for convection penetrating down into a stable fluid layer. The top image shows a side-view through the layer. The bottom image shows a view from above, within the stable region. The dark "holes" are plumes punching down into the stable fluid.

# Simulated convection in a solar-like spherical shell

Miesch 1998



# A “new paradigm” for solar/stellar convection ?

(Spruit, 1997)

- **Old paradigm (mixing-length model):**

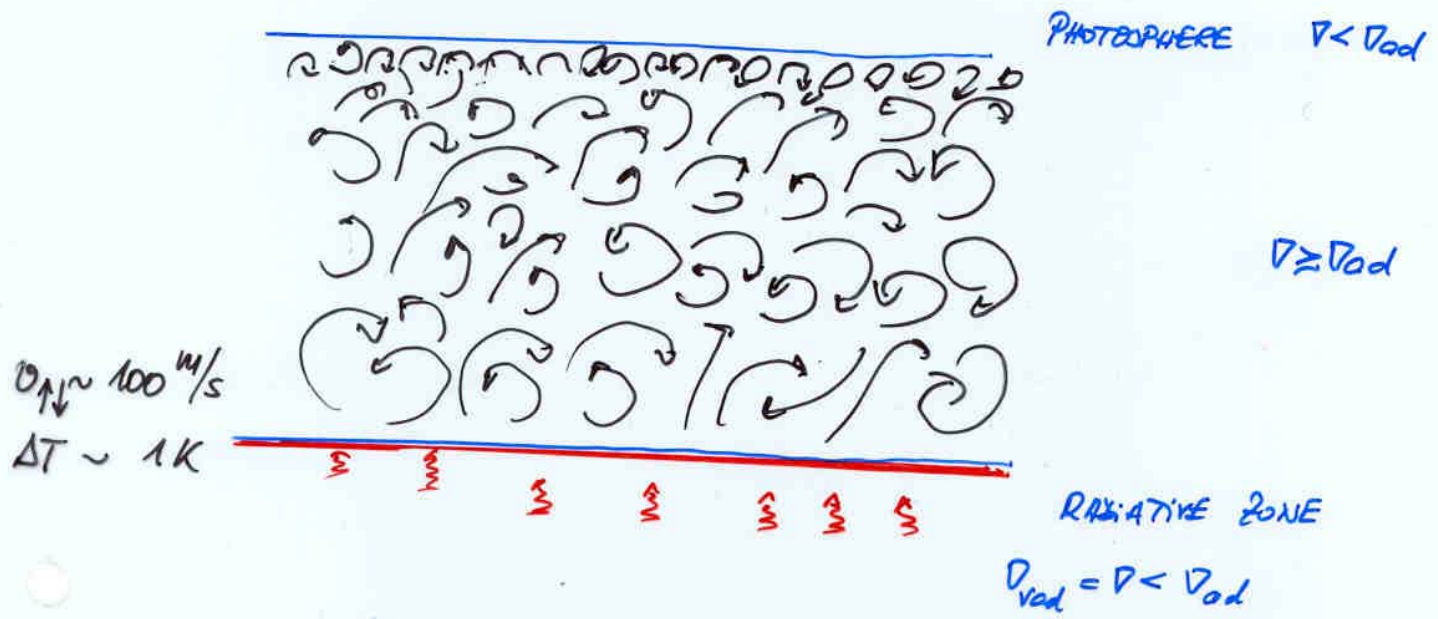
- fully developed turbulence with a hierarchy of “eddies”
- quasi-local, diffusion-like transport
- flows driven by local entropy gradient

- **New paradigm (lab & numerical experiments):**

- turbulent downdrafts, laminar isentropic upflows
- flows driven by surface entropy sink (radiative cooling)
- non-diffusive transport
- larger scales (meso/supergranulation) driven by compressing and merging downdrafts

→ viewgraph

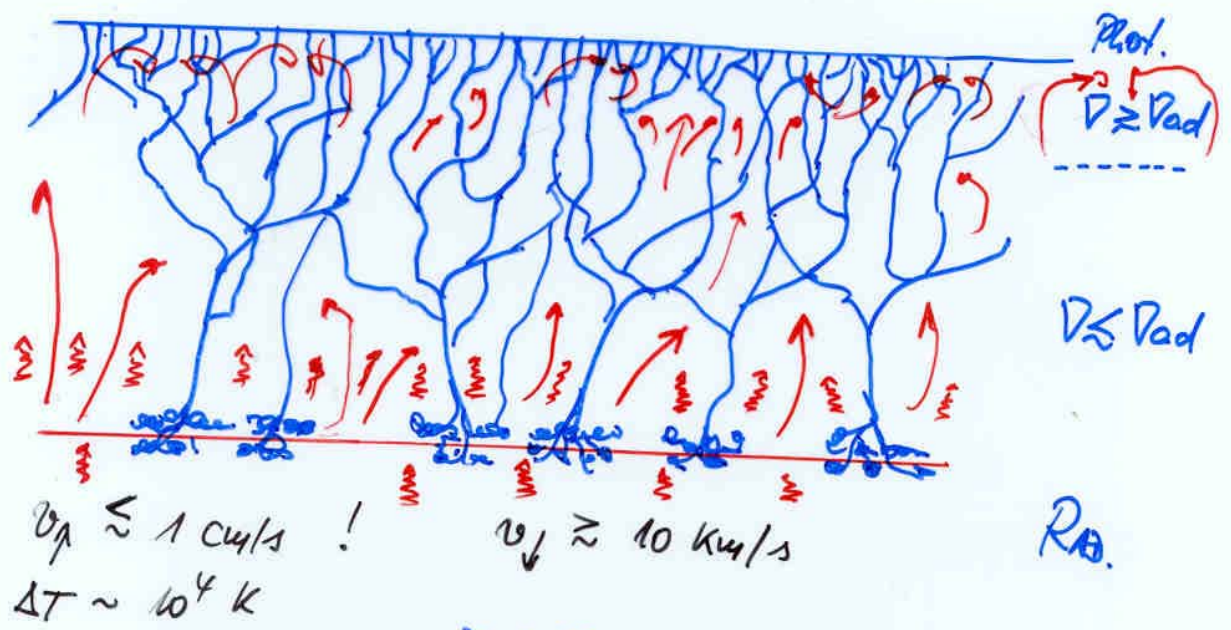
# OLD PARADIGM



SURFACE LAYERS hOMOGENEOUS/SAME

DEEP LAYERS vERY DIFFERENT

# NEW PARADIGM



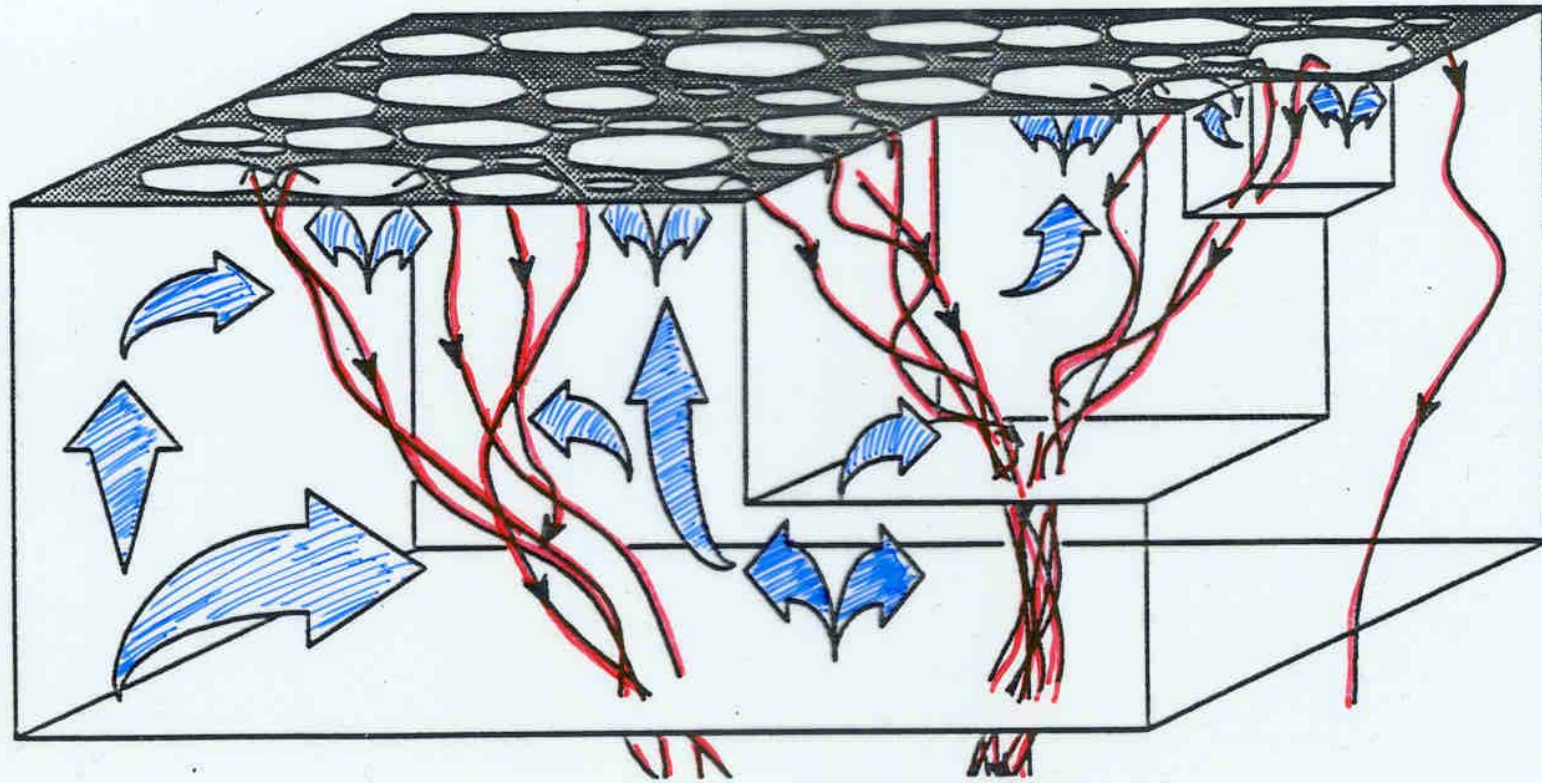
ENTRAINMENT

→ ENTROPY MIXING



(SPECULATIVE) PICTURE OF SOLAR CONVECTION : INVERSE CASCADE

(SPRUIT ET AL., 1990)



FILAMENTARY DOWNDRAFTS MERGE (DUE TO HORIZ. FLOWS ON LARGER SCALES,  
DRIVEN BY THE ENTROPY DEFICIT OF THE  
DOWNDRAFTS THEMSELVES)