

## Emission and Absorption



## Chemical composition

Stellar atmosphere = mixture, composed of many chemical elements, present as **atoms**, **ions**, or **molecules**

Abundances, e.g., given as mass fractions  $\beta_k$

- **Solar abundances**

$$\beta_H = 0.71$$

$$\beta_{He} = 0.28$$

$$\beta_C = 0.004$$

$$\beta_N = 0.001$$

$$\beta_O = 0.009$$

⋮

$$\beta_{Fe} = 0.001$$

⋮

} Universal abundance  
for Population I stars

## Chemical composition

- Population II stars

$$\beta_H = \beta_H^\odot$$

$$\beta_{He} = \beta_{He}^\odot$$

$$\beta_Z = 0.1 \dots 0.00001 \beta_Z^\odot$$

- Chemically peculiar stars,

e.g., helium stars

$$\beta_H \leq 0.002 \ll \beta_H^\odot$$

$$\beta_{He} = 0.964 \gg \beta_{He}^\odot$$

$$\beta_C = 0.029 \gg \beta_C^\odot$$

$$\beta_N = 0.003 \approx \beta_N^\odot$$

$$\beta_O = 0.002 < \beta_O^\odot$$

- Chemically peculiar stars,

e.g., PG1159 stars

$$\beta_H \leq 0.05 \ll \beta_H^\odot$$

$$\beta_{He} = 0.25 \gg \beta_{He}^\odot$$

$$\beta_C = 0.55 \gg \beta_C^\odot$$

$$\beta_N < 0.02$$

$$\beta_O = 0.15 \gg \beta_O^\odot$$

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## Other definitions

- Particle number density  $N_k$  = number of atoms/ions of element  $k$  per unit volume

relation to mass density:

$$\beta_k \rho = A_k m_H N_k$$

with  $A_k$  = mean mass of element  $k$  in atomic mass units (AMU)

$m_H$  = mass of hydrogen atom

- Particle number fraction 
$$\frac{N_k}{\sum N_{k'}}$$

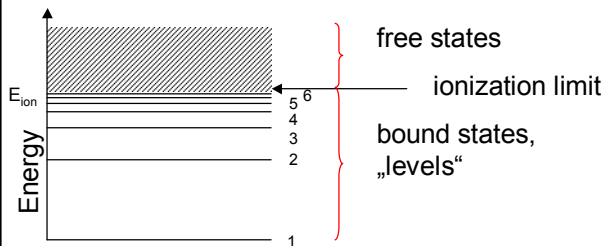
- logarithmic 
$$\epsilon_k = \log(N_k / N_H) + 12.00$$
- Number of atoms per  $10^6$  Si atoms (meteorites)

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## The model atom

### The population numbers (=occupation numbers)

$n_i$  = number density of atoms/ions of an element, which are in the level  $i$



$E_i$  = energy levels, quantized

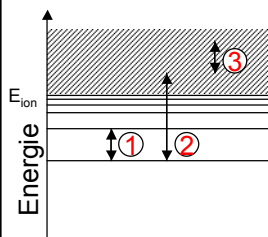
$E_1$  = E(ground state) = 0

$E_{\text{ion}}$  = ionization energy

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## Photon absorption cross-sections

### Transitions in atoms/ions



1. bound-bound transitions = lines
2. bound-free transitions = ionization and recombination processes
3. free-free transitions = Bremsstrahlung

We look for a relation between **macroscopic** quantities  $\kappa(\nu), \eta_\nu(\nu)$  and **microscopic** (quantum mechanical) quantities, which describe the state transitions within an atom

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## Photon absorption cross-sections

**Line transitions:**  $\Delta E_{\text{bb}} = \pm(E_{\text{up}} - E_{\text{low}})$

**Bound-free transitions:** thermal average of electron velocities  $v$  (Maxwell distribution, i.e., electrons in thermodynamic equilibrium)

unbound state = ion + free electron  $(1/2 m_e v^2)$

$$|\Delta E_{\text{bf}}| > E_{\text{th}} = E_{\text{ion}} - E_{\text{low}}$$

**Free-free transition:** free electron in Coulomb field of an ion, Bremsstrahlung, classically: jump into other hyperbolic orbit,  $\Delta E_{\text{ff}}$  arbitrary



For all processes holds:  $\Delta E$  can only be supplied or removed by:

- Inelastic collisions with other particles (mostly electrons), **collisional processes**
- By absorption/emission of a photon, **radiative processes**
- In addition: **scattering processes** = (in)elastic collisions of photons with electrons or atoms
  - scattering off free electrons: Thomson or Compton scattering
  - scattering off bound electrons: Rayleigh scattering

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## The line absorption cross-section

Classical description (H.A. Lorentz)

Harmonic oscillator in electromagnetic field

- Damped oscillations (1-dim), eigen-frequency  $\omega_0$

Damping constant  $\gamma$

- Periodic excitation with frequency  $\omega$  by E-field

**Equation of motion:**

$$m\ddot{x} + \gamma m\dot{x} + m\omega_0^2 x = eE_0 e^{i\omega t}$$

inertia + damping + restoring force = excitation

Usual Ansatz for solution:  $x(t) = x_0 e^{i\omega t}$

$$(-\omega^2 + i\omega\gamma + \omega_0^2)x = \frac{eE_0}{m} e^{i\omega t}$$

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## The line absorption cross-section

$$(-\omega^2 + i\omega\gamma + \omega_0^2) x(t) = \frac{eE_0}{m} e^{i\omega t}$$

$$x(t) = \frac{eE_0}{m} e^{i\omega t} \cdot \frac{1}{(\omega_0^2 - \omega^2 + i\omega\gamma)}$$

expand 
$$x(t) = \frac{eE_0}{m} e^{i\omega t} \cdot \frac{(\omega_0^2 - \omega^2 - i\omega\gamma)}{(\omega_0^2 - \omega^2)^2 + \omega^2\gamma^2}$$

real part 
$$\text{Re}(x(t)) = \frac{eE_0}{m} \left[ \frac{\omega_0^2 - \omega^2}{(\omega_0^2 - \omega^2)^2 + \omega^2\gamma^2} \cos \omega t + \frac{\gamma\omega}{(\omega_0^2 - \omega^2)^2 + \omega^2\gamma^2} \sin \omega t \right]$$

Electrodynamics: radiated power

$$p(t) = \frac{2}{3} \frac{e^2}{c^3} (\ddot{x})^2$$

$$\ddot{x}(t) = \frac{eE_0}{m} \left[ \frac{\omega_0^2 - \omega^2}{(\omega_0^2 - \omega^2)^2 + \omega^2\gamma^2} (-\omega^2) \cos \omega t + \frac{\gamma\omega}{(\omega_0^2 - \omega^2)^2 + \omega^2\gamma^2} (-\omega^2) \sin \omega t \right]$$

$$(\ddot{x}(t))^2 = \left( \frac{eE_0}{m} \right)^2 \left[ \frac{(\omega_0^2 - \omega^2)^2 \omega^4}{N^2} \cos^2 \omega t + \frac{2\gamma(\omega_0^2 - \omega^2)\omega^5}{N^2} \cos \omega t \sin \omega t + \frac{\gamma^2 \omega^6}{N^2} \sin^2 \omega t \right] \quad 9$$

## The line absorption cross-section

average over one period

$$\overline{\cos^2 \omega t} = \overline{\sin^2 \omega t} = 1/2, \quad \overline{\cos \omega t \sin \omega t} = 0$$

$$\overline{(\ddot{x})^2} = \frac{1}{2} \left( \frac{eE_0\omega^2}{m} \right)^2 \left[ \frac{(\omega_0^2 - \omega^2)^2 + \gamma^2\omega^2}{((\omega_0^2 - \omega^2)^2 + \gamma^2\omega^2)^2} \right]$$

$$\overline{(\ddot{x})^2} = \frac{1}{2} \left( \frac{eE_0}{m} \right)^2 \left[ \frac{\omega^4}{(\omega_0^2 - \omega^2)^2 + \gamma^2\omega^2} \right]$$

power, averaged over one period

$$\overline{p} = \frac{2}{3} \frac{e^2}{c^3} \overline{(\ddot{x})^2} = \left( \frac{e^4 E_0^2}{3m^2 c^3} \right) \left[ \frac{\omega^4}{(\omega_0^2 - \omega^2)^2 + \gamma^2\omega^2} \right]$$

$$\overline{p} = \frac{e^4 E_0^2}{3m^2 c^3} \phi(\nu) / C \quad C = \text{normalization constant} \quad (\nu = \omega/2\pi)$$

$$\phi(\nu) = \frac{\nu^4 C}{(\nu_0^2 - \nu^2)^2 + (\gamma/2\pi)^2 \nu^2} \quad \text{profile function}$$

## The line absorption cross-section

since  $\Delta\nu = \nu - \nu_0 \ll \nu, \nu_0$ :  $\nu \approx \nu_0$

$$(\nu_0^2 - \nu^2)^2 = ((\nu_0 + \nu)(\nu_0 - \nu))^2 \approx 4\nu_0^2(\nu_0 - \nu)^2$$

$$\varphi(\nu) = \frac{\nu_0^2 C}{4(\nu_0 - \nu)^2 + (\gamma/2\pi)^2} = \frac{C}{4} \frac{\nu_0^2}{(\nu_0 - \nu)^2 + (\gamma/4\pi)^2}$$

now: calculating the normalization constant

$$\int_{\nu_0 - \infty}^{\nu_0 + \infty} \varphi(\nu) d\nu = 1$$

substitution:  $x := \frac{4\pi}{\gamma}(\nu_0 - \nu)$

$$\int_{\nu_0 - \infty}^{\nu_0 + \infty} \varphi(\nu) d\nu = \frac{C}{4} \nu_0^2 \frac{4\pi}{\gamma} \int_{-\infty}^{+\infty} \frac{dx}{1+x^2} = C \frac{\nu_0^2 \pi^2}{\gamma} \Rightarrow C = \frac{\gamma}{\nu_0^2 \pi^2}$$

$$= \pi$$

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## The line absorption cross-section

Profile function, Lorentz profile

$$\varphi(\nu) = \frac{\gamma/4\pi^2}{(\nu_0 - \nu)^2 + (\gamma/4\pi)^2}$$

properties:

- Symmetry:

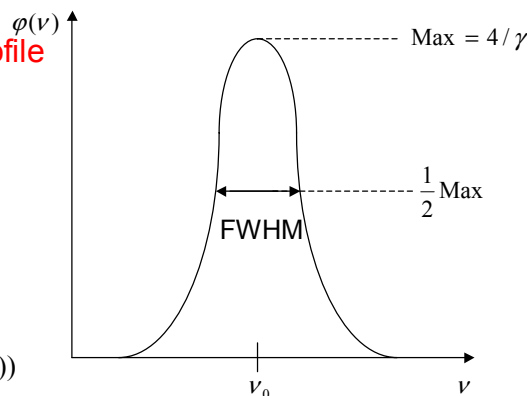
$$\varphi(+(\nu_0 - \nu)) = \varphi(-(\nu_0 - \nu))$$

- Asymptotically:

$$\varphi(\nu) \rightarrow 1/(\nu_0 - \nu)^2 = 1/\Delta\nu^2$$

- FWHM:

$$\frac{2}{\gamma} = \frac{\gamma/4\pi^2}{(\Delta\nu_{\text{FWHM}}/2)^2 + (\gamma/4\pi)^2} \Rightarrow \Delta\nu_{\text{FWHM}} = \frac{2\gamma}{4\pi} = \frac{\gamma}{2\pi}$$



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## The damping constant

- Radiation damping, classically (other damping mechanisms later)
- Damping force ("friction")  $F = \gamma m \dot{x}(t)$

power=force · velocity  $p(t) = \gamma m (\dot{x}(t))^2$

electrodynamics  $p(t) = \frac{2}{3} \frac{e^2}{c^3} (\ddot{x}(t))^2$

- Hence, Ansatz for frictional force is not correct
- Help: define  $\gamma$  such, that the power is correct, when time-averaged over one period:

$$\gamma m \omega^2 = \frac{2}{3} \frac{e^2}{c^3} \omega^4 \quad (\text{where we used } x(t) = x_0 e^{i\omega t})$$

$$\omega \approx \omega_0 \Rightarrow \gamma = \frac{2}{3} \frac{e^2 \omega_0^2}{m c^3} \quad \text{classical radiation damping constant}$$

## Half-width

Insert into expression for FWHM:

$$\Delta v_{\text{FWHM}} = \frac{\gamma}{2\pi} = \frac{4\pi e^2 v_0^2}{3mc^3}$$

$$\frac{\Delta v_{\text{FWHM}}}{v} = \frac{\Delta \lambda_{\text{FWHM}}}{\lambda} \Rightarrow \Delta \lambda_{\text{FWHM}} = \frac{c}{v^2} \Delta v_{\text{FWHM}} = \frac{4\pi e^2}{3mc^2} = 1.18 \cdot 10^{-4} \text{ \AA}$$

## The absorption cross-section

Definition **absorption coefficient**  $\kappa$   $dI_\nu = -\kappa(\nu)I_\nu ds$

with  $n_{\text{low}}$  = number density of absorbers:  $\kappa(\nu) = \sigma(\nu)n_{\text{low}}$

$\sigma(\nu)$  **absorption cross-section** (definition), dimension: area

Separating off frequency dependence:  $\sigma(\nu) = \sigma_0\varphi(\nu)$

Dimension  $\sigma_0$ : area · frequency

Now: calculate absorption cross-section of classical harmonic oscillator for plane electromagnetic wave:

$$E_x = E_0 e^{i\omega t}$$

$$I_\nu(\nu', \mu) = \frac{c}{8\pi} E_0^2 \delta(\nu - \nu') \delta(\mu - 1)$$

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Power, averaged over one period, extracted from the radiation field:

$$\bar{p} = \frac{e^4 E_0^2}{3m^2 c^3} \frac{\pi^2 \nu_0^2}{\gamma} \varphi(\nu) \quad \text{with } \gamma = \gamma_{\text{class.}} = \frac{2}{3} \frac{e^2 \omega_0^2}{mc^3}$$

$$\bar{p} = \frac{e^4 E_0^2}{3m^2 c^3} \frac{\pi^2 \nu_0^2 3mc^3}{2e^2 4\pi^2 \nu_0^2} \varphi(\nu) = \frac{e^2 E_0^2}{8m} \varphi(\nu)$$

On the other hand:  $\bar{p} = \sigma(\nu) \iint_{\nu', \mu} I(\nu', \mu) d\nu' d\mu = \sigma(\nu) \frac{c}{8\pi} E_0^2$

Equating:  $\sigma(\nu) \frac{c}{8\pi} E_0^2 = \frac{e^2 E_0^2}{8m} \varphi(\nu)$

$$\sigma(\nu) = \frac{\pi e^2}{mc} \varphi(\nu) \Rightarrow \sigma_0 = 0.026537 \text{ cm}^2 \text{ Hz}$$

**Classically:** independent of particular transition

**Quantum mechanically:** correction factor, **oscillator strength**

$$\sigma_{\text{lu}} = \frac{\pi e^2}{mc} f_{\text{lu}} \quad \kappa(\nu) = n_{\text{low}} \frac{\pi e^2}{mc} f_{\text{lu}} \varphi(\nu) \quad \text{index "lu" stands for transition lower} \rightarrow \text{upper level}$$



## Oscillator strengths

Oscillator strengths  $f_{lu}$  are obtained by:

- Laboratory measurements
- Solar spectrum
- Quantum mechanical computations (Opacity Project etc.)

$\lambda/\text{\AA}$	Line	$f_{lu}$	$g_{low}$	$g_{up}$
1215.7	Ly $\alpha$	0.41	2	8
1025.7	Ly $\beta$	0.07	2	18
972.5	Ly $\gamma$	0.03	2	32
6562.8	H $\alpha$	0.64	8	18
4861.3	H $\beta$	0.12	8	32
4340.5	H $\gamma$	0.04	8	50

- Allowed lines:  $f_{lu} \approx 1$ ,
- Forbidden:  $\ll 1$  e.g. He I  $1s^2\ ^1S \rightarrow 1s2s\ ^3S$   $f_{lu} = 2 \cdot 10^{-14}$

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## Opacity status report

Connecting the (macroscopic) opacity with (microscopic) atomic physics

$$\kappa(\nu) = n_{low} \frac{\pi e^2}{mc} f_{low,up} \phi(\nu)$$

Classical crosssection  $\rightarrow$   $\frac{\pi e^2}{mc} f_{low,up}$   
 Profile function  $\rightarrow$   $\phi(\nu)$   
 QM correction factor  $\rightarrow$   $\sigma_{low,up}$

Population number of lower level

View atoms as harmonic oscillator

- Eigenfrequency: transition energy
- Profile function: reaction of an oscillator to external driving (EM wave)
- Classical crosssection: radiated power = damping

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## Extension to emission coefficient

Alternative formulation by defining **Einstein coefficients**:

$$\kappa(\nu) = n_{\text{low}} \frac{h\nu_0}{4\pi} B_{\text{lu}} \varphi(\nu)$$

$$\text{i.e.} \quad \frac{h\nu_0}{4\pi} B_{\text{lu}} = \frac{\pi e^2}{mc} f_{\text{lu}}$$

Similar definition for emission processes:

$$\eta_{\nu}^{\text{induced}} = n_{\text{up}} \frac{h\nu_0}{4\pi} B_{\text{ul}} I_{\nu} \psi(\nu)$$

$$\eta_{\nu}^{\text{spontaneous}} = n_{\text{up}} \frac{h\nu_0}{4\pi} A_{\text{ul}} \psi(\nu)$$

$\psi(\nu)$  profile function, **complete redistribution**:  $\varphi(\nu) = \psi(\nu)$

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## Relations between Einstein coefficients

**Derivation in TE**; since they are atomic constants, these relations are valid independent of thermodynamic state

In TE, each process is in equilibrium with its inverse, i.e., within one line there is no **netto** destruction or creation of photons (**detailed balance**)

emitted intensity = absorbed intensity

$$B_{\text{ul}} \frac{h\nu_0}{4\pi} I_{\nu} n_{\text{up}} + A_{\text{ul}} \frac{h\nu_0}{4\pi} n_{\text{up}} = B_{\text{lu}} \frac{h\nu_0}{4\pi} I_{\nu} n_{\text{low}} \quad \text{TE: } I_{\nu} = B_{\nu}(T)$$

$$(B_{\text{ul}} B_{\nu}(T) + A_{\text{ul}}) n_{\text{up}} = B_{\text{lu}} B_{\nu}(T) n_{\text{low}}$$

$$B_{\nu}(T) (n_{\text{low}} B_{\text{lu}} - n_{\text{up}} B_{\text{ul}}) = n_{\text{up}} A_{\text{ul}}$$

$$B_{\nu}(T) = \frac{A_{\text{ul}}}{B_{\text{ul}}} \left( \frac{n_{\text{low}} B_{\text{lu}}}{n_{\text{up}} B_{\text{ul}}} - 1 \right)^{-1}$$

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## Relations between Einstein coefficients

$$B_{\nu}(T) = \frac{A_{ul}}{B_{ul}} \left( \frac{n_{low} B_{lu}}{n_{up} B_{ul}} - 1 \right)^{-1} \quad \text{with Boltzmann equation: } \frac{n_{up}}{n_{low}} = \frac{g_{up}}{g_{low}} e^{-h\nu_0/kT}$$

$$B_{\nu}(T) = \frac{A_{ul}}{B_{ul}} \left( \frac{g_{low} B_{lu}}{g_{up} B_{ul}} e^{h\nu_0/kT} - 1 \right)^{-1} \quad \text{comparison with Planck blackbody radiation:}$$

$$B_{\nu}(T) = \frac{2h\nu_0^3}{c^2} (e^{h\nu_0/kT} - 1)^{-1}$$

$$\Rightarrow \frac{A_{ul}}{B_{ul}} = \frac{2h\nu_0^3}{c^2}$$

$$\Rightarrow \frac{g_{low} B_{lu}}{g_{up} B_{ul}} = 1 \Rightarrow g_{low} B_{lu} = g_{up} B_{ul}$$

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## Relation to oscillator strength

$$B_{lu} = \frac{4e^2\pi^2}{mch\nu_0} f_{lu}$$

$$B_{ul} = \frac{g_{up}}{g_{low}} B_{lu} = \frac{g_{up}}{g_{low}} \frac{4e^2\pi^2}{mch\nu_0} f_{lu}$$

$$A_{ul} = \frac{2h\nu_0^3}{c^2} B_{ul} = \frac{g_{up}}{g_{low}} \frac{8e^2\nu_0^2\pi^2}{mc^3} f_{lu} = 3\gamma_{ul} \frac{g_{up}}{g_{low}} f_{lu} \quad \text{dimension } A_{ul} \text{ 1/time}$$

Interpretation of  $1/A_{ul}$  as lifetime of the excited state

order of magnitude:  $A_{ul} \approx \gamma_{ul}$

at 5000 Å:  $10^8 \text{ s}^{-1}$

lifetime:  $10^{-8} \text{ s}$

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## Comparison induced/spontaneous emission

When is spontaneous or induced emission stronger?

with  $I_\nu = B_\nu$

$$\frac{\eta_\nu^{\text{spontaneous}}}{\eta_\nu^{\text{induced}}} = \frac{A_{ul} h\nu_* n_{\text{up}} \psi(\nu)/4\pi}{B_\nu(T^*) B_{ul} h\nu_* n_{\text{up}} \psi(\nu)/4\pi} = \frac{A_{ul}}{B_{ul}} \frac{1}{B_\nu(T^*)} = \frac{2h\nu_*^3}{c^2} \frac{c^2}{2h\nu_*^3} \left( e^{h\nu_*/kT^*} - 1 \right)$$

$$:= 1 \Rightarrow e^{h\nu_*/kT^*} = 2 \Rightarrow h\nu_*/kT^* = \ln 2$$

e.g.  $T^* = 10000\text{K} : \lambda_* = 20000 \text{ \AA}$

$T^* = 50000\text{K} : \lambda_* = 4160 \text{ \AA}$

At wavelengths shorter than  $\lambda_*$  **spontaneous** emission is dominant

## Induced emission as negative absorption

Radiation transfer equation:

$$\frac{dI_\nu}{ds} = \eta_\nu - \kappa I_\nu \quad \text{with } \eta_\nu = \eta_\nu^{\text{spontaneous}} + \eta_\nu^{\text{induced}}$$

$$\frac{dI_\nu}{ds} = \eta_\nu^{\text{spontaneous}} + \eta_\nu^{\text{induced}} - \kappa I_\nu$$

transition low  $\rightarrow$  up  $\kappa_{lu} = B_{lu} \frac{h\nu_0}{4\pi} n_{\text{low}} \varphi(\nu)$ ,  $\eta_{lu}^{\text{induced}} = B_{ul} \frac{h\nu_0}{4\pi} n_{\text{up}} I_\nu \varphi(\nu)$

Useful definition:  $\kappa$  corrected for induced emission:

$$\frac{dI_\nu}{ds} = \eta_\nu^{\text{spontaneous}} + (B_{ul} n_{\text{up}} - B_{lu} n_{\text{low}}) \frac{h\nu_0}{4\pi} \varphi(\nu) I_\nu$$

So we get (formulated with oscillator strength instead of Einstein coefficients):

$$\kappa_{lu} = \frac{\pi e^2}{mc} f_{lu} \left( n_{\text{low}} - \frac{g_{\text{low}}}{g_{\text{up}}} n_{\text{up}} \right) \varphi(\nu)$$

$$\eta_{lu}^{\text{spontaneous}} = \frac{2h\nu_0^3}{c^2} \frac{\pi e^2}{mc} f_{lu} \frac{g_{\text{low}}}{g_{\text{up}}} n_{\text{up}} \varphi(\nu)$$

## The line source function

General source function:  $S_\nu = \eta_\nu / \kappa$

Special case: emission and absorption by one line transition:

$$S_\nu^{\text{lu}} = \frac{\eta_\nu^{\text{lu}}}{\kappa^{\text{lu}}} = \frac{A_{\text{ul}} n_{\text{up}} \frac{h\nu_0}{4\pi} \varphi(\nu)}{(B_{\text{lu}} n_{\text{low}} - B_{\text{ul}} n_{\text{up}}) \frac{h\nu_0}{4\pi} \varphi(\nu)} = \frac{2h\nu_0^3}{c^2} \frac{n_{\text{up}}}{\frac{g_{\text{up}}}{g_{\text{low}}} n_{\text{low}} - n_{\text{up}}}$$

$$S_\nu^{\text{lu}} = \frac{2h\nu_0^3}{c^2} \left[ \frac{g_{\text{up}} n_{\text{low}}}{g_{\text{low}} n_{\text{up}}} - 1 \right]^{-1}$$

- Not dependent on frequency
- Only a function of population numbers
- In LTE:

$$S_\nu^{\text{lu}} = \frac{2h\nu_0^3}{c^2} \left[ e^{h\nu_0/kT} - 1 \right]^{-1} = B_\nu(\nu_0, T)$$

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## Line broadening: Radiation damping

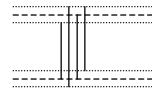
Every energy level has a finite lifetime  $\tau$  against radiative decay (except ground level)

$$\tau = 1 / \sum_{l < u} A_{\text{ul}}$$

Heisenberg uncertainty principle:  $\Delta E \cdot \tau = \hbar$

Energy level **not** infinitely sharp

q.m.  $\Rightarrow$  profile function = Lorentz profile



$$\gamma = \frac{1}{\tau_u} + \frac{1}{\tau_l} = \sum_{k < u} A_{\text{uk}} + \sum_{j < l} A_{\text{lj}}$$

Simple case: resonance lines (transitions to ground state)

example Ly $\alpha$  (transition 2 $\rightarrow$ 1):  $\gamma = A_{21} = 3\gamma_{\text{cl}} g_1/g_2 f_{12} = 3\gamma_{\text{cl}} 2/8 \cdot 0.41 = 0.31\gamma_{\text{cl}}$

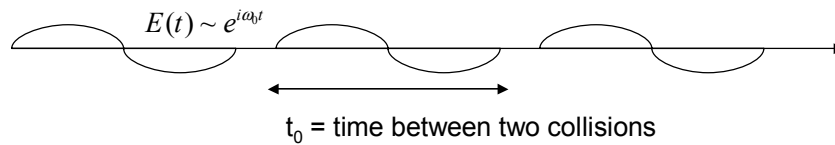
example H $\alpha$  (3 $\rightarrow$ 2):

$$\gamma = 3\gamma_{\text{cl}} \left( \frac{g_1}{g_2} f_{12} + \frac{g_2}{g_3} f_{23} + \frac{g_1}{g_3} f_{13} \right) = 3\gamma_{\text{cl}} \left( \frac{2}{8} \cdot 0.41 + \frac{8}{18} \cdot 0.64 + \frac{2}{18} \cdot 0.07 \right) = 1.18\gamma_{\text{cl}}$$

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## Line broadening: Pressure broadening

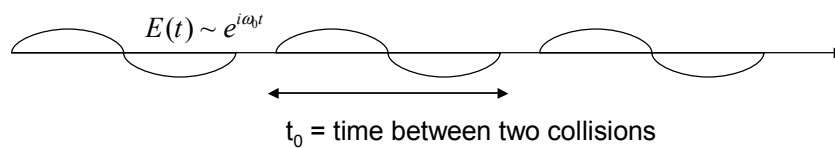
Reason: collision of radiating atom with other particles  
 ⇒ **Phase changes**, disturbed oscillation



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## Line broadening: Pressure broadening

Reason: collision of radiating atom with other particles  
 ⇒ **Phase changes**, disturbed oscillation



Intensity spectrum (=power spectrum) of the cut wave train:

$$I_1 \sim |\text{Fourier transform}|^2$$

$$I_1(\omega) \sim \left| \int_{-t_0/2}^{t_0/2} e^{i\omega_0 t} e^{i\omega t} dt \right|^2 = \left[ \frac{\sin\left(\frac{\omega - \omega_0}{2} t\right)}{\frac{\omega - \omega_0}{2}} \right]^2$$

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## Line broadening: Pressure broadening

Probability distribution for  $t_0$

$$W(t_0)dt_0 = e^{-t_0/\tau} (dt_0/\tau) \quad \tau = \text{average time between two collisions}$$

Averaging over all  $t_0$  gives

$$I_\nu(\omega) = \text{const} \cdot \int_0^\infty \left[ \sin\left(\frac{\omega - \omega_0}{2} t\right) / \frac{\omega - \omega_0}{2} \right]^2 e^{-t_0/\tau} dt_0 / \tau$$

Performing integration and normalization gives profile function of intensity spectrum:

$$\varphi(\omega) = \frac{1/\pi\tau}{(\omega - \omega_0)^2 + (1/\tau)^2}$$

i.e. profile function for collisional broadening is a **Lorentz profile**

with  $\gamma = 2/\tau$ ,  $\tau \sim N^{-1}$   $N = \text{particle density of colliders}$   
 $\gamma = N \cdot \gamma'$   $\gamma'$  approximately constant

(to calculate  $\gamma'$ : calculation of  $\tau$  necessary; for that: assumption about phase shift needed, e.g., given by semi-classical theory) 29

## Line broadening: Pressure broadening

- **Semi-classical theory** (Weisskopf, Lindholm), „Impact Theory“

Phase shifts  $\Delta\omega$ :

$$\text{Ansatz: } \Delta\omega = C_p / r^p, \quad p = 2, 3, 4, 6, \quad r(t) = \text{distance to colliding particle}$$

find constants  $C_p$  by laboratory measurements, or calculate

p=	name	dominant at
2	linear Stark effect	hydrogen-like ions
3	resonance broadening	neutral atoms with each other, H+H
4	quadratic Stark effect	ions
6	van der Waals broadening	metals + H

- **Good results** for p=2 (H, He II): „Unified Theory“

- H Vidal, Cooper, Smith 1973
- He II Schöning, Butler 1989

Film logg

- For p=4 (He I)

- Barnard, Cooper, Shamey; Barnard, Cooper, Smith; Beauchamp et al. 30

## Thermal broadening

Thermal motion of atoms (Doppler effect)

Velocities distributed according to Maxwell, i.e.

$$w_x(v_x) \sim e^{-1/2 m_A v_x^2 / kT}$$

for one spatial direction  $x$  (line-of-sight)

Thermal (most probable) velocity  $v_{th}$ :

$$v_{th} = \sqrt{2kT/m_A} = 12.85 (T/10^4 A)^{1/2} \text{ km/s}$$

example:  $T = 6000K$ ,  $A = 56$  (iron):  $v_{th} = 1.33 \text{ km/s}$

i.e.  $w_x(v_x) = C \cdot e^{-v_x^2/v_{th}^2}$ , with  $\int_0^\infty w_x(v_x) dv_x = 1$  we obtain:

$$C \cdot \int_0^\infty e^{-v_x^2/v_{th}^2} dv_x = C \cdot v_{th} \int_0^\infty e^{-x^2} dx = 1 \Rightarrow C \sqrt{\pi} v_{th} = 1 \Rightarrow C = \frac{1}{\sqrt{\pi} v_{th}}$$

$$w_x(v_x) = \frac{1}{\sqrt{\pi} v_{th}} e^{-v_x^2/v_{th}^2}$$

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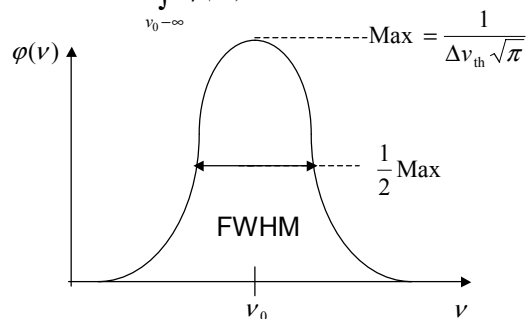
## Line profile

Doppler effect:  $\frac{\Delta v}{v_0} = \frac{v}{c}$ ,  $\frac{\Delta v_{th}}{v_0} = \frac{v_{th}}{c}$

profile function:

$w_x(v_x) \Rightarrow \phi(v) = \frac{C_1}{\sqrt{\pi} \Delta v_{th}} \frac{v_0}{c} e^{-\Delta v^2 / \Delta v_{th}^2}$ , with  $\int_{v_0-\infty}^{v_0+\infty} \phi(v) dv = 1$  we obtain:

$$\phi(v) = \frac{1}{\sqrt{\pi} \Delta v_{th}} e^{-(v-v_0)^2 / \Delta v_{th}^2}$$



Line profile = Gauss curve

- Symmetric about  $v_0$
- Maximum:  $1/\Delta v_{th} \sqrt{\pi}$
- Half width:  $\Delta v_{FWHM} = 2\sqrt{\ln 2} \Delta v_{th} = 1.67 \Delta v_{th}$
- Temperature dependency:  $\Delta v_{th} \sim \sqrt{T}$

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## Examples

At  $\lambda_0=5000\text{\AA}$ :

$T=6000\text{K}$ ,  $A=56$  (Fe):  $\Delta\lambda_{\text{th}}=0.02\text{\AA}$

$T=50000\text{K}$ ,  $A=1$  (H):  $\Delta\lambda_{\text{th}}=0.5\text{\AA}$

Compare with radiation damping:  $\Delta\lambda_{\text{FWHM}}=1.18 \cdot 10^{-4}\text{\AA}$

But: decline of Gauss profile in wings is much steeper than for Lorentz profile:

$$\text{Gauss } (10\Delta\lambda_{\text{th}}) \quad : \quad e^{-10^2} \approx 10^{-43}$$

$\approx$

$$\text{Lorentz } (1000\Delta\lambda_{\text{rad}}) : 1/1000^2 \approx 10^{-6}$$

**In the line wings the Lorentz profile is dominant**

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## Line broadening: Microturbulence

Reason: chaotic motion (turbulent flows) with length scales smaller than photon mean free path

Phenomenological description:

Velocity distribution:  $w_x(v_x) = \frac{1}{\sqrt{\pi}v_{\text{micro}}} e^{-v_x^2/v_{\text{micro}}^2}$

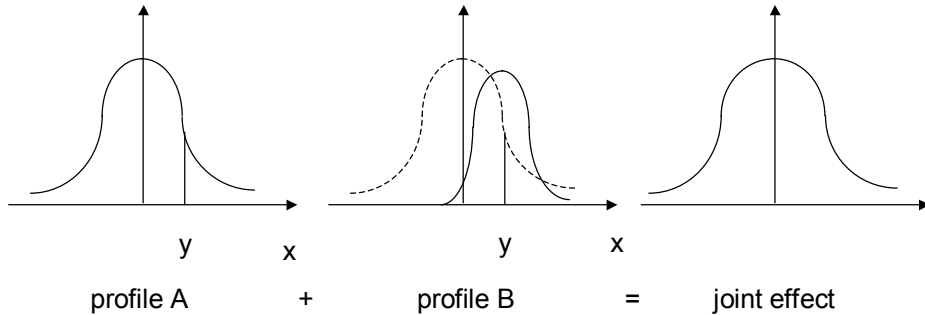
i.e., in analogy to thermal broadening

$v_{\text{micro}}$  is a free parameter, to be determined empirically

Solar photosphere:  $v_{\text{micro}} = 1.3 \text{ km/s}$

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## Joint effect of different broadening mechanisms



Mathematically: convolution  $(f_A * f_B)(x) = \int_{-\infty}^{\infty} f_A(y) f_B(x-y) dy$

commutative:  $f_A * f_B = f_B * f_A$

multiplication of areas:  $\int_{-\infty}^{\infty} (f_A * f_B)(x) dx = \int_{-\infty}^{\infty} f_A(x) dx \cdot \int_{-\infty}^{\infty} f_B(x) dx$

Fourier transformation:  $f_A * f_B \sim \sqrt{2\pi} \tilde{f}_A \cdot \tilde{f}_B$  i.e.: in Fourier space the convolution is a multiplication

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## Application to profile functions

**Convolution of two Gauss profiles** (thermal broadening + microturbulence)

$$G_A(x) = 1/A\sqrt{\pi} e^{-x^2/A^2} \quad G_B(x) = 1/B\sqrt{\pi} e^{-x^2/B^2}$$

$$G_C(x) = G_A(x) * G_B(x) = 1/C\sqrt{\pi} e^{-x^2/C^2} \quad \text{with } C^2 = A^2 + B^2$$

Result: Gauss profile with quadratic summation of half-widths; proof by Fourier transformation, multiplication, and back-transformation

**Convolution of two Lorentz profiles** (radiation + collisional damping)

$$L_A(x) = \frac{A/\pi}{x^2 + A^2} \quad L_B(x) = \frac{B/\pi}{x^2 + B^2}$$

$$L_C(x) = L_A(x) * L_B(x) = \frac{C/\pi}{x^2 + C^2} \quad \text{with } C = A + B$$

Result: Lorentz profile with sum of half-widths; proof as above

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### Application to profile functions

**Convolving Gauss and Lorentz profile** (thermal broadening + damping)

$$G(v) = \frac{1}{\Delta v_D \sqrt{\pi}} e^{-(v-v_0)^2/\Delta v_D^2} \quad L(v) = \frac{\gamma/4\pi^2}{(v-v_0)^2 + (\gamma/4\pi)^2}$$

$$V = G * L \quad \text{depends on } v, \Delta v, \gamma, \Delta v_D: \quad V(v) = \int_{-\infty}^{\infty} G(v')L(v-v')dv'$$

$$\text{Transformation: } v := (v-v_0)/\Delta v_D \quad a := \gamma/(4\pi\Delta v_D) \quad y := (v'-v_0)/\Delta v_D$$

$$G(y) = \frac{1}{\Delta v_D \sqrt{\pi}} e^{-y^2} \quad L(y) = \frac{a/\Delta v_D \pi}{y^2 + a^2} \quad V = \frac{1}{\Delta v_D \sqrt{\pi}} \frac{a}{\pi} \int_{-\infty}^{\infty} \frac{e^{-y^2}}{(v-y)^2 + a^2} dy$$

$$\text{Def: } V = \frac{1}{\Delta v_D \sqrt{\pi}} H(a, v) \quad \text{with } H(a, v) = \frac{a}{\pi} \int_{-\infty}^{\infty} \frac{e^{-y^2}}{(v-y)^2 + a^2} dy$$

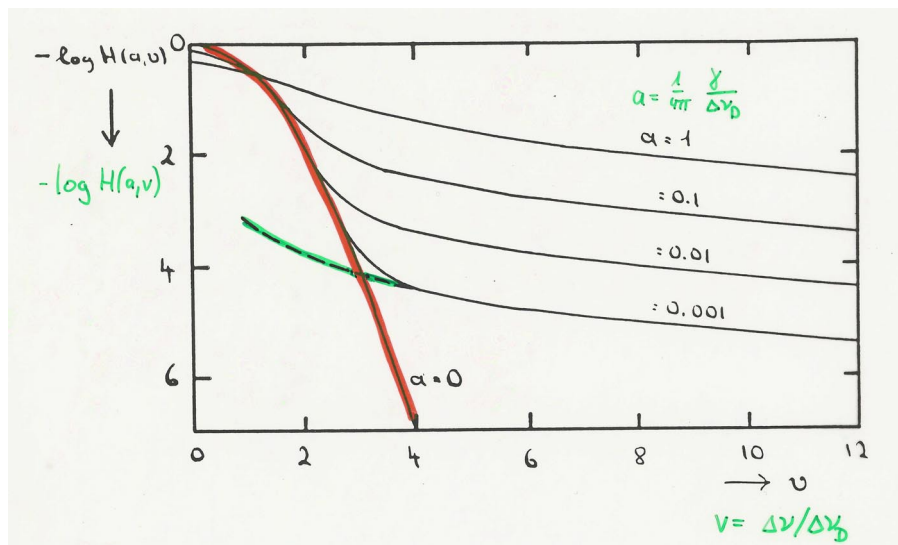
**Voigt function**, no analytical representation possible.

(approximate formulae or numerical evaluation)

$$\text{Normalization: } \int_{-\infty}^{\infty} H(a, v) dv = \sqrt{\pi}$$

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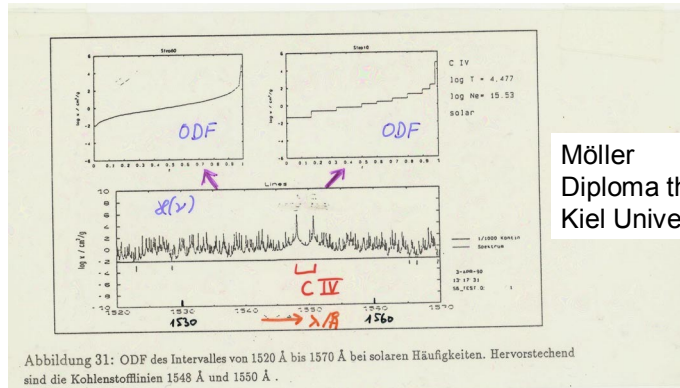
### Voigt profile, line wings



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## Treatment of very large number of lines

Example: bound-bound opacity for 50Å interval in the UV:



Möller  
Diploma thesis  
Kiel University 1990

Direct computation would require very much frequency points

- Opacity Sampling
- Opacity Distribution Functions ODF (Kurucz 1979)

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## Bound-free absorption and emission

Einstein-Milne relations, Milne 1924: Generalization of Einstein relations to continuum processes: photoionization and recombination

Recombination spontaneous + induced

**Transition probabilities:**

$P_\nu$  : probability for photoionization in  $[\nu, \nu + d\nu]$

$F(\nu)$  : spontaneous recapture probability of electron in  $[\nu, \nu + d\nu]$

$G(\nu)$  : corresponding induced probability  $\nu$ =electron velocity

**I) number of photoionizations**  $n_{\text{low}} P_\nu I_\nu d\nu dt$

**II) number of recombinations**  $n_{\text{up}} n_e(\nu) [F(\nu) + G(\nu) I_\nu] \nu d\nu dt$

**Photon energy**  $h\nu = E_{\text{ion}} + 1/2 m v^2 \rightarrow d\nu = m/h v dv$

In TE, detailed balancing: I) = II)

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## Einstein-Milne relations


$$n_{\text{low}} P_{\nu} I_{\nu} d\nu dt = n_{\text{up}} n_e(\nu) [F(\nu) + G(\nu) I_{\nu}] h/m d\nu dt \quad \text{with } I_{\nu} = B_{\nu}$$

$$n_{\text{low}} P_{\nu} B_{\nu} = n_{\text{up}} n_e(\nu) [F(\nu) + G(\nu) B_{\nu}] h/m$$

$$B_{\nu} = \frac{F(\nu)}{G(\nu)} \left[ \frac{n_{\text{low}} P_{\nu} m}{n_{\text{up}} n_e(\nu) h G(\nu)} - 1 \right]^{-1} = \frac{2h\nu^3}{c^2} [e^{h\nu/kT} - 1]^{-1}$$

$$\Rightarrow \frac{F(\nu)}{G(\nu)} = \frac{2h\nu^3}{c^2}$$

$$\Rightarrow \frac{n_{\text{low}} P_{\nu} m}{n_{\text{up}} n_e(\nu) h G(\nu)} = e^{h\nu/kT}$$

- $n_{\text{low}}/n_{\text{up}}$  from Saha equation:  $\frac{n_{\text{low}}}{n_{\text{up}}} = \frac{2}{n_e} \left( \frac{2\pi m k T}{h^2} \right)^{3/2} \frac{g_{\text{up}}}{g_{\text{low}}} e^{-E_{\text{ion}}/kT}$  

- $n_e(\nu)$ : Maxwell distribution:  $n_e(\nu) d\nu = n_e \left( \frac{m}{2\pi k T} \right)^{3/2} e^{-m\nu^2/2kT} 4\pi\nu^2 d\nu$

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## Einstein-Milne relations

$$\begin{aligned} \frac{P_{\nu}}{G(\nu)} &= \frac{h}{m} e^{h\nu/kT} \frac{n_{\text{up}}}{n_{\text{low}}} n_e(\nu) \\ &= \frac{h}{m} e^{h\nu/kT} \frac{2}{n_e} \left( \frac{2\pi m k T}{h^2} \right)^{3/2} \frac{g_{\text{up}}}{g_{\text{low}}} e^{-E_{\text{ion}}/kT} n_e \left( \frac{m}{2\pi k T} \right)^{3/2} e^{-m\nu^2/2kT} 4\pi\nu^2 \\ &= \frac{h}{m} 2 \left( \frac{m}{h^2} \right)^{3/2} \frac{g_{\text{up}}}{g_{\text{low}}} m^{3/2} 4\pi\nu^2 \end{aligned}$$

$$\frac{P_{\nu}}{G(\nu)} = \frac{8\pi m^2}{h^3} \frac{g_{\text{up}}}{g_{\text{low}}} \nu^2$$

Einstein-Milne relations, continuum analogs to  $A_{ji}$ ,  $B_{ji}$ ,  $B_{ij}$



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## Absorption and emission coefficients

**absorption coefficient (opacity)**  $\kappa(\nu) = n_{\text{low}} P_{\nu} h\nu = n_{\text{low}} \sigma_{\nu}$  definition. of cross-section  $\sigma$

**emission coefficient (emissivity)**  $\eta_{\nu}(\nu) = n_{\text{up}} n_e(\nu) [F(\nu) + G(\nu) I_{\nu}] h^2 \nu / m$

And again: induced emission as negative absorption

$$\begin{aligned} \kappa(\nu) &= n_{\text{low}} P_{\nu} h\nu - n_{\text{up}} n_e(\nu) G(\nu) h\nu^2 / m \\ &= n_{\text{low}} P_{\nu} h\nu \left[ 1 - \frac{n_{\text{up}}}{n_{\text{low}}} n_e(\nu) \frac{G(\nu)}{P_{\nu}} \frac{h}{m} \right] = \dots = \sigma_{\nu} \left[ n_{\text{low}} - n_{\text{up}} \left( \frac{n_{\text{up}}}{n_{\text{low}}} \right)^* e^{-h\nu/kT} \right] \end{aligned}$$

and  $\eta_{\nu}(\nu) = \dots = \frac{2h\nu}{c^3} \sigma_{\nu} n_{\text{up}} \left( \frac{n_{\text{up}}}{n_{\text{low}}} \right)^* e^{-h\nu/kT}$  (using Einstein-Milne relations)

**LTE:**  $\kappa(\nu) = n_{\text{low}} P_{\nu} h\nu [1 - e^{-h\nu/kT}]$

$\eta_{\nu}(\nu) = n_{\text{up}} n_e(\nu) F(\nu) h\nu^2 / m$

$\vdots$

$\eta_{\nu}(\nu) = \kappa(\nu) B_{\nu}$

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## Continuum absorption cross-sections

**H-like ions:** semi-classical Kramers formula

$$\sigma(\nu) = \begin{cases} \sigma_{\text{th}} (\nu_{\text{th}}/\nu)^3 & \text{for } \nu > \nu_{\text{th}} \\ 0 & \text{else} \end{cases}$$

$$\nu_{\text{th}} = \text{threshold frequency, } \sigma_{\text{th}} = \frac{8h^3}{3\sqrt{3}\pi^2 m^2 c e^2} \frac{n}{Z^2} = 7.906 \cdot 10^{-18} \text{ cm}^2 \frac{n}{Z^2}$$

$n$  principal quantum number,  $Z$  nuclear charge

Quantum mechanical calculations yield correction factors

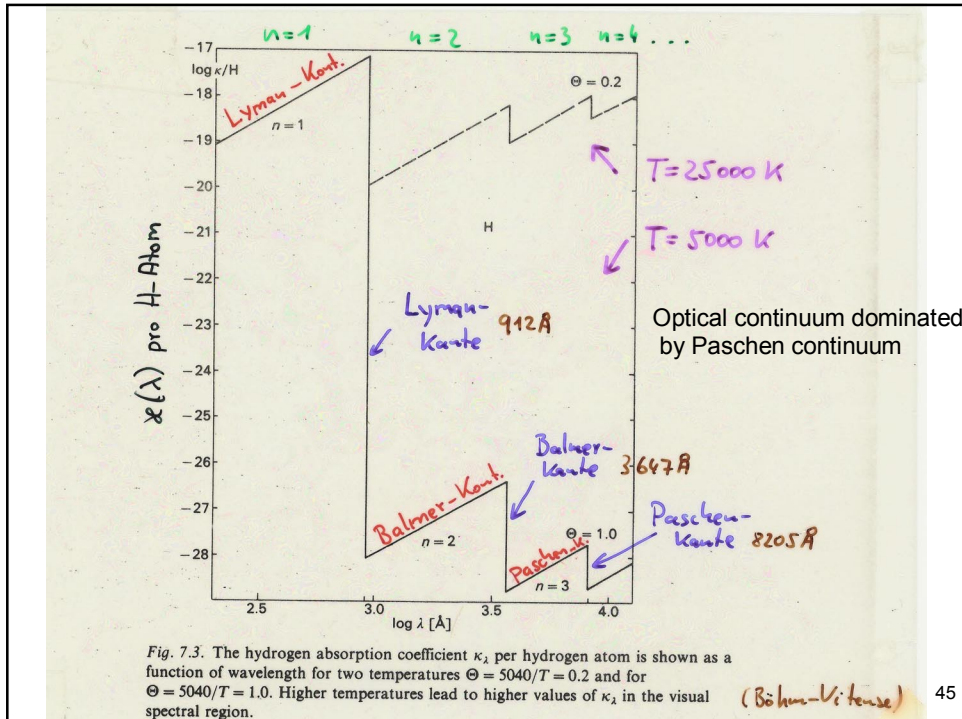
$$\sigma(\nu) = \sigma_{\text{th}} (\nu_{\text{th}}/\nu)^3 g_{\text{bf}}(n, \nu), \quad g_{\text{bf}}(n, \nu) \text{ Gaunt factor}$$

Adding up of bound-free absorptions from all atomic levels:

**example hydrogen**

$$\kappa_{\text{bf}}^{\text{tot}}(\nu) = \sum_{n=1}^{n_{\text{max}}} \sigma_{\text{bf}}^n(\nu) n_n$$

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Stellar Atmospheres: Emission and Absorption

## The solar continuum spectrum and the H<sup>-</sup> ion

H<sup>-</sup> ion has one bound state, ionization energy 0.75 eV

Absorption edge near 17000Å,

hence, can potentially contribute to opacity in optical band

Sun:  $T = 6000\text{K}$ ,  $\log n_e = 13.6$  Saha equation:  $\frac{n_{H^+}}{n_{H^0}} = 10^{-4}$ ,  $\frac{n_{H^-}}{n_{H^0}} = 10^{-7.5}$

H almost exclusively neutral, but in the optical Paschen-continuum, i.e. population of H(n=3) decisive:

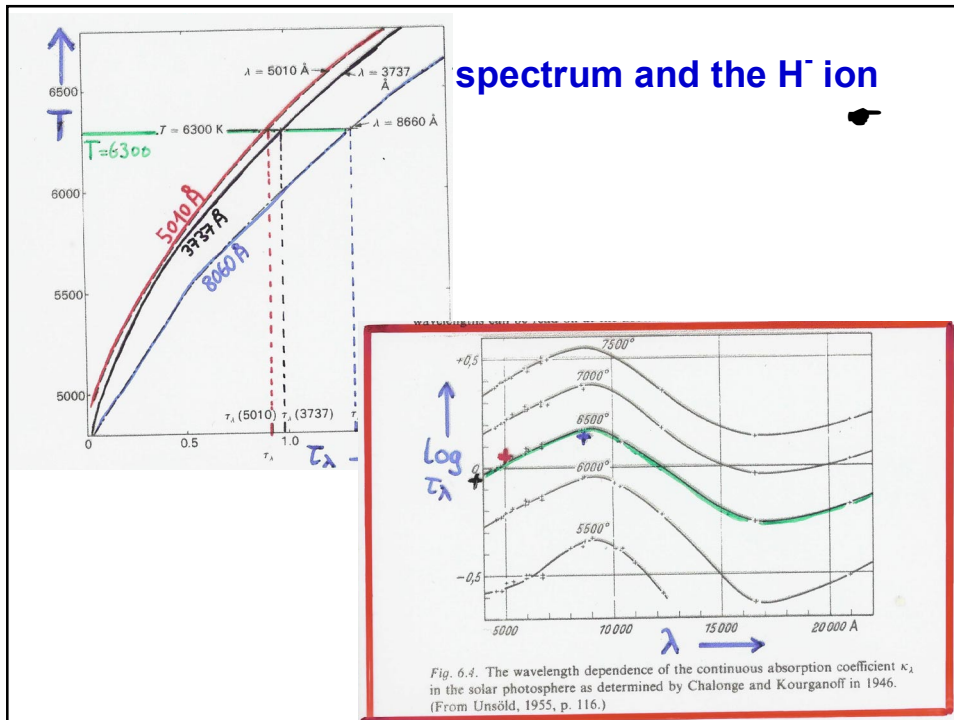
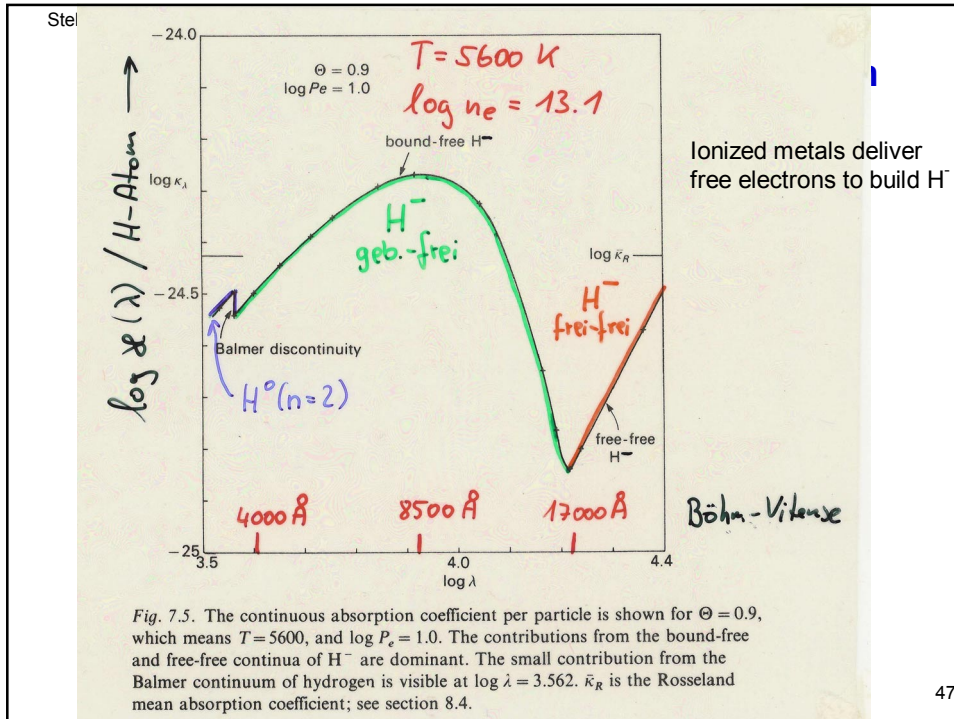
$$\frac{n_{H^0}(n=3)}{n_{H^0}(n=1)} = \frac{g_3}{g_1} e^{-12.1\text{eV}/kT} = \frac{18}{2} e^{-23.4} = 6 \cdot 10^{-10}$$

$$\frac{n_{H^-}}{n_{H^0}(n=3)} = \frac{n_{H^-}}{n_{H^0}(n=1) n_{H^0}(n=3)} = \frac{3 \cdot 10^{-8}}{6 \cdot 10^{-10}} = 500$$

Bound-free cross-sections for H<sup>-</sup> and H<sup>0</sup> are of similar order

H<sup>-</sup> bound-free opacity therefore dominates the visual continuum spectrum of the Sun

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Stellar A

Th and the H<sup>-</sup> ion

Abb. 70. Absorptionskoeffizient (in 10<sup>-10</sup> cm<sup>2</sup>) pro H<sup>-</sup>-Ion berechnet mit der Amplitudenmatrix (I), der Impulsmatrix (II) und der Beschleunigungsmatrix (III). Nach S. CHANDRASEKHAR [209, 1].

**YALE ASTROPHYSICIST**  
 Rupert Wildt, a leading authority on planetary chemistry and stellar atmospheres, died on January 9th at his retirement home in Orleans, Massachusetts. He had been a member of the Yale University faculty since 1946, and head of its astronomy department in 1966-68.  
 Born in Munich, Germany, on June 25, 1902, he worked at Bonn and Göttingen observatories before coming to the United States in 1935. During the next years he held appointments at the Princeton Institute for Advanced Study and at the University of Virginia. In 1965-68 and 1971-72 he was president of the Association of Universities for Research in Astronomy (AURA), which operates Kitt Peak National Observatory in Arizona and the Inter-American Observatory on Cerro Tololo, Chile.  
 Dr. Wildt made three highly significant contributions to 20th-century astronomy. In 1931, he pointed out that the great absorption bands in the red spectra of the outer planets are due to methane and ammonia. He later proposed detailed models of the interior structure of the giant planets consisting largely of hydrogen. In 1939, he solved the famous problem of the "missing opacity" in the sun's atmosphere, by showing that negative ions of hydrogen were extremely effective in damping the flow of radiation from the solar interior. For this discovery he was awarded the Eddington medal of the Royal Astronomical Society in 1966.

Rupert Wildt (1905-76).

1. unangeregter Zustand = Ionisationsniveau

Rekombination  
 $H + e^- = H^- + h\nu$

156 SKY AND TELESCOPE, March, 1976

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Stellar Atmospheres: Emission and Absorption

## Scattering processes

**Thomson scattering** at free electrons

Absorption coefficient  $\kappa = n_e \sigma_e$  follows from power of harmonic oscillator ( $\sigma_e$  Thomson cross-section)

$$\bar{p} = \left( \frac{e^4 E_0^2}{3m^2 c^3} \right) \left[ \frac{v^4}{(v_0^2 - v^2)^2 + (\gamma/2\pi)^2 v^2} \right]$$

free electrons: no resonance frequency, no friction:  $v_0 = 0; \gamma = 0$

$$\rightarrow \bar{p} = \frac{e^4 E_0^2}{3m^2 c^3}, \text{ on the other hand we had } \bar{p} = \sigma_e \frac{c}{8\pi} E_0^2$$

$$\sigma_e = \frac{8\pi}{3} \frac{e^4}{m^2 c^4} = 6.65 \cdot 10^{-25} \text{ cm}^2$$

**Thomson cross-section is wavelength-independent**

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## Scattering processes

**Rayleigh scattering of photons** on electrons bound in atoms or molecules

$$\bar{p} = \left( \frac{e^4 E_0^2}{3m^2 c^3} \right) \left[ \frac{v^4}{(v_0^2 - v^2)^2 + (\gamma/2\pi)^2 v^2} \right]$$

semi-classical:  $v \ll v_0 = v_{lu}$

$$\rightarrow \bar{p} = \frac{e^4 E_0^2}{3m^2 c^3} \frac{v^4}{v_{lu}^4} \quad \text{on the other hand we had} \quad \bar{p} = \sigma_R \frac{c}{8\pi} E_0^2$$

$$\sigma_R = \frac{8\pi}{3} \frac{e^4}{m^2 c^4} \frac{v^4}{v_{lu}^4} f_{lu} = \sigma_e f_{lu} \frac{v^4}{v_{lu}^4}$$

(here we have included the oscillator strength as the quantum mechanical correction)

$$\rightarrow \kappa_R(v) = \sum_l n_l \sigma_e f_{lu} \frac{v^4}{v_{lu}^4}$$

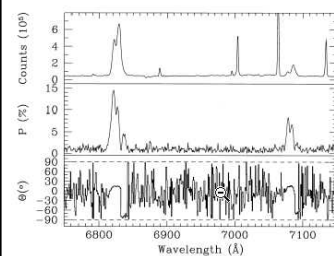
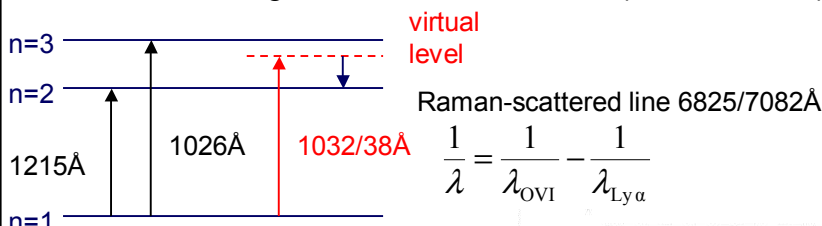
**Rayleigh scattering on Ly $\alpha$**  important for stellar spectral types **G and K**

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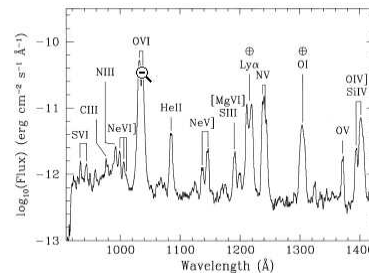
## Raman scattering

Discovered in symbiotic nova RR Tel

Raman scattering of O VI resonance line (Schmid 1987)



Schmid 1989,  
Espey et al.  
1995



## Two-photon processes



Maria Goeppert Mayer

1906-1972

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### Some Important Contributions:

NUCLEAR SHELL MODEL:

**Discovery of the magic numbers and their explanation in terms of a nuclear shell model with strong spin-orbit coupling. For this she won the 1963 Nobel Prize in Physics, with J.H.D. Jensen who had independently proposed the strong spin-orbit coupling.**

She was the first person to investigate the theoretical basis of nuclear pairing, which plays an important role in the shell model of the atomic nucleus.

OTHER IMPORTANT CONTRIBUTIONS:

Maria Goeppert Mayer was an accomplished physicist from the beginning of her career until the end and she made numerous contributions to the field of physics. She was the first person to investigate the phenomenon of double quantum emission and, a few years later, double beta decay. Mayer and Herzfeld were the first to study the effect of magnetic susceptibility on the refractive index of a gas. Mayer and Sachs pioneered the application of the new idea of a Yukawa potential between neutron and proton to the nuclear two-body system. Mayer was the first person to work out the atomic properties of transuranic elements as well. Mayer's last contribution, with Lawson, was the use of the center of mass and relative coordinates for the calculation of shell model interaction energies.

Some Important Publications:

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## Free-free absorption and emission

Assumption (also valid in non-LTE case):

**Electron velocity distribution in TE**, i.e. Maxwell distribution

$$S_v^{\text{ff}}(\nu) = \eta_v^{\text{ff}}(\nu) / \kappa^{\text{ff}}(\nu) = B_\nu(\nu, T)$$

**Free-free processes always in TE**

Similar to bound-free process we get:

$$\kappa^{\text{ff}}(\nu) = \sigma_{\text{ff}}(\nu) n_e n_k (1 - e^{-h\nu/kT})$$

$$\sigma_{\text{ff}}(\nu) = \frac{16\pi^2}{3\sqrt{3}} \cdot \frac{Z^2 e^6}{hc(2\pi m)^{3/2}} \cdot \frac{1}{\nu^3} \frac{1}{\sqrt{T}} g_{\text{ff}}(n, \nu, T)$$

generalized Kramers formula, with Gauntfaktor from q.m.

- Free-free opacity important at higher energies, because less and less bound-free processes present
- Free-free opacity important at high temperatures

$$\sigma_{\text{ff}} \sim T^{-1/2}, \text{ but } \sigma_{\text{bf}} \sim T^{-3/2} \text{ (Saha), therefore: } \kappa_{\text{ff}}/\kappa_{\text{bf}} \propto T$$

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## Computation of population numbers

General case, non-LTE:  $n_i = n_i(\rho, T, I_\nu)$

In LTE, just  $n_i = n_i(\rho, T)$

In LTE completely given by:

- Boltzmann equation (excitation within an ion)
- Saha equation (ionization)

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## Boltzmann equation

Derivation in textbooks

$$\frac{n_i}{n_j} = \frac{g_i}{g_j} e^{-(E_i - E_j)/kT}$$

$g_i$  statistical weight  
 $E_i$  excitation energy

Other formulations:

- Related to ground state ( $E_1=0$ )

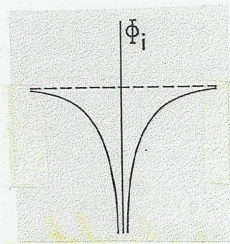
$$\frac{n_i}{n_1} = \frac{g_i}{g_1} e^{-E_i/kT}$$

- Related to total number density  $N$  of respective ion

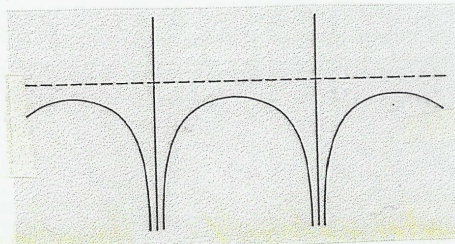
$$\frac{n_i}{\sum n_j} = \frac{n_i}{n_1} \frac{n_1}{\sum n_j} = \frac{n_i}{n_1} \frac{1}{\sum \frac{n_j}{n_1}} = \frac{n_i}{n_1} \frac{g_1}{\sum g_j e^{-E_j/kT}}$$

$$\rightarrow \frac{n_i}{N} = \frac{n_i}{n_1} \frac{g_1}{U(T)}, \quad \text{with partition function } U(T) := \sum g_j e^{-E_j/kT}$$

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einzelnes Atom



Atome im Plasma

levels is finite.

Very highly excited levels cannot exist because of interaction with neighbouring particles, radius H atom:  $r(n) = a_0 n^2$

At density  $10^{15}$  atoms/cm<sup>3</sup> → mean distance about  $10^{-5}$  cm

$$r(n_{max}) = 10^{-5} \text{ cm} \rightarrow n_{max} \sim 43$$

Levels are "dissolved"; description by concept of occupation probabilities  $p_i$  (Mihalas, Hummer, Däppen 1991)

$$g_i \rightarrow g_i p_i \quad \text{with} \quad p_i \rightarrow 0 \quad \text{when} \quad i \rightarrow \infty$$

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Stellar Atmospheres: Emission and Absorption

### Hummer-Mihalas occupation probabilities

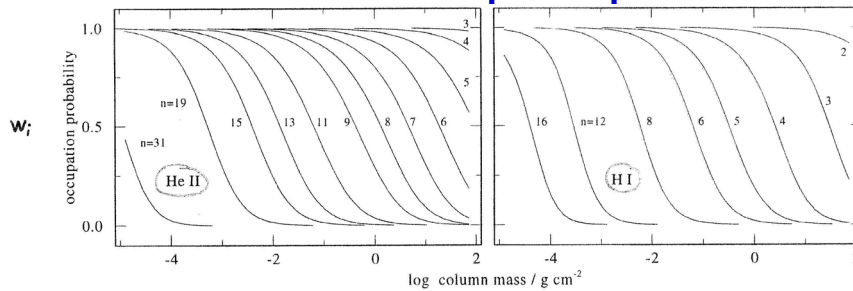
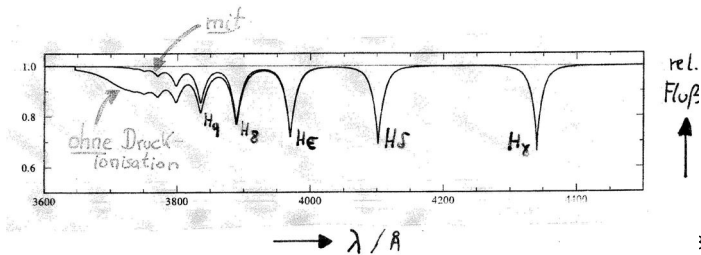


Fig. 2. Occupation probabilities of atomic He II levels (left) and H I levels (right) as a function of depth in the DO model atmosphere with  $T_{eff}=100\,000$  K,  $\log g=7.5$ , and H/He=0.1%.



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## Saha equation

Derivation with Boltzmann formula, **but** upper state is now a 2-particle state (ion plus free electron)

**Energy:**  $E = E_{\text{ion}} + p^2/2m_e$  ( $p$ =electron momentum)

**Statistical weight:**  $g = g_{\text{up}} \cdot G(p)$  (weight of ion \* weight of free electron)

Insert into Boltzmann formula

$$\frac{n_{\text{up}}(p)}{n_{\text{low}}} = \frac{g_{\text{up}} G(p)}{g_{\text{low}}} e^{-(E_{\text{ion}} + p^2/2m_e - E_{\text{low}})/kT}$$

Summarize over all final states  
By integration over  $p$   $\rightarrow \frac{n_{\text{up}}}{n_{\text{low}}} = \frac{g_{\text{up}}}{g_{\text{low}}} e^{-(E_{\text{up}} - E_{\text{low}})/kT} \int_0^{\infty} G(p) e^{-p^2/2m_e kT} dp$

**Statistical weight of free electron** = number of available states in interval  $[p, p+dp]$  (Pauli principle):

$$G(p)dp = 2 \frac{d\Omega(p)}{h^3} \quad \begin{array}{l} \text{phase space volume} \\ \text{phase space cell} \end{array} \quad \text{2 spins}$$

$$d\Omega(p) = dx dy dz \cdot dp_x dp_y dp_z = dV \cdot 4\pi p^2 dp = 1/n_e \cdot 4\pi p^2 dp \rightarrow G(p) = 8\pi p^2 / h^3 n_e^{59}$$

## Saha equation

Insertion into Boltzmann formula gives:

$$\begin{aligned} \frac{n_{\text{up}}}{n_{\text{low}}} &= \frac{g_{\text{up}}}{g_{\text{low}}} e^{-(E_{\text{up}} - E_{\text{low}})/kT} \int_0^{\infty} \frac{8\pi}{h^3 n_e} p^2 e^{-p^2/2m_e kT} dp \quad \text{with } x = p / \sqrt{2m_e kT} \\ &= \frac{g_{\text{up}}}{g_{\text{low}}} e^{-(E_{\text{up}} - E_{\text{low}})/kT} \frac{8\pi}{h^3 n_e} (2m_e kT)^{3/2} \int_0^{\infty} x^2 e^{-x^2} dx \\ &= \frac{g_{\text{up}}}{g_{\text{low}}} e^{-(E_{\text{up}} - E_{\text{low}})/kT} \frac{8\pi}{h^3 n_e} (2m_e kT)^{3/2} \frac{\sqrt{\pi}}{4} \end{aligned}$$

$$\frac{n_{\text{up}}}{n_{\text{low}}} = \frac{2}{n_e} \left( \frac{2\pi m_e kT}{h^3} \right)^{3/2} \frac{g_{\text{up}}}{g_{\text{low}}} e^{-(E_{\text{up}} - E_{\text{low}})/kT}$$

Saha equation for two levels in adjacent ionization stages

Alternative:  $\frac{n_{\text{up}} n_e}{n_{\text{low}}} = f(T) = \frac{T^{3/2}}{C} \frac{g_{\text{up}}}{g_{\text{low}}} e^{-(E_{\text{up}} - E_{\text{low}})/kT} \quad C = 2.07 \cdot 10^{-16} \text{ K}^{3/2} \text{ cm}^3$

### Example: hydrogen

Model atom with only one bound state:

$$n_{\text{low}} = n_1 = n(\text{H I ground state}) \quad g_1 = 2$$

$$n_{\text{up}} = n_{\text{II}} = n(\text{H II}) \quad g_{\text{II}} = 1$$

$$\frac{n_e n_{\text{II}}}{n_1} = \frac{T^{3/2}}{C} \frac{1}{2} e^{-1.58 \cdot 10^5 \text{ K}/T} = f(T)$$

pure hydrogen:  $n_e = n_{\text{II}}$ ,  $N = n_1 + n_{\text{II}}$

ionization degree:  $x = \frac{n_e}{N} = \frac{n_{\text{II}}}{N}$

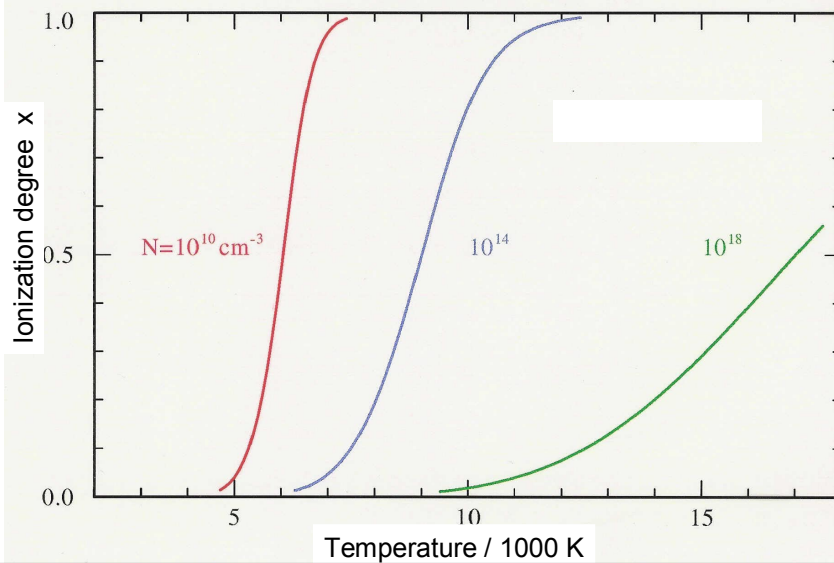
$$\Rightarrow \frac{x^2 N}{1-x} = f(T) \Rightarrow x^2 + \frac{f(T)}{N} x - \frac{f(T)}{N} = 0$$

$$\Rightarrow x = -\frac{f(T)}{2N} + \sqrt{\left(\frac{f(T)}{2N}\right)^2 + \frac{f(T)}{N}}$$

$$\Rightarrow x = x(T, N)$$

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### Hydrogen ionization



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## More complex model atoms

$j=1, \dots, J$  ionization stages

$i=1, \dots, I(j)$  levels per ionization stage  $j$

Saha equation for ground states of ionization stages  $j$  and  $j+1$ :

$$n_{1j} = n_{1j+1} n_e \frac{1}{2} \left( \frac{h^3}{2\pi m_e kT} \right)^{3/2} \frac{g_{1j}}{g_{1j+1}} e^{E_{\text{ion}}^j / kT}$$

With Boltzmann formula we get **occupation number of  $i$ -th level**:

$$n_{ij} = \frac{n_{ij}}{n_{1j}} n_{1j} = \frac{g_{ij}}{g_{1j}} e^{-E_i^j / kT} n_{1j+1} n_e C_1 T^{-3/2} \frac{g_{1j}}{g_{1j+1}} e^{E_{\text{ion}}^j / kT}$$

$$\Rightarrow n_{ij} = \frac{g_{ij}}{g_{1j+1}} n_{1j+1} n_e C_1 T^{-3/2} e^{(E_{\text{ion}}^j - E_i^j) / kT}$$

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## More complex model atoms

Related to total number of particles in ionization stage  $j+1$

$$\frac{n_{ij+1}}{N_{j+1}} = \frac{n_{ij+1}}{n_{1j+1}} \frac{g_{1j+1}}{U_{j+1}} \quad i=1 \quad \frac{n_{1j+1}}{N_{j+1}} = 1 \cdot \frac{g_{1j+1}}{U_{j+1}} \rightarrow n_{1j+1} = \frac{g_{1j+1}}{U_{j+1}} N_{j+1}$$

$$\Rightarrow n_{ij} = \frac{g_{ij}}{g_{1j+1}} \frac{g_{1j+1}}{U_{j+1}} N_{j+1} n_e C_1 T^{-3/2} e^{(E_{\text{ion}}^j - E_i^j) / kT} \Rightarrow n_{ij} = \frac{g_{ij}}{U_{j+1}} N_{j+1} n_e C_1 T^{-3/2} e^{(E_{\text{ion}}^j - E_i^j) / kT}$$

$N_j / N_{j+1}$

$$\begin{aligned} N_j &= \sum_i n_{ij} = \sum_i \frac{g_{ij}}{U_{j+1}} N_{j+1} n_e C_1 T^{-3/2} e^{(E_{\text{ion}}^j - E_i^j) / kT} \\ &= \frac{N_{j+1}}{U_{j+1}} n_e C_1 T^{-3/2} e^{E_{\text{ion}}^j / kT} \sum_i g_{ij} e^{-E_i^j / kT} = \frac{N_{j+1}}{U_{j+1}} n_e C_1 T^{-3/2} e^{E_{\text{ion}}^j / kT} U_j \end{aligned}$$

$$\frac{N_j}{N_{j+1}} = \frac{U_j}{U_{j+1}} n_e C_1 T^{-3/2} e^{E_{\text{ion}}^j / kT} = n_e \Phi_j(T)$$

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### Ionization fraction

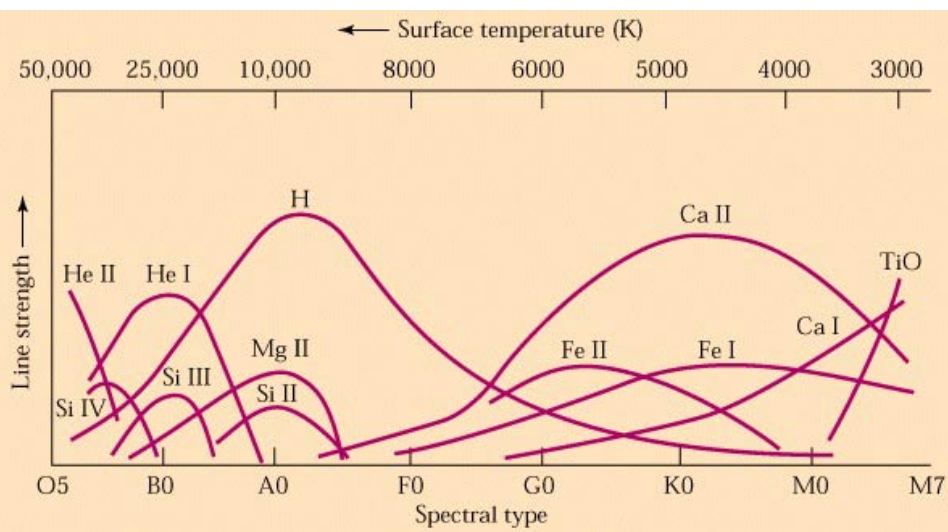
$$\frac{N_j}{N_J} = \frac{N_j}{N_{j+1}} \cdot \frac{N_{j+1}}{N_{j+2}} \cdot \dots \cdot \frac{N_{j-1}}{N_j}$$

$$N = \sum_{j=1}^J N_j = N_J \sum_{j=1}^J \frac{N_j}{N_J} = N_J \left[ 1 + \frac{N_{J-1}}{N_J} + \frac{N_{J-1}}{N_J} \cdot \frac{N_{J-2}}{N_{J-1}} + \dots + \frac{N_{J-1}}{N_J} \cdot \dots \cdot \frac{N_1}{N_2} \right]$$

$$\frac{N_j}{N} = \frac{N_j}{N_J} \frac{N_J}{N} = \frac{\frac{N_j}{N_{j+1}} \cdot \frac{N_{j+1}}{N_{j+2}} \cdot \dots \cdot \frac{N_{j-1}}{N_j}}{1 + \frac{N_{J-1}}{N_J} + \frac{N_{J-1}}{N_J} \cdot \frac{N_{J-2}}{N_{J-1}} + \dots + \frac{N_{J-1}}{N_J} \cdot \dots \cdot \frac{N_1}{N_2}}$$

$$\frac{N_j}{N} = \frac{\prod_{k=j}^{J-1} n_e \Phi_k(T)}{1 + \sum_{m=1}^J \prod_{k=m}^{J-1} n_e \Phi_k(T)}$$

### Ionization fractions



## Summary: Emission and Absorption

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- Line absorption and emission coefficients (bound-bound)

$$\kappa_{\text{lu}}(\nu) = \frac{\pi e^2}{mc} f_{\text{lu}} \left( n_{\text{low}} - \frac{g_{\text{low}}}{g_{\text{up}}} n_{\text{up}} \right) \varphi(\nu) \quad \eta_{\text{lu}}(\nu) = \frac{2h\nu_0^3}{c^2} \frac{\pi e^2}{mc} f_{\text{lu}} \frac{g_{\text{low}}}{g_{\text{up}}} n_{\text{up}} \varphi(\nu)$$

$\varphi(\nu)$  = profile function, e.g., Voigtprofile  $V(a, \nu) = \frac{1}{\Delta\nu_D \sqrt{\pi}} \int_{-\infty}^{\infty} \frac{e^{-y^2}}{(\nu - y)^2 + a^2} dy$

- Continuum (bound-free)

$$\kappa(\nu) = \sigma_{\nu} \left[ n_{\text{low}} - n_{\text{up}} \left( \frac{n_{\text{up}}}{n_{\text{low}}} \right)^* e^{-h\nu/kT} \right] \quad \eta_{\nu}(\nu) = \frac{2h\nu}{c^3} \sigma_{\nu} n_{\text{up}} \left( \frac{n_{\text{up}}}{n_{\text{low}}} \right)^* e^{-h\nu/kT}$$

- Continuum (free-free), always in LTE

$$\kappa^{\text{ff}}(\nu) = \sigma_{\text{ff}}(\nu) n_e n_k (1 - e^{-h\nu/kT}) \quad \eta^{\text{ff}}(\nu) = \kappa^{\text{ff}}(\nu) n_e n_k B_{\nu}(T)$$

- Scattering (Compton, on free electrons)  $\kappa = n_e \sigma_e \quad \eta_{\nu}(\nu) = n_e \sigma_e J_{\nu}$

Total opacity and emissivity add up all contributions, then source function  $S_{\nu} = \eta_{\nu}/\kappa(\nu)$

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Excitation and ionization in LTE

$$\frac{n_{low}}{n_{up}} = \frac{g_{low}}{g_{up}} e^{-(E_{low}-E_{up})/kT} \quad \text{Boltzmann}$$

$$\frac{n_{up}}{n_{low}} = \frac{2}{n_e} \left( \frac{2\pi m_e kT}{h^3} \right)^{3/2} \frac{g_{up}}{g_{low}} e^{-(E_{up}-E_{low})/kT} \quad \text{Saha}$$